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**Ventura County:** Linda Parks, Ventura County • Glen Becerra, Simi Valley • Carl Morehouse, San Buenaventura • Toni Young, Port Hueneme

**Orange County Transportation Authority:** Ari Brown, Buena Park

**Riverside County Transportation**

**Commission:** Robin Lowe, Hemet

**Ventura County Transportation**

**Commission:** Keith Millhouse, Moorpark

## MEETING OF THE

# TRANSPORTATION AND COMMUNICATIONS COMMITTEE

**PLEASE NOTE DATE AND TIME**

**Thursday, August 30, 2007**

**9:30 a.m. – 11:30 a.m.**

## SCAG Offices

**818 West 7<sup>th</sup> Street, 12<sup>th</sup> Floor**

**Conference Room San Bernardino**

**Los Angeles, CA 90017**

**213.236.1800**

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Cathy Alvarado at 213.236.1896 or [alvarado@scag.ca.gov](mailto:alvarado@scag.ca.gov)

Agendas and Minutes for the Transportation and Communications Committee are also available at:

[www.scag.ca.gov/committees/tcc.htm](http://www.scag.ca.gov/committees/tcc.htm)

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# Transportation and Communications Committee Membership

August 2007

*Wapner, Alan - Chair*  
*Ten, Mike - Vice Chair*

*Ontario*  
*South Pasadena*

## **Member**

Adams, Steve  
Aldinger, Jim  
Ayala, Luis  
Baldwin, Harry  
Beauman, John  
Becerra, Glen  
Bone, Lou  
Brown, Art  
Buckley, Thomas  
Burke, Yvonne  
Carroll, Stan  
Chlebnik, John  
Dale, Lawrence  
Daniels, Gene  
Dixon, Richard  
Dunlap, Judy  
Edgar, Troy  
Flickinger, Bonnie  
Gabelich, Rae  
Garcia, Lee Ann  
Glaab, Paul  
Green, Cathy  
Gross, Carol  
Gurule, Frank  
Hack, Bert  
Hernandez, Robert  
Leon, Paul  
Lowe, Robin  
Lowenthal, Bonnie  
Martinez, Sharon  
Masiel, Andy  
McLean, Marsha  
Messina, Barbara  
Millhouse, Keith  
Mills, Leroy  
Nuaimi, Mark  
O'Connor, Pam  
Ovitt, Gary  
Parks, Bernard  
Pettis, Gregory  
Quirk, Sharon  
Roberts, Ron  
Rutherford, Mark  
Smith, Greig  
Spence, David  
Stone, Jeffrey  
Sykes, Tom  
Waroneck, Mark

## **Representing**

Riverside, WRCOG  
Manhattan Beach  
San Gabriel Valley COG  
San Gabriel  
Brea  
Simi Valley  
Tustin  
OCTA  
Lake Elsinore  
Los Angeles County  
La Habra Heights  
WRCOG  
Barstow  
Paramount  
Lake Forest  
Inglewood  
Los Alamitos  
Moreno Valley  
Long Beach  
Grand Terrace  
Laguna Niguel  
Orange County COG  
Culver City  
Cudahy  
Orange County COG  
Anaheim  
SANBAG  
RCTC  
Gateway Cities COG  
San Gabriel Valley COG  
Pechanga Band of Luiseno Indians  
North Los Angeles County  
Alhambra  
VCTC  
Orange County COG  
SANBAG  
Santa Monica  
San Bernardino County  
Los Angeles  
Cathedral City  
Fullerton  
Temecula  
Las Virgenes/Malibu COG  
Los Angeles  
Arroyo Verdugo COG  
County of Riverside  
Walnut  
South Bay Cities COG

# TRANSPORTATION & COMMUNICATIONS COMMITTEE

## AGENDA

PAGE #

TIME

*“Any item listed on the agenda (action or information) may be acted upon at the discretion of the Committee.”*

- 1.0 **CALL TO ORDER & PLEDGE OF ALLEGIANCE** Hon. Alan Wapner, Chair
- 2.0 **PUBLIC COMMENT PERIOD**  
Members of the public desiring to speak on an agenda item or items not on the agenda, but within the purview of this committee, must fill out a speaker's card prior to speaking and submit it to the Staff Assistant. A speaker's card must be turned in before the meeting is called to order. Comments will be limited to three minutes. The Chair may limit the total time for comments to twenty (20) minutes.
- 3.0 **REVIEW and PRIORITIZE AGENDA ITEMS**
- 4.0 **CONSENT CALENDAR**
- 4.1 **Approval Items**
- 4.1.1 **Minutes of July 12, 2007 Meeting Attachment** 1
- 4.2 **Receive and File**
- 4.2.1 **2007 State and Federal Legislation Matrix Attachment** 12
- Summary of State and Federal legislative bills of relevance to SCAG operational areas and issues of concern.

# TRANSPORTATION & COMMUNICATIONS COMMITTEE

## AGENDA

			PAGE #	TIME
5.0	<b><u>ACTION ITEMS</u></b>			
5.1	<u>2008 Regional Transportation Improvement Program (RTIP) Guidelines Attachment</u>	<b>John Asuncion, SCAG Staff</b>	45	5 minutes
	Staff is requesting that the Draft 2008 RTIP Guidelines be released for public review. Subsequently, staff will request approval from the TCC and Regional Council.			
	<b>Recommended Action:</b> Approve release of Draft 2008 RTIP Guidelines for Public Review.			
5.2	<u>Public Participation Plan Amendment No. 1 Attachment</u>	<b>Justine Block, SCAG Staff</b>	161	5 minutes
	The Public Participation Plan Amendment No. 1 includes detailed strategies, procedures and techniques related to public participation on SCAG's Regional Transportation Plan, Regional Transportation Improvement Program, and Overall Work Program.			
	<b>Recommended Action:</b> Recommend the TCC approve and adopt the Public Participation Plan Amendment No. 1.			
6.0	<b><u>AVIATION TASK FORCE REPORT</u></b>	<b>Hon. Alan Wapner, Chair</b>		
7.0	<b><u>MAGLEV TASK FORCE REPORT</u></b>	<b>Hon. Lou Bone, Chair</b>		

# TRANSPORTATION & COMMUNICATIONS COMMITTEE

## AGENDA

		<i>PAGE #</i>	<i>TIME</i>
<b>8.0</b>	<b><u>INFORMATION ITEMS</u></b>		
8.1	<u>Regional Comprehensive Plan Goals and Outcomes for Transportation &amp; Security Emergency Preparedness Chapters of the Draft Regional Comprehensive Plan Attachment</u>	<b>Alan Thompson, SCAG Staff</b>  205	<b>10 minutes</b>
	Staff will present the goals and outcomes for the Transportation and Security & Emergency Preparedness Chapters of the Draft Regional Comprehensive Plan.		
<b>9.0</b>	<b><u>CHAIR'S REPORT</u></b>	<b>Hon. Alan Wapner</b>	
<b>10.0</b>	<b><u>FUTURE AGENDA ITEMS</u></b>		
	Any committee member or staff desiring to place items on a future agenda may make such request.		
<b>11.0</b>	<b><u>ANNOUNCEMENTS</u></b>		
	The next RTP Workshop will be held on September 20th in Long Beach, location yet to be determined.		
<b>12.0</b>	<b><u>RTP WORKSHOP</u></b>		
	10:00 am to 11:30 am		
	<b>Major Issues and Framework for the next RTP</b>		
1.	The region's accomplishments to date		<b>15 minutes</b>
a.	Summary of major accomplishment areas		
2.	The region's challenges		<b>40 minutes</b>
a.	Funding - Revenues are fully committed		
	o Preliminary baseline revenues		
	o Preliminary baseline expenditures		
b.	New Requirement imposed by SAFETEA-LU		
	o More stringent interpretation of Fiscal Constraint		
c.	Our needs exceed available funding		
	o list of un-funded needs		



# TRANSPORTATION & COMMUNICATIONS COMMITTEE

## AGENDA

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PAGE #

TIME

### 12.0 RTP WORKSHOP continued

- d. Aging Infrastructure -- Example of unmet needs
  - o State Highway System preservation  
(possible Caltrans presentation)
- e. Proposed RTP development framework
  - o Concept (i.e., core, committed and expanded)
  - o Likely investments included
  - o Potential revenue sources and related limitations

3. Discussion

**20 minutes**

### 13.0 ADJOURNMENT

The next meeting of the Transportation & Communications Committee will be held on October 4, 2007 at the SCAG office in downtown Los Angeles.

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Transportation and Communications Committee  
of the  
Southern California Association of Governments  
July 12, 2007

*Minutes*

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**THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE TRANSPORTATION AND COMMUNICATIONS COMMITTEE. AN AUDIO CASSETTE TAPE OF THE ACTUAL MEETING IS AVAILABLE FOR LISTENING IN SCAG'S OFFICE.**

The Transportation and Communications Committee held its meeting at the SCAG office in Los Angeles. The meeting was called to order by the Honorable Alan Wapner, Chair. There was a quorum.

**Members Present**

Ayala, Luis	SGVCOG
Baldwin, Harry	San Gabriel
Bone, Lou	Tustin
Burke, Yvonne	Los Angeles County
Carroll, Stan	La Habra Heights
Dale, Lawrence	Barstow
Daniels, Gene	Paramount
Dixon, Richard	Lake Forest
Garcia, Lee Ann	Grand Terrace
Gross, Carol	Culver City
Gurule, Frank	Cudahy
Martinez, Sharon	SGVCOG
Messina, Barbara	Alhambra
Mills, Leroy	Cypress
Ovitt, Gary	San Bernardino County
Quirk, Sharon	Fullerton
Roberts, Ron	Temecula
Spence, David	Arroyo Verdugo COG
Stone, Jeffrey	Riverside County
Sykes, Tom	Walnut
Ten, Mike – <b>Vice Chair</b>	South Pasadena
Wapner, Alan - <b>Chair</b>	Ontario

**Members Not Present**

Adams, Steve	Riverside, WRCOG
Aldinger, Jim	Manhattan Beach
Bauman, John	Brea
Becerra, Glen	Simi Valley
Brown, Art	Buena Park
Buckley, Thomas	Lake Elsinore

Chlebnik, John  
Dunlap, Judy  
Edgar, Troy  
Flickinger, Bonnie  
Glabb, Paul  
Gabelich, Rae  
Hack, Bert  
Hernandez, Robert  
Herrera, Carol  
Lowe, Robin  
Lowenthal, Bonnie  
Millhouse, Keith  
O'Connor, Pam  
Parks, Bernard  
Pettis, Gregory  
Rutherford, Mark  
Smith, Greig

Orange County  
Inglewood  
Los Alamitos  
Moreno Valley  
City of Laguna Niguel  
Long Beach  
Laguna Woods  
Anaheim  
Diamond Bar  
Hemet/ RCTC  
Long Beach  
Moorpark  
Santa Monica  
Los Angeles  
Cathedral City  
Las Virgenes/Malibu COG  
Los Angeles

**New Members**

Green, Cathy  
Leon, Paul

OCCOG  
SANBAG

**Voting Members, Not Elected Official**

Rose Casey, Caltrans

**1.0 CALL TO ORDER & PLEDGE OF ALLIGANCE**

The Hon. Alan Wapner, Chair, called the meeting to order at 9:45 a.m.

**2.0 PUBLIC COMMENT PERIOD**

There were no public comments.

**3.0 REVIEW and PRIORITIZE**

**4.0 CONSENT CALENDAR**

**4.1 Approval Item**

4.1.1 Minutes of June 7, 2007 Meeting

4.1.2 Proposed Public Participation Plan Amendment No. 1

**5.1 Receive and File**

5.2.1 2007 State and Federal Legislation Matrix

A **MOTION** (Hon. David Spence) was made to **APPROVE** the Consent Calendar. The motion was **SECONDED** and **UNANIMOUSLY APPROVED**.

## 5.0 ACTION ITEMS

### 5.1 SB 974 (Lowenthal)

Don Rhodes, SCAG, stated that SB 974 was similar to a bill Senator Lowenthal introduced last year. The bill does eight things:

- Requires the Ports of Los Angeles, Long Beach, and Oakland to collect user-fees from container cargo owners at a rate of \$30 per twenty-foot equivalent unit (TEU)—commencing on January 1, 2009.
- Requires the Ports of Los Angeles and Long Beach to deposit ½ of their funds, derived from the container fee, to the Southern California Port Congestion Relief Trust Fund. The remaining ½ of funds would be deposited into the Southern California Port Mitigation Relief Trust Fund. These funds are to be established in the State Treasury.
- Requires corresponding trust funds for Northern California from fees collected by the Port of Oakland.
- Authorizes the California Transportation Commission (CTC) to expend monies from the Congestion Relief Funds for projects that improve the flow and efficiency of cargo movement (includes administrative costs). The CTC is prohibited from using these funds to construct, maintain, or improve highways, with certain exceptions.
- Authorizes the State Air Resources Board (ARB) to expend monies from the Mitigation Funds for projects that reduce air pollution caused by the movement of container cargo (includes administrative costs).
- Prohibits funds deposited in all of these accounts from being loaned or transferred to the General Fund.
- Authorizes the Infrastructure and Economic Development Bank to enter into financing agreements and to issue revenue bonds secured by user-fees on container cargo moving through the ports.
- Requires the Ports of Los Angeles and Long Beach to report to the CTC on the implementation of the Final 2006 San Pedro Bay Ports Clean Air Action Plan. If specific emission reduction goals are not met, funds would be withheld.

Staff has four amendments it would like to recommend to support SB 974:

- Authorize a locally administered process whereby local stakeholder government agencies would allocate, in consultation with state entities as appropriate how the container fees work.
- Broaden project eligibility criteria to include highway facilities serving the ports as long as air quality, health, and community impacts are fully mitigated and integrated into system improvements.

- Consider revising the fee structure/rate to better reflect the proper allocation of costs based on proportional benefits and/or impacts generated from cargo movement and establish a nexus on that.
- Clarify provisions to encourage compliance rather than penalize non-compliance with port emission reduction plans.

If Senator Lowenthal agrees to include these items in the bill, SCAG will come forward and say it would like to support it. This would have to be done quickly as the session is winding down now.

Depending on the TCC's action today, the amendments would then go to the RC and staff would then transmit a letter to Senator Lowenthal's staff with the request.

A **MOTION** (Hon. Barbara Messina) was made to support and work with the author of SB 974. The motion was **SECONDED** and **UNANIMOUSLY APPROVED**.

## **6.0 AVIATION TASK FORCE REPORT**

Hon. Alan Wapner, Chair of the ATF, reported that there was no meeting of the ATF last month. The next meeting has been scheduled for July 19, at 1:00 p.m., at the SCAG office. The main topic will be the Forecast for Air Cargo for the region. The SCRAA meeting was postponed again until September 13.

## **7.0 GOODS MOVEMENT TASK FORCE REPORT**

No report.

## **8.0 MAGLEV TASK FORCE REPORT**

Hon. Lou Bone, Tustin, stated that there was no meeting of the task force in July. In late June the SCAG staff took a field trip to General Atomics in San Diego to check out the Maglev system and test track. The MTF is going to be planning a similar trip to General Atomics in the fall. The IOS and JPA is coming along well and the committee plans to have documentation in that can be discussed at the September meeting.

## **9.0 INFORMATION ITEMS**

### **9.1 2007 AQMP Update/Freight Emission Reduction Strategy**

Rich Macias, SCAG, stated that in the last three months SCAG has demonstrated to the TCC that the region has a health crisis surrounding PM2.5 and other emission factors. The AQMD and CARB have attempted to address this in the next round of the Air Quality Plan. Staff is analyzing issues such as the feasibility of implementation within an accelerated timeframe (by the year 2014), capital costs, project financing options/opportunities and emission reductions. These evaluations will then be considered for potential inclusion in the 2007 Air Quality

Management Plan (AQMP) and State Implementation Plan (SIP) for the South Coast Air Basin.

Staff is attempting to address the Freight Rail Emission Reduction Strategy with a two pronged approach. One is to address congestion reduction strategies involving rail expansion and grade separations, along with addressing environmental concerns and electrification. At the same time, staff is proposing to evaluate the possibility of packaging rail expansion and grade separations with engine upgrades to Tier 4 to address environmental concerns. Staff can address both of these strategies concurrently. This two pronged approach consists of three elements:

- Congestion Reduction
- Alternative Power
- Cleaner Engines Technologies

A recent analysis of emissions reductions for rail capacity and grade separations reflected between 11-13% reduction from baseline for NOx and PM. Attainment should be reached by 2014.

In the area of rail electrification there are 3 phases:

- *Phase 1* electrifies the major east west freight rail corridors from the ports to Colton and San Bernardino.. This phase would electrify 250 miles, 360 locomotives at a cost of 3.4 billion.
- *Phase 2* involves an electrification extension. This would be from San Bernardino to Barstow, from Colton to Indio, from West Colton to Cajon Summit up to the beginning of the UP Colton cut-off. This phase would electrify 170 miles, 360 locomotives at a cost of \$2.5 billion.
- *Phase 3* electrifies also involves an electrification extension. This would be from Chatsworth and the San Fernando Valley. This phase would electrify 40 miles, 55 locomotives at a cost of \$0.53 billion.

With Phase 1 of the electrification process, our region will see reductions of NOx and PM of just over 50% for each pollutant by the year 2014. Add on Phases 2 and 3, and that number is about 80% for either pollutant. Also by 2014, Tier 3 engine deployment can provide reductions of NOx and PM of 10% and 45%, respectively. Add on 100% Tier 4 engine deployment, which is possible by 2020, and the reductions will jump to 82% for NOx and 88% for PM, by 2020.

In terms of cost effectiveness, electrification is generally more expensive option on a cost per ton basis. Switching to Tier 4 engines is by far the most cost effective strategy if we can wait until 2020 for full benefit of emission reduction due to this strategy. If the region can offer enough of a financial incentive to accelerate deployment, the railroads may find Tier 4 to be an attractive option.

The proposed cost allocation strategy calls for rail capacity additions and grade separations to be funded by the railroads, the state, and the cities and CTCs. In

addition, rail capacity additions will also be funded by Metrolink, and grade separations by the ports.

Hasan Ikhata, SCAG, added that if the RC approves the strategy it would then be submitted to the AQMD with the condition that the Air Resources Board backstop it. A backstop means having the ability to put rules in place to come up with emission reductions. If the AQMD agrees to this, the risk is minimal. If they do not agree, then there will be consequences.

*Public Comment* – Mr. Peter Okurowski, consultant with California Environmental Associates, came forward to announce that the Association of American Railroads looks forward to working closely with SCAG. The association has significant concerns that the project can be accomplished by 2014 or 2020.

SCAG will be holding future workshops on the issue, with the first one on August 2. Staff will provide a full report with background information to the committee prior to the workshop.

## 9.2 Trade Corridor Improvement Fund – Programming Framework Alternatives

Rich Macias, SCAG, stated that the voters approved Proposition 1B, moving \$2 billion to the Trade Corridors Improvement Fund (TCIF). Under this proposition, the California Transportation Commission must allocate these funds for infrastructure improvements along federally designated trade corridors or other major freight corridors. Three programming framework alternatives were proposed to guide the CTC in its policy and implementation efforts and to guide the Legislature as it crafts legislation to direct the CTC. A workshop was held on June 15, 2007, in the Port of Oakland to discuss the various alternatives.

There are three similarities in all the alternatives:

1. The CTC would identify the Corridors of National Significance identified in the GMAP or other corridors within the state with a high volume of freight movement for inclusion in the TCIF Program.
2. The CTC would use funding targets to ensure geographical balance to program from the TCIF account.
3. All projects submitted by the regions must meet the TCIF program objectives established by the commission, be ready for construction within five years, and be included in an improved RTP.

The three alternatives are as follows:

### Alternative 1: Formula Driven Framework

- The regions identify activities that contribute to the most significant trade corridor concerns.
- Using the funding targets as established for the north/south split, the CTC would program funds the state's overall trade corridor concerns in mind.

- The regions would submit projects that require immediate resolution and provide long term benefit.
- The CTC would evaluate and select projects based on the project selection criteria.

#### Alternative 2: Investment Framework

- Using the funding targets, the CTC would establish broad statewide programming criteria and performance objectives for the TCIF program in consultation with the stakeholders.
- The regions individually develop program objectives, investment criteria, and performance measures for each corridor submitted.
- The CTC would review and approve with the stakeholders the program objectives, investment criteria and performance measures for each corridor, and ensure that the four corridor approaches reflect a statewide strategy.
- The regions would then develop an investment package which identifies and prioritizes projects, and includes performance measures and committed funds.
- The CTC, working closely with the stakeholders and regional agencies to select the projects based on the project selection criteria and how they address the state's most urgent needs and provide reasonable geographic balance.

#### Alternative 3: System Activity Based Framework

- The regions identify activities within their corridor(s) that offer the greatest system benefits for achieving the TCIF program objectives.
- Using the funding targets, the CTC funds activities that would provide the greatest system benefit.
- The regions nominate projects, including a target for anticipated system benefits, total activity performance measurements and project investments required to achieve the objectives.
- The CTC would then evaluate and select the projects based on the project selection criteria.

Alternative 3 is much like Alternative 2 but it doesn't provide as much participation by the local stakeholders. Alternative 2 generated the most discussion. It appeared that the majority of the stakeholders favored Alternative 2. The CTC is currently briefing the legislature on the alternatives. The CTC will hold additional workshops and meetings prior to making a decision on which alternative it will use.

The TCC requested that Staff advise the committee of what they could do to be more effective in Sacramento. Mr. Ikhata suggested that a delegation representing SCAG, the Commissions, and the AQMD be sent to Sacramento to the CTC hearings to talk about SB 9 and SB 19.

### 9.3 Regional Comprehensive Plan Vision and Guiding Principles

Douglas Kim, SCAG consultant, stated that SCAG was looking to adopt the Regional Comprehensive Plan (RCP) on the same schedule as the Regional

Transportation Plan (RTP), and will update the document on a regular basis concurrent with the RTP.

The RCP is a general plan for six counties in Southern California. Staff looks at nine different areas of public policy ranging from land use, natural resources, water, solid waste, and the economy. There is no federal or state requirement to do an RCP, so why do we do it? Last year, the voters of California approved over \$20 billion in bonds, and the state is saying in order to allocate that funding to good transportation, housing and parks projects, and give it to regions that have an idea of what kinds of programs get the best value to the dollar. SAFETEA-LU requires that when you do transportation plans you take into consideration the natural environment and weave it into a bigger picture perspective. Federal law requires that SCAG does an intergovernmental review process whereby SCAG helps cities that are looking to update their general plans or looking at major development projects, giving them some larger ideas of what they may want to consider.

One reason why the RCP is important is the notion of a sustainable region. The RCP looks at a thirty year window, in which a lot can be predicted and a lot can not. A good example of this is global warming and climate change, which has a big impact on weather, which then impacts the regions water supply. There will be temperature increases anywhere from 3-10%. The number of extremely hot days could double from 36 per year. There could be widespread health issues because of the increase in temperatures. When it gets hotter, air conditioning is run more. More power plants will have to be built, which will result in more greenhouse gas emissions.

One of the biggest impacts of climate change is the impact on water supply. This has been the driest year on record in the Los Angeles area. With climate change, there is less snow pack in the Sierra's. There is less run-off in the spring, which results in potentially 30% less fresh water from the Nevada Sierra's, where most of the region's water comes from.

AB 32, which Governor Schwarzenegger signed last year, says that in the year 2020, California needs to get its greenhouse gas emissions back to 1990 levels. The biggest source of greenhouse gas is the transportation sector. Over the last 30 years, Vehicle Miles Traveled (VMT) has gone up every year. Overall VMT would have to be reduced by 20-30% to reverse current greenhouse gases.

SCAG's RCP Task Force has approved a vision for what the new RCP is going to do. The vision is two-part: 1) foster a region that addresses the needs of the future while recognizing that economic prosperity, natural resources, and quality of life have to come together as a package, 2) develop quantitative performance outcomes. Give very specific ideas of how success is measured in the future and put together an action plan.

Staff plans to bring forth the Transportation and Security & Emergency Preparedness chapters to the TCFC for review in September. The Draft Regional

Comprehensive Plan is scheduled for release by October 2007 with the final version accompanying the Regional Transportation Plan's adoption.

**10.0 CHAIR REPORT**

Hon. Alan Wapner, Chair, announced that the meeting of the TCC would be restructured so policy discussions could occur at the beginning of the meeting and at the end there would be presentations if necessary. The reason for this is there will be a lot of discussion involved with producing the draft RTP by the end of October. SCAG will hold future special meetings and workshops on the RTP. SCAG is looking at final adoption of the RTP in February or March of 2008.

**11.0 STAFF REPORT**

Andre Darmanin, SCAG, announced that there would be a Transit Summit held March 19-20, 2008 in Los Angeles.

Cheryl Collier, SCAG, distributed handouts of SCAG's Public Participation Summary of Online Survey Results to the committee.

**12.0 FUTURE AGENDA ITEMS**

No items.

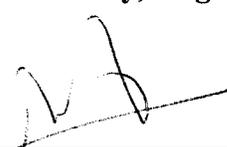
**13.0 ANNOUNCEMENTS**

No announcements.

**14.0 ADJOURNMENT**

The Hon. Alan Wapner adjourned the meeting at 11:26 a.m.

The next committee meeting will be held on **Thursday, August 30, 2007, at the SCAG office in downtown Los Angeles.**



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Naresh Amatya, Acting Manager  
Transportation Planning Division





# MEMO

**DATE:** August 30, 2007  
**TO:** Transportation and Communications Committee  
**FROM:** Jeffrey S. Dunn, Government Affairs Analyst, (213) 236-1880, dunn@scag.ca.gov  
**SUBJECT:** 2007 State and Federal Legislation Matrix

## BACKGROUND:

The attached legislative bill matrix provides summaries of state and federal legislation relevant to SCAG activities and items of interest.

These legislative bills are organized by subject matter in the following categories: SCAG Position Bills, Aviation, Transit, Transportation, and Transportation Bonds. An index is provided for ease of reference.

Bill summaries include known on-record positions for other statewide organizations following these issues such as the California League of Cities, California State Association of Counties, CALCOG, and others. Also included for your information is each bill's position in the legislative process. Any bills included in previous matrices that have failed to move, i.e., 'dead' bills or 'two year' bills (i.e., that have not passed out of its first policy committee before the constitutional deadline), have either been labeled or have been purged from the matrix.

Att.

## FISCAL IMPACT:

All work related to this information item is contained within the adopted FY 07/08 budget WBS# 08-810.SCGS1.

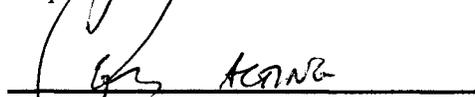
Reviewed by:

  
Division Manager

Reviewed by:

  
Department Director

Reviewed by:

  
Chief Financial Officer

**2007 State and Federal Legislation Matrix**  
**SCAG Transportation & Communications Committee**  
**August 30, 2007**

1. Index of Legislation
2. SCAG Bill Positions, Aviation, Transit, Transportation & Transportation Bonds  
Legislation

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**SCAG Position Bills**

<u>BILLID</u>	<u>AUTHOR</u>	<u>TITLE</u>	<u>LAST AMEND DATE</u>
CA AB 169	Levine [D]  Position:	Joint Powers Authorities: Indian Tribes SCAG-Sponsor	
CA AB 630	Price [D]  Position:	Air Emissions Standards: EPA Waiver SCAG-Sup	05/01/2007
CA AB 1240	Benoit [R]  Position:	Riverside County Transportation Commission SCAG-Sup	
CA AB 1457	Huffman [D]  Position:	Parks and Recreation: State Parks: Roads SCAG-Opp	
CA SB 61	Runner G [R]  Position:	High-Occupancy Toll Lanes and Toll Roads SCAG-Sup	05/01/2007
CA SB 974	Lowenthal [D]  Position:	Ports: Congestion Relief: Environmental Mitigation SCAG-Sup/WorkWAut	05/24/2007
CA SCR 16	Negrete McLeod [D] Position:	Gary Moon Memorial Interchange SANBAG-Sponsor, SCAG-Sup	03/26/2007

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**AVIATION**

<u>BILLID</u>	<u>AUTHOR</u>	<u>TITLE</u>	<u>LAST AMEND DATE</u>
US HR 1356	Oberstar [DFL]	Federal Aviation Administration Appropriations	
US HR 1708	Harman [D]	Noise Compatibility Projects	
US S 996	Feinstein [D]	Passenger Facility Fee Eligibility	
US S 1076	Inouye [D]	Aviation Safety and Capacity	

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**TRANSIT**

<u>BILLID</u>	<u>AUTHOR</u>	<u>TITLE</u>	<u>LAST AMEND DATE</u>
CA AB 889	Lieu [D]  Position:	Metro Green Line Construction Authority MTA-Opp	04/30/2007

CA AB 901	Nunez [D] <b>Position:</b>	Transportation: Highway Safety Traffic Reduction MTA-SuppIfAmend	07/05/2007
CA AB 1228	Solorio [D] <b>Position:</b>	High-Speed Passenger Train Bond Act OCTA-Sponsor	
CA AB 1240	Benoit [R] <b>Position:</b>	Riverside County Transportation Commission CSAC-Sup, RCTC-Sponsor, SCAG-Sup	
CA SB 442	Ackerman [R] <b>Position:</b>	Public Contracts: Transit Projects: Design-Build OCTA-Sponsor, SCAG-Sup	04/09/2007
CA SB 650	Padilla [D] <b>Position:</b>	Vehicles: Maximum Length: Exceptions MTA-Sup	07/16/2007
US HR 238	Waxman [D]	Funding for San Fernando Valley Metro Rail Project	
US S 497	Boxer [D]	Los Angeles to San Fernando Valley Metro Rail Project	

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### TRANSPORTATION

<u>BILLID</u>	<u>AUTHOR</u>	<u>TITLE</u>	<u>LAST AMEND DATE</u>
CA AB 57	Soto [D] <b>Position:</b>	Highways: Federal Funds: Safe Routes to School League-Sup, MTC-Sup	07/20/2007
CA AB 256	Huff [R] <b>Position:</b>	Highway Users Tax Account: Appropriation of Funds CSAC-Sup, OCTA-Sponsor	04/25/2007
CA AB 867	Davis [D]	Transportation Analysis Zones	07/10/2007
CA AB 899	Parra [D]	Transportation Facilities: Public-Private Partnerships	04/10/2007
CA AB 945	Carter [D] <b>Position:</b>	Transportation Needs Assessment CSAC-Sup, MTC-Sup	04/26/2007
CA AB 1295	Spitzer [R]	Tolls: Orange and Riverside Counties	05/01/2007
CA AB 1306	Huff [R] <b>Position:</b>	Sales Taxes on Gasoline MTA-Opp, OCTA-Sponsor	

CA AB 1322	Duvall [R]	Transportation: Eminent Domain	07/17/2007
CA AB 1373	Emmerson [R] <b>Position:</b>	Highway Construction Contract: Design-Build Method SANBAG-Sup	
CA AB 1457	Huffman [D] <b>Position:</b>	Parks and Recreation: State Parks: Roads OCTA-Opp, SCAG-Opp	
CA AB 1499	Garrick [R] <b>Position:</b>	Department of Transportation: Design-Build SANBAG-Sup	
CA SB 45	Perata [D]	Transportation Funds: Transit System Safety	06/04/2007
CA SB 61	Runner G [R] <b>Position:</b>	High-Occupancy Toll Lanes and Toll Roads SANBAG-Sup, SCAG-Sup	05/01/2007
CA SB 184	Alquist [D] <b>Position:</b>	Transportation Projects OCTA-Sponsor	07/09/2007
CA SB 427	Harman [R] <b>Position:</b>	Environmental Quality Act: Impact Reports CALCOG-Sup	
CA SB 445	Torlakson [D] <b>Position:</b>	Road User Task Force CALCOG-Sup, CSAC-Sup, MTA-SuppIfAmend, MTC-Sup, SANBAG-Sup	06/04/2007
CA SB 717	Perata [D] <b>Position:</b>	Transportation Investment Fund CALCOG-Sup, CSAC-Sup, League-Sup	05/10/2007
CA SB 872	Ackerman [R] <b>Position:</b>	State-Local Partnership Program OCTA-Sup	05/08/2007
CA SB 974	Lowenthal [D] <b>Position:</b>	Ports: Congestion Relief: Environmental Mitigation CALCOG-Sup, MTA-SuppIfAmend, SCAG-Sup/WorkWAut	05/24/2007
CA SB 1016	Wiggins [D]	Diversion: Annual Reports	04/10/2007
CA SB 1020	Padilla [D]	Solid Waste: Diversion	06/26/2007
CA SCR 16	Negrete McLeod [D] <b>Position:</b>	Gary Moon Memorial Interchange SANBAG-Sup, SCAG-Sup	03/26/2007

US HR 238	Waxman [D]	Funding for San Fernando Valley Metro Rail Project	
US HR 802	Oberstar [DFL]	Act to Prevent Pollution from Ships	03/26/2007
US HR 1053	Miller Ga [R]	California Transportation Projects	
US HR 1195	Oberstar [DFL]	Safe Accountable Flexible Efficient Transportation Act	03/26/2007
US HR 1606	Matsui D [D]	Flexibility Incentive Grant Program	
US S 294	Lautenberg [D]	Reauthorizing Amtrak	
US S 775	Carper [D]	National Commission on Infrastructure	08/02/2007

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### TRANSPORTATION BONDS

<u>BILLID</u>	<u>AUTHOR</u>	<u>TITLE</u>	<u>LAST AMEND DATE</u>
CA AB 412	Smyth [R]	Transportation: Project Deadlines	03/26/2007
CA AB 784	Karnette [D]	Transportation Bonds	04/24/2007
CA AB 901	Nunez [D]	Transportation: Highway Safety Traffic Reduction	07/05/2007
CA AB 995	Nava [D]	Goods Movement Emission Reduction Program	07/17/2007
CA AB 1350	Nunez [D] Position:	Transportation Bond Funds MTA-SuppIfAmend	06/01/2007
CA AB 1351	Levine [D]	Transportation: State-Local Partnerships	07/12/2007
CA AB 1672	Nunez [D]	California Transportation Commission	07/16/2007
CA SB 9	Lowenthal [D]	Trade Corridor Improvement: Transportation Project	07/19/2007
CA SB 19	Lowenthal [D]	Trade Corridor: Projects to Reduce Emissions: Goods	07/17/2007
CA SB 45	Perata [D]	Transportation Funds: Transit System Safety	06/04/2007
CA SB 47	Perata [D] Type:	Transportation Bonds 2-Year	

CA SB 88	Budget and Fiscal Review Cmt	2006 Bond Act Funding	07/19/2007
CA SB 262	Runner G [R] <b>Position:</b> <b>Type:</b>	Transportation: Trade Corridors Improvement SANBAG-Sup 2-Year	
CA SB 286	Lowenthal [D] <b>Position:</b>	Transportation Bonds: Implementation CSAC-Sponsor, LEAGUE-Sponsor	07/16/2007
CA SB 748	Corbett [D] <b>Position:</b>	Transportation: State Local Partnerships MTA-Opp, MTC-Sup	07/12/2007

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**SCAG Position Bills**

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CA AB 169	<p><b>AUTHOR:</b> Levine [D]  <b>TITLE:</b> Joint Powers Authorities: Indian Tribes  <b>FISCAL COMMITTEE:</b> no  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 01/23/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Senate Local Government Committee  <b>SUMMARY:</b>  Provides that 16 federally recognized Indian tribal governments may participate in the Southern California Association of Governments, a joint powers authority, for specified purposes and subject to specified conditions in the 6 - county region of the Southern California Association of Governments.  <b>STATUS:</b>  05/23/2007 To SENATE Committee on LOCAL GOVERNMENT.</p>
CA AB 630	<p><b>AUTHOR:</b> Price [D]  <b>TITLE:</b> Air Emissions Standards: EPA Waiver  <b>INTRODUCED:</b> 02/21/2007  <b>LAST AMEND:</b> 05/01/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Appropriations Committee  <b>SUMMARY:</b>  Requires, if certain requirements are met, the State Air Resources Board to expeditiously adopt a vehicle emissions standard or requirement proposed by the South Coast Air Quality Management District that requires a waiver or authorization under the federal Clean Air Act, and would require the state board, if necessary, to submit the standard or requirement to the United States Environmental Protection Agency for waiver or authorization.  <b>STATUS:</b>  05/31/2007 In ASSEMBLY Committee on APPROPRIATIONS: Heard, remains in Committee.</p>
CA AB 1240	<p><b>AUTHOR:</b> Benoit [R]  <b>TITLE:</b> Riverside County Transportation Commission  <b>INTRODUCED:</b> 02/23/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Transportation Committee  <b>SUMMARY:</b>  Authorizes the Riverside County Transportation Commission to enter into those design-build contracts for the purpose of constructing commuter rail lines and would designate that commission as a transit operator for those purposes.  <b>STATUS:</b>  05/07/2007 In ASSEMBLY Committee on TRANSPORTATION: Heard, remains in Committee.</p>

CA AB 1457    **AUTHOR:**                    Huffman [D]  
**TITLE:**                               Parks and Recreation: State Parks: Roads  
**INTRODUCED:**                    02/23/2007  
**DISPOSITION:**                   Pending  
**LOCATION:**                         Assembly Water, Parks and Wildlife Committee  
**SUMMARY:**  
Prohibits a state or local agency from making an improvement or extension to an existing road, that will physically encroach upon, traverse, bisect or impair the recreational value of a state park property.  
**STATUS:**  
04/24/2007                    In ASSEMBLY Committee on WATER, PARKS AND WILDLIFE: Heard, remains in Committee.

CA SB 61        **AUTHOR:**                    Runner G [R]  
**TITLE:**                               High-Occupancy Toll Lanes and Toll Roads  
**FISCAL COMMITTEE:**            yes  
**URGENCY CLAUSE:**               no  
**INTRODUCED:**                    01/16/2007  
**LAST AMEND:**                    05/01/2007  
**DISPOSITION:**                   Pending  
**LOCATION:**                         Assembly Transportation Committee  
**SUMMARY:**  
Authorizes the Department of Transportation to apply to the State Transportation Commission for the development and operation of a high-occupancy toll land or toll road project sponsored by the department. Deletes the 4-project limitation and the requirement for the Legislature to approve each project by statute.  
**STATUS:**  
06/07/2007                    To ASSEMBLY Committee on TRANSPORTATION.

CA SB 974      **AUTHOR:**                    Lowenthal [D]  
**TITLE:**                               Ports: Congestion Relief: Environmental Mitigation  
**FISCAL COMMITTEE:**            yes  
**URGENCY CLAUSE:**               no  
**INTRODUCED:**                    02/23/2007  
**LAST AMEND:**                    05/24/2007  
**DISPOSITION:**                   Pending  
**LOCATION:**                         Assembly Appropriations Committee  
**SUMMARY:**  
Requires the Ports of Los Angeles and Long Beach to transmit a portion of the funds derived from imposition of a container cargo user fee to the Southern California Port Congestion Relief Trust Fund. Requires the Port of Oakland to transmit a portion of the funds derived from imposition of the fee to the Northern California Port Congestion Relief Trust Fund and a portion to the Northern California Port Mitigation Relief Trust Fund. Authorizes infrastructure bank financing agreements.  
**STATUS:**  
07/09/2007                    From ASSEMBLY Committee on TRANSPORTATION: Do pass to Committee on APPROPRIATIONS.

CA SCR 16      **AUTHOR:**                      Negrete McLeod [D]  
**TITLE:**                                      Gary Moon Memorial Interchange  
**FISCAL COMMITTEE:**                      yes  
**URGENCY CLAUSE:**                        no  
**INTRODUCED:**                              02/20/2007  
**ADOPTED:**                                    07/10/2007  
**DISPOSITION:**                              Adopted  
**LOCATION:**                                    Chaptered  
**CHAPTER:**                                    86  
**SUMMARY:**  
Designates the future interchange at State Highway Route 210 and Interstate 215, the Gary Moon Memorial Interchange.  
**STATUS:**  
07/10/2007                                      Chaptered by Secretary of State.  
07/10/2007                                      Resolution Chapter No. 86

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**AVIATION**

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US HR 1356      **SPONSOR:**                                      Oberstar [DFL]  
**TITLE:**    Federal Aviation Administration Appropriations  
**INTRODUCED:**                                    03/06/2007  
**DISPOSITION:**                                    Pending  
**LOCATION:**                                        Multiple Committees  
**SUMMARY:**  
Authorizes appropriations for the Federal Aviation Administration for fiscal years 2008 through 2010; improves aviation safety and capacity; provides stable, cost-based funding for the national aviation system; relates to other purposes.  
**STATUS:**  
03/06/2007                                      INTRODUCED.  
03/06/2007                                      To HOUSE Committee on TRANSPORTATION AND INFRASTRUCTURE.  
03/06/2007                                      Additionally referred to HOUSE Committee on SCIENCE.  
03/06/2007                                      Additionally referred to HOUSE Committee on WAYS AND MEANS.

US HR 1708      **SPONSOR:**                                      Harman [D]  
**TITLE:**    Noise Compatibility Projects  
**INTRODUCED:**                                    03/27/2007  
**DISPOSITION:**                                    Pending  
**LOCATION:**                                        House Transportation & Infrastructure Committee  
**SUMMARY:**  
Expands passenger facility fee eligibility for noise compatibility projects.  
**STATUS:**  
03/27/2007                                      INTRODUCED.  
03/27/2007                                      To HOUSE Committee on TRANSPORTATION AND INFRASTRUCTURE.

US S 996      **SPONSOR:** Feinstein [D]  
**TITLE:** Passenger Facility Fee Eligibility  
**INTRODUCED:** 03/27/2007  
**DISPOSITION:** Pending  
**LOCATION:** Senate Commerce, Science & Transportation Committee

**SUMMARY:**  
Expands passenger facility fee eligibility for certain noise compatibility projects.

**STATUS:**  
03/27/2007      INTRODUCED.  
03/27/2007      In SENATE. Read second time.  
03/27/2007      To SENATE Committee on COMMERCE, SCIENCE, AND TRANSPORTATION.

US S 1076      **SPONSOR:** Inouye [D]  
**TITLE:** Aviation Safety and Capacity  
**INTRODUCED:** 03/29/2007  
**DISPOSITION:** Pending  
**LOCATION:** Senate Finance Committee

**SUMMARY:**  
Authorizes appropriations for the Federal Aviation Administration for fiscal years 2008 through 2010; improves aviation safety and capacity; provides stable, cost-based funding for the national aviation system, and for other purposes.

**STATUS:**  
03/29/2007      INTRODUCED.  
03/29/2007      In SENATE. Read second time.  
03/29/2007      To SENATE Committee on FINANCE.

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**TRANSIT**

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CA AB 889      **AUTHOR:** Lieu [D]  
**TITLE:** Metro Green Line Construction Authority  
**INTRODUCED:** 02/22/2007  
**LAST AMEND:** 04/30/2007  
**DISPOSITION:** Pending  
**LOCATION:** Assembly Appropriations Committee

**SUMMARY:**  
Establishes the Metro Green Line Construction Authority for the purpose of awarding and overseeing final design and construction contracts for completion of the Los Angeles-Metro Green Line light rail project that would establish a coastal extension of the Green Line to the north and south, including an initial segment to the Los Angeles International Airport. Provides for specified related duties for the authority. Provides for the governing board of the authority.

**STATUS:**  
05/31/2007      In ASSEMBLY Committee on APPROPRIATIONS:  
Heard, remains in Committee.

**Position:** MTA-Opp

CA AB 901      **AUTHOR:**                      Nunez [D]  
**TITLE:**                                      Transportation: Highway Safety Traffic Reduction  
**INTRODUCED:**                              02/22/2007  
**LAST AMEND:**                              07/05/2007  
**DISPOSITION:**                              Pending  
**COMMITTEE:**                              Senate Appropriations Committee  
**HEARING:**                                    08/20/2007 10:00 am  
**SUMMARY:**  
Relates to the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 that requires funds from the proceeds of bonds under the act for allocation to public transit operators and transportation planning agencies. Requires the Department of Transportation and Transportation Commission to provide information regarding their needs. Imposes specified auditing requirements.  
**STATUS:**  
07/10/2007                              From SENATE Committee on TRANSPORTATION AND HOUSING: Do pass to Committee on APPROPRIATIONS.  
**Position:**                                    MTA-SuppIfAmend

CA AB 1228      **AUTHOR:**                              Solorio [D]  
**TITLE:**                                      High-Speed Passenger Train Bond Act  
**INTRODUCED:**                              02/23/2007  
**DISPOSITION:**                              Pending  
**LOCATION:**                                    Assembly Appropriations Committee  
**SUMMARY:**  
Relates to the Safe, Reliable High-Speed Passenger Train Bond Act for the 21st Century. Provides that Anaheim is to be the Southern terminus of the initial segment of the high-speed train system. provides for the Anaheim-Irvine segment, the bill would provide that no general obligation bond funds shall be available for construction, but that those funds shall be available only for eligible planning, environmental, and engineering costs.  
**STATUS:**  
05/31/2007                              In ASSEMBLY Committee on APPROPRIATIONS: Heard, remains in Committee.  
**Position:**                                    OCTA-Sponsor

CA AB 1240      **AUTHOR:**                              Benoit [R]  
**TITLE:**                                      Riverside County Transportation Commission  
**INTRODUCED:**                              02/23/2007  
**DISPOSITION:**                              Pending  
**LOCATION:**                                    Assembly Transportation Committee  
**SUMMARY:**  
Authorizes the Riverside County Transportation Commission to enter into those design-build contracts for the purpose of constructing commuter rail lines and would designate that commission as a transit operator for those purposes.  
**STATUS:**  
05/07/2007                              In ASSEMBLY Committee on TRANSPORTATION: Heard, remains in Committee.  
**Position:**                                    CSAC-Sup, RCTC-Sponsor, SCAG-Sup

CA SB 442      **AUTHOR:**                      Ackerman [R]  
**TITLE:**                              Public Contracts: Transit Projects: Design-Build  
**FISCAL COMMITTEE:**              yes  
**URGENCY CLAUSE:**                no  
**INTRODUCED:**                    02/21/2007  
**LAST AMEND:**                     04/09/2007  
**DISPOSITION:**                    Pending  
**LOCATION:**                         Senate Transportation and Housing Committee  
**SUMMARY:**

Relates to transit projects and design-build contracting. Authorizes the Orange County Transit District to enter into design-build contracts for transit projects in accordance with specified provisions.

**STATUS:**  
04/24/2007                      In SENATE Committee on TRANSPORTATION AND HOUSING: Failed passage.  
04/24/2007                      In SENATE Committee on TRANSPORTATION AND HOUSING: Reconsideration granted.  
**Position:**                        OCTA-Sponsor, SCAG-Sup

CA SB 650      **AUTHOR:**                      Padilla [D]  
**TITLE:**                              Vehicles: Maximum Length: Exceptions  
**FISCAL COMMITTEE:**              yes  
**URGENCY CLAUSE:**                no  
**INTRODUCED:**                    02/22/2007  
**LAST AMEND:**                     07/16/2007  
**DISPOSITION:**                    Pending  
**LOCATION:**                         Assembly Third Reading File  
**SUMMARY:**

Allows an articulated bus or articulated trolley coach that does not exceed a length of 65 feet and is operated on a dedicated right-of-way or is operated on a street or highway under specified conditions, and the route is approved by a route review committee to operate on a highway.

**STATUS:**  
07/17/2007                      In ASSEMBLY. Read second time. To third reading.  
**Position:**                        MTA-Sup

US HR 238      **SPONSOR:**                      Waxman [D]  
**TITLE:**                              Funding for San Fernando Valley Metro Rail Project  
**INTRODUCED:**                    01/04/2007  
**DISPOSITION:**                    Pending  
**LOCATION:**                         Senate Banking, Housing and Urban Affairs Committee  
**SUMMARY:**

Repeals a prohibition on the use of certain funds for tunneling in certain areas with respect to the Los Angeles to San Fernando Valley Metro Rail project, California.

**STATUS:**  
03/27/2007                      In SENATE. Read second time.  
03/27/2007                      To SENATE Committee on BANKING, HOUSING AND URBAN AFFAIRS.

US S 497      **SPONSOR:**                      Boxer [D]  
**TITLE:**                                      Los Angeles to San Fernando Valley Metro Rail  
Project  
**INTRODUCED:**                              02/06/2007  
**DISPOSITION:**                              Pending  
**LOCATION:**                                      Senate Banking, Housing and Urban Affairs  
Committee

**SUMMARY:**  
Repeals a prohibition on the use of certain funds for tunneling in certain areas with respect to the Los Angeles to San Fernando Valley Metro Rail project, California.

**STATUS:**  
02/06/2007                                      INTRODUCED.  
02/06/2007                                      To SENATE Committee on BANKING, HOUSING AND  
URBAN AFFAIRS.

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**TRANSPORTATION**

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CA AB 57      **AUTHOR:**                                      Soto [D]  
**TITLE:**    Highways: Federal Funds: Safe Routes to School  
**FISCAL COMMITTEE:**                              yes  
**URGENCY CLAUSE:**                              no  
**INTRODUCED:**                                      12/04/2006  
**LAST AMEND:**                                      07/20/2007  
**DISPOSITION:**                                      Pending  
**COMMITTEE:**                                      Senate Appropriations Committee  
**HEARING:**    08/20/2007 10:00 am

**SUMMARY:**  
Authorizes state and local entities to secure and expend federal funds appropriated under the Safe, Accountable, Flexible, Efficient Transportation Equity Act - A Legacy for Users for programs relating to highway safety improvements that can reduce the number of fatal and serious injury accidents. Requires the Department of Transportation to administer the Safe Routes to School construction program and to distribute any federal funds to be distributed under the competitive grant process.

**STATUS:**  
07/20/2007                                      From SENATE Committee on APPROPRIATIONS with  
author's amendments.  
07/20/2007                                      In SENATE. Read second time and amended.  
Re-referred to Committee on APPROPRIATIONS.  
**Position:**    League-Sup, MTC-Sup

CA AB 256

**AUTHOR:** Huff [R]  
**TITLE:** Highway Users Tax Account: Appropriation of Funds  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** no  
**INTRODUCED:** 02/05/2007  
**LAST AMEND:** 04/25/2007  
**DISPOSITION:** Pending  
**LOCATION:** Assembly Appropriations Committee  
**SUMMARY:**

Provides, that in any year in which the Budget Act has not been enacted by a specified date, that all moneys in the Highway Users Tax Account in the Transportation Tax Fund from the prior fiscal year are continuously appropriated and may be encumbered from certain purposes until the Budget Act is enacted. Authorizes the Controller to make estimates in order to implement these provisions.

**STATUS:**

05/31/2007 In ASSEMBLY Committee on APPROPRIATIONS:  
Heard, remains in Committee.

**Position:** CSAC-Sup, OCTA-Sponsor

CA AB 867

**AUTHOR:** Davis [D]  
**TITLE:** Transportation Analysis Zones  
**INTRODUCED:** 02/22/2007  
**LAST AMEND:** 07/10/2007  
**DISPOSITION:** Pending  
**COMMITTEE:** Senate Appropriations Committee  
**HEARING:** 08/20/2007 10:00 am  
**SUMMARY:**

Requires each metropolitan planning organization and each regional transportation planning agency, in developing the regional transportation plan, to factor the mobility of low-income and minority residents into its computer analysis of regional traffic analysis zones used to estimate travel behavior and traffic generation as part of the transportation demand model. Requires results of such analysis to be availed to the public and to be added as an addendum to the regional transportation plan.

**STATUS:**

07/10/2007 In SENATE. Read second time and amended.  
Re-referred to Committee on APPROPRIATIONS.

CA AB 899      **AUTHOR:**                      Parra [D]  
**TITLE:**                                      Transportation Facilities: Public-Private Partnerships  
**INTRODUCED:**                      02/22/2007  
**LAST AMEND:**                      04/10/2007  
**DISPOSITION:**                      Pending  
**LOCATION:**                                  Assembly Transportation Committee  
**SUMMARY:**

Authorizes the Department of Transportation and regional transportation agencies to enter into comprehensive development lease agreements with public and private entities, or consortia of those entities, for certain transportation projects that may charge certain users of those projects tolls and user fees, through a specified date.

**STATUS:**

04/10/2007                      From ASSEMBLY Committee on TRANSPORTATION with author's amendments.

04/10/2007                      In ASSEMBLY. Read second time and amended. Re-referred to Committee on TRANSPORTATION.

CA AB 945      **AUTHOR:**                      Carter [D]  
**TITLE:**                                      Transportation Needs Assessment  
**INTRODUCED:**                      02/22/2007  
**LAST AMEND:**                      04/26/2007  
**DISPOSITION:**                      Pending  
**LOCATION:**                                  Senate Appropriations Committee  
**SUMMARY:**

Requires the Transportation Commission to develop, on a every-5-year basis, an assessment of the unfunded costs of programmed state projects and federally earmarked projects in the state, as well as an assessment of available funding for transportation purposes and unmet transportation needs on a statewide basis.

**STATUS:**

07/16/2007                      In SENATE Committee on APPROPRIATIONS: To Suspense File.

**Position:**                                  CSAC-Sup, MTC-Sup

CA AB 1295	<p><b>AUTHOR:</b> Spitzer [R]  <b>TITLE:</b> Tolls: Orange and Riverside Counties  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 02/23/2007  <b>LAST AMEND:</b> 05/01/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Appropriations Committee  <b>SUMMARY:</b>  Authorizes the Orange County Transportation Authority to eliminate its right, interests and obligations in the Riverside County portion of State Highway Route 90 toll land. Authorizes the Riverside County Transportation Commission to impose tolls for 50 years on its portion of State Highway Route 91 for operating expenses of the toll lane. Authorizes the issuance of bonds for transportation facilities within a specified corridor. Relates to the construction of a toll lane on State Highway Route 15.  <b>STATUS:</b>  05/31/2007 In ASSEMBLY Committee on APPROPRIATIONS: Heard, remains in Committee.</p>
CA AB 1306	<p><b>AUTHOR:</b> Huff [R]  <b>TITLE:</b> Sales Taxes on Gasoline  <b>INTRODUCED:</b> 02/23/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Transportation Committee  <b>SUMMARY:</b>  Reduces the portion of gasoline sales tax revenues that are deposited in the Public Transportation Account by eliminating what is commonly known as the spillover formula. Increase revenues from the sales tax on gasoline that are deposited in the General Fund. Requires those revenues to be transferred to the Transportation Investment Fund.  <b>STATUS:</b>  04/23/2007 In ASSEMBLY Committee on TRANSPORTATION: Failed passage.  04/23/2007 In ASSEMBLY Committee on TRANSPORTATION: Reconsideration granted.  <b>Position:</b> MTA-Opp, OCTA-Sponsor</p>

CA AB 1322    **AUTHOR:**                    Duvall [R]  
**TITLE:**                                Transportation: Eminent Domain  
**FISCAL COMMITTEE:**                yes  
**URGENCY CLAUSE:**                 no  
**INTRODUCED:**                        02/23/2007  
**LAST AMEND:**                        07/17/2007  
**DISPOSITION:**                       Pending  
**COMMITTEE:**                         Senate Appropriations Committee  
**HEARING:**                            08/20/2007 10:00 am  
**SUMMARY:**  
Requires the Department of Transportation, for any property that the department is acquiring by, or under threat of, eminent domain, to provide, in a timely manner, to the property owner a copy of all appraisals performed or obtained by the department. Requires the appraiser to provide a copy of appraisals to the department if they are first provided to the property owner instead of the department.  
**STATUS:**  
07/17/2007                            In SENATE. Read second time and amended.  
Re-referred to Committee on APPROPRIATIONS.

CA AB 1373    **AUTHOR:**                    Emmerson [R]  
**TITLE:**                                Highway Construction Contract: Design-Build Method  
**INTRODUCED:**                        02/23/2007  
**DISPOSITION:**                        Pending  
**LOCATION:**                             Assembly Transportation Committee  
**SUMMARY:**  
Authorizes the San Bernardino Associated Governments to use a design-build procurement method for the construction of improvements to the highways that provide access to health facilities offering emergency services in San Bernardino County.  
**STATUS:**  
04/23/2007                            In ASSEMBLY Committee on TRANSPORTATION: Not heard.  
**Position:**                             SANBAG-Sup

CA AB 1457    **AUTHOR:**                    Huffman [D]  
**TITLE:**                                Parks and Recreation: State Parks: Roads  
**INTRODUCED:**                        02/23/2007  
**DISPOSITION:**                        Pending  
**LOCATION:**                             Assembly Water, Parks and Wildlife Committee  
**SUMMARY:**  
Prohibits a state or local agency from making an improvement or extension to an existing road, that will physically encroach upon, traverse, bisect or impair the recreational value of a state park property.  
**STATUS:**  
04/24/2007                            In ASSEMBLY Committee on WATER, PARKS AND WILDLIFE: Heard, remains in Committee.  
**Position:**                             OCTA-Opp, SCAG-Opp

CA AB 1499	<p><b>AUTHOR:</b> Garrick [R]  <b>TITLE:</b> Department of Transportation: Design-Build  <b>INTRODUCED:</b> 02/23/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Transportation Committee  <b>SUMMARY:</b>          Authorizes the Department of Transportation to use the design-build procurement process for its state highway construction contracts.  <b>STATUS:</b>          03/22/2007 To ASSEMBLY Committee on TRANSPORTATION.  <b>Position:</b> SANBAG-Sup</p>
CA SB 45	<p><b>AUTHOR:</b> Perata [D]  <b>TITLE:</b> Transportation Funds: Transit System Safety  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 12/22/2006  <b>LAST AMEND:</b> 06/04/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Appropriations Committee  <b>SUMMARY:</b>          Establishes the application process for the portion of the bond funds from a specified bond act of 2006 to be made available for allocations for capital projects that provide increased protection against a security or safety threat, which allocations would be made by the Office of Homeland Security to transit operators under specified formulas. Specifies the categories of projects eligible for these funds. Requires a report to the Legislature on the projects receiving funds under these provisions.  <b>STATUS:</b>          07/09/2007 From ASSEMBLY Committee on TRANSPORTATION:          Do pass to Committee on APPROPRIATIONS.</p>
CA SB 61	<p><b>AUTHOR:</b> Runner G [R]  <b>TITLE:</b> High-Occupancy Toll Lanes and Toll Roads  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 01/16/2007  <b>LAST AMEND:</b> 05/01/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Transportation Committee  <b>SUMMARY:</b>          Authorizes the Department of Transportation to apply to the State Transportation Commission for the development and operation of a high-occupancy toll land or toll road project sponsored by the department. Deletes the 4-project limitation and the requirement for the Legislature to approve each project by statute.  <b>STATUS:</b>          06/07/2007 To ASSEMBLY Committee on TRANSPORTATION.  <b>Position:</b> SANBAG-Sup, SCAG-Sup</p>

CA SB 184	<p><b>AUTHOR:</b> Alquist [D]  <b>TITLE:</b> Transportation Projects  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 02/06/2007  <b>LAST AMEND:</b> 07/09/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Appropriations Committee  <b>SUMMARY:</b>  Limits provisions of existing law that authorizes a regional or local entity that sponsors, or is eligible to receive funding for, a project contained in the state transportation improvement program to expend its own funds for any component of a project within its jurisdiction that is included in an adopted program, and for which the commission has not made an allocation to projects advanced for expenditure by an eligible entity. Provides the deadline for allocation requests.  <b>STATUS:</b>  07/18/2007 In ASSEMBLY Committee on APPROPRIATIONS: To Suspense File.  <b>Position:</b> OCTA-Sponsor</p>
CA SB 427	<p><b>AUTHOR:</b> Harman [R]  <b>TITLE:</b> Environmental Quality Act: Impact Reports  <b>INTRODUCED:</b> 02/21/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Senate Environmental Quality Committee  <b>SUMMARY:</b>  Authorizes a lead agency to prepare a short form environmental impact report for a project subject to the Cal. Environmental Quality Act (CEQA) if the project meets specified criteria, including that the project is a qualified urban use, provides housing or employment near specified areas, and incorporates specified mitigation measures.  <b>STATUS:</b>  02/28/2007 To SENATE Committee on ENVIRONMENTAL QUALITY.  <b>Position:</b> CALCOG-Sup</p>

CA SB 445	<p><b>AUTHOR:</b> Torlakson [D]  <b>TITLE:</b> Road User Task Force  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 02/21/2007  <b>LAST AMEND:</b> 06/04/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Transportation Committee  <b>SUMMARY:</b>  Creates the Road User Task Force to hold public hearings around the state and to report on alternatives to the current system of taxing road users through per-gallon fuel taxes.  <b>STATUS:</b>  07/02/2007 In ASSEMBLY Committee on TRANSPORTATION: Not heard.  <b>Position:</b> CALCOG-Sup, CSAC-Sup, MTA-SuppIfAmend, MTC-Sup, SANBAG-Sup</p>
CA SB 717	<p><b>AUTHOR:</b> Perata [D]  <b>TITLE:</b> Transportation Investment Fund  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 02/23/2007  <b>LAST AMEND:</b> 05/10/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Appropriations Committee  <b>SUMMARY:</b>  Continues the Transportation Investment Fund in existence and specifies the use of revenues deposited in that fund from gasoline sales tax revenues subject to a specified article of the State Constitution, beginning in the 2008-09 fiscal year.  <b>STATUS:</b>  07/09/2007 From ASSEMBLY Committee on TRANSPORTATION: Do pass to Committee on APPROPRIATIONS.  <b>Position:</b> CALCOG-Sup, CSAC-Sup, League-Sup</p>

CA SB 872      **AUTHOR:**                      Ackerman [R]  
**TITLE:**                                      State-Local Partnership Program  
**INTRODUCED:**                      02/23/2007  
**LAST AMEND:**                      05/08/2007  
**DISPOSITION:**                      Pending  
**LOCATION:**                                  Senate Appropriations Committee  
**SUMMARY:**  
Creates the State-Local Partnership Program and appropriates a specified amount per year for 5 years beginning in the 2010-11 fiscal year. Provides for allocation of state funds to eligible highway and mass transit guideway projects nominated by local agencies are to be funded with at least 50% of local funds derived from a locally imposed transportation sales tax. Specifies the process for applying for, receiving, and expending these funds.  
**STATUS:**  
05/31/2007                      In SENATE Committee on APPROPRIATIONS: Not heard.  
**Position:**                                  OCTA-Sup

CA SB 974      **AUTHOR:**                      Lowenthal [D]  
**TITLE:**                                      Ports: Congestion Relief: Environmental Mitigation  
**FISCAL COMMITTEE:**                      yes  
**URGENCY CLAUSE:**                      no  
**INTRODUCED:**                      02/23/2007  
**LAST AMEND:**                      05/24/2007  
**DISPOSITION:**                      Pending  
**LOCATION:**                                  Assembly Appropriations Committee  
**SUMMARY:**  
Requires the Ports of Los Angeles and Long Beach to transmit a portion of the funds derived from imposition of a container cargo user fee to the Southern California Port Congestion Relief Trust Fund. Requires the Port of Oakland to transmit a portion of the funds derived from imposition of the fee to the Northern California Port Congestion Relief Trust Fund and a portion to the Northern California Port Mitigation Relief Trust Fund. Authorizes infrastructure bank financing agreements.  
**STATUS:**  
07/09/2007                      From ASSEMBLY Committee on TRANSPORTATION: Do pass to Committee on APPROPRIATIONS.  
**Position:**                                  CALCOG-Sup, MTA-SuppIfAmend, SCAG-Sup/WorkWAut

CA SB 1016    **AUTHOR:**                    Wiggins [D]  
**TITLE:**                                Diversion: Annual Reports  
**FISCAL COMMITTEE:**                yes  
**URGENCY CLAUSE:**                    no  
**INTRODUCED:**                        02/23/2007  
**LAST AMEND:**                         04/10/2007  
**DISPOSITION:**                        Pending  
**LOCATION:**                              Assembly Natural Resources Committee  
**SUMMARY:**  
Authorizes the Integrated Waste Management Board, if it determines that a city or county has diverted more than 50% of solid waste from landfill disposal through source reduction, recycling, and composting activities, to submit once every 2 years the information required in a specified report. Provides that, for a city or county submitting the report every 2 years, they must return to annual submission if they fail to divert 50% of the solid waste, or if the board rescinds the authorization.  
**STATUS:**  
05/24/2007                                To ASSEMBLY Committee on NATURAL RESOURCES.

CA SB 1020    **AUTHOR:**                    Padilla [D]  
**TITLE:**                                Solid Waste: Diversion  
**INTRODUCED:**                        02/23/2007  
**LAST AMEND:**                         06/26/2007  
**DISPOSITION:**                        Pending  
**LOCATION:**                              Assembly Appropriations Committee  
**SUMMARY:**  
Requires the State Integrated Waste Management Board to adopt policies and incentives to ensure that, on or before a specified date, 60% of all solid waste generated in the state is source reduced, recycled, or composted and to ensure that, on or before a specified date, 75% of all solid waste generated is source reduced, recycled, or composted.  
**STATUS:**  
07/18/2007                                In ASSEMBLY Committee on APPROPRIATIONS: To Suspense File.

CA SCR 16     **AUTHOR:**                    Negrete McLeod [D]  
**TITLE:**                                Gary Moon Memorial Interchange  
**FISCAL COMMITTEE:**                yes  
**URGENCY CLAUSE:**                    no  
**INTRODUCED:**                        02/20/2007  
**ADOPTED:**                              07/10/2007  
**DISPOSITION:**                        Adopted  
**LOCATION:**                              Chaptered  
**CHAPTER:**                              86  
**SUMMARY:**  
Designates the future interchange at State Highway Route 210 and Interstate 215, the Gary Moon Memorial Interchange.  
**STATUS:**  
07/10/2007                                Chaptered by Secretary of State.  
07/10/2007                                Resolution Chapter No. 86  
**Position:**                                SANBAG-Sup, SCAG-Sup

US HR 238	<p><b>SPONSOR:</b> Waxman [D]  <b>TITLE:</b> Funding for San Fernando Valley Metro Rail Project  <b>INTRODUCED:</b> 01/04/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Senate Banking, Housing and Urban Affairs Committee</p> <p><b>SUMMARY:</b>  Repeals a prohibition on the use of certain funds for tunneling in certain areas with respect to the Los Angeles to San Fernando Valley Metro Rail project, California.</p> <p><b>STATUS:</b>  03/27/2007 In SENATE. Read second time.  03/27/2007 To SENATE Committee on BANKING, HOUSING AND URBAN AFFAIRS.</p>
US HR 802	<p><b>SPONSOR:</b> Oberstar [DFL]  <b>TITLE:</b> Act to Prevent Pollution from Ships  <b>INTRODUCED:</b> 02/05/2007  <b>LAST AMEND:</b> 03/26/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Senate Commerce, Science &amp; Transportation Committee</p> <p><b>SUMMARY:</b>  To amend the Act to Prevent Pollution from ships to implement MARPOL Annex VI.</p> <p><b>STATUS:</b>  03/28/2007 In SENATE. Read second time.  03/28/2007 To SENATE Committee on COMMERCE, SCIENCE, AND TRANSPORTATION.</p>
US HR 1053	<p><b>SPONSOR:</b> Miller Ga [R]  <b>TITLE:</b> California Transportation Projects  <b>INTRODUCED:</b> 02/14/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> House Transportation &amp; Infrastructure Committee</p> <p><b>SUMMARY:</b>  Authorizes the Secretary of Transportation to carry out certain transportation projects in the State of California to relieve congestion on State Route 91.</p> <p><b>STATUS:</b>  02/14/2007 INTRODUCED.  02/14/2007 To HOUSE Committee on TRANSPORTATION AND INFRASTRUCTURE.</p>

US HR 1195	<p><b>SPONSOR:</b> Oberstar [DFL]  <b>TITLE:</b> Safe Accountable Flexible Efficient Transportation Act  <b>INTRODUCED:</b> 02/27/2007  <b>LAST AMEND:</b> 03/26/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Senate Environment and Public Works Committee  <b>SUMMARY:</b>  Amends the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users to make technical corrections; relates to other purposes.  <b>STATUS:</b>  06/06/2007 In SENATE Committee on ENVIRONMENT AND PUBLIC WORKS: Ordered to be reported with an amendment in the nature of a substitute.</p>
US HR 1606	<p><b>SPONSOR:</b> Matsui D [D]  <b>TITLE:</b> Flexibility Incentive Grant Program  <b>INTRODUCED:</b> 03/20/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> House Transportation &amp; Infrastructure Committee  <b>SUMMARY:</b>  Provides for the establishment of a flexibility incentive grant program.  <b>STATUS:</b>  03/20/2007 INTRODUCED.  03/20/2007 To HOUSE Committee on TRANSPORTATION AND INFRASTRUCTURE.</p>
US S 294	<p><b>SPONSOR:</b> Lautenberg [D]  <b>TITLE:</b> Reauthorizing Amtrak  <b>INTRODUCED:</b> 01/16/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> SENATE  <b>SUMMARY:</b>  A bill to reauthorize Amtrak, and for other purposes.  <b>STATUS:</b>  05/22/2007 From SENATE Committee on COMMERCE, SCIENCE, AND TRANSPORTATION: Reported as amended.  05/22/2007 In SENATE. Placed on SENATE Legislative Calendar.</p>
US S 775	<p><b>SPONSOR:</b> Carper [D]  <b>TITLE:</b> National Commission on Infrastructure  <b>INTRODUCED:</b> 03/06/2007  <b>LAST AMEND:</b> 08/02/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> House Transportation &amp; Infrastructure Committee  <b>SUMMARY:</b>  Establishes a National Commission on the Infrastructure of the United States.  <b>STATUS:</b>  08/03/2007 To HOUSE Committee on TRANSPORTATION AND INFRASTRUCTURE.</p>

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**TRANSPORTATION BONDS**

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CA AB 412	<p><b>AUTHOR:</b> Smyth [R]  <b>TITLE:</b> Transportation: Project Deadlines  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 02/16/2007  <b>LAST AMEND:</b> 03/26/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Transportation Committee  <b>SUMMARY:</b>            Requires each agency designated by the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 to allocate bond funds to establish guidelines that specify deadlines for commencing construction or implementation for each program it administers under the bond act.  <b>STATUS:</b>            03/26/2007 From ASSEMBLY Committee on TRANSPORTATION with author's amendments.            03/26/2007 In ASSEMBLY. Read second time and amended. Re-referred to Committee on TRANSPORTATION.</p>
CA AB 784	<p><b>AUTHOR:</b> Karnette [D]  <b>TITLE:</b> Transportation Bonds  <b>FISCAL COMMITTEE:</b> yes  <b>URGENCY CLAUSE:</b> no  <b>INTRODUCED:</b> 02/22/2007  <b>LAST AMEND:</b> 04/24/2007  <b>DISPOSITION:</b> Pending  <b>LOCATION:</b> Assembly Appropriations Committee  <b>SUMMARY:</b>            Relates to the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 which authorizes the issuance of general obligations bonds for various transportation purposes and provides that a portion of the bond funds shall be available to the Office of Emergency Services to be allocated as grants, for port, harbor, and ferry terminal security improvements. Provides that, with respect to funds allocated by the Office, applicants not comply with competitive bidding requirements.  <b>STATUS:</b>            05/31/2007 In ASSEMBLY Committee on APPROPRIATIONS: Heard, remains in Committee.</p>

CA AB 901      **AUTHOR:**                      Nunez [D]  
**TITLE:**                              Transportation: Highway Safety Traffic Reduction  
**INTRODUCED:**                      02/22/2007  
**LAST AMEND:**                      07/05/2007  
**DISPOSITION:**                      Pending  
**COMMITTEE:**                      Senate Appropriations Committee  
**HEARING:**                          08/20/2007 10:00 am  
**SUMMARY:**  
Relates to the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 that requires funds from the proceeds of bonds under the act for allocation to public transit operators and transportation planning agencies. Requires the Department of Transportation and Transportation Commission to provide information regarding their needs. Imposes specified auditing requirements.  
**STATUS:**  
07/10/2007                      From SENATE Committee on TRANSPORTATION AND HOUSING: Do pass to Committee on APPROPRIATIONS.

CA AB 995      **AUTHOR:**                      Nava [D]  
**TITLE:**                              Goods Movement Emission Reduction Program  
**FISCAL COMMITTEE:**                      yes  
**URGENCY CLAUSE:**                      no  
**INTRODUCED:**                      02/22/2007  
**LAST AMEND:**                      07/17/2007  
**DISPOSITION:**                      Pending  
**COMMITTEE:**                      Senate Appropriations Committee  
**HEARING:**                          08/20/2007 10:00 am  
**SUMMARY:**  
Relates to the Highway Safety, Traffic Reduction, Air Quality and Port Security Bond Act. Provides that projects eligible for funding from the Trade Corridors Improvement Fund receive priority if they meet specified requirements. Requires the Transportation Commission to coordinate with the Air Resources Board in evaluating project applications. Requires the board to release a preliminary list of projects being considered for funding, hold public hearings, and adopt a final list of funded projects.  
**STATUS:**  
07/17/2007                      In SENATE. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.

CA AB 1350    **AUTHOR:**                    Nunez [D]  
**TITLE:**                                    Transportation Bond Funds  
**INTRODUCED:**                    02/23/2007  
**LAST AMEND:**                    06/01/2007  
**DISPOSITION:**                    Pending  
**COMMITTEE:**                    Senate Appropriations Committee  
**HEARING:**                        08/20/2007 10:00 am  
**SUMMARY:**  
Amends the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006. Requires specified funds to be allocated to transit operators for eligible projects based on various formulas. Requires funds to be allocated by the Office of Emergency Services in consultation with the Office of Homeland Security. Requires an eligible applicant to annually advise the Office of Emergency Services of the applicant's need for funding in the following fiscal year.  
**STATUS:**  
07/10/2007                    From SENATE Committee on TRANSPORTATION AND HOUSING: Do pass to Committee on APPROPRIATIONS.  
**Position:**                    MTA-SuppIfAmend

CA AB 1351    **AUTHOR:**                    Levine [D]  
**TITLE:**                                    Transportation: State-Local Partnerships  
**INTRODUCED:**                    02/23/2007  
**LAST AMEND:**                    07/12/2007  
**DISPOSITION:**                    Pending  
**COMMITTEE:**                    Senate Appropriations Committee  
**HEARING:**                        08/20/2007 10:00 am  
**SUMMARY:**  
Amends the Highway Safety, Traffic Reduction, Air Quality and Port Security Bond Act of 2006. States the intent of the Legislature to appropriate a specified amount of funds for the State-Local Partnership Program for funding transportation projects for a specified period. Defines local funds under the program relating to a local match as revenues from any locally imposed transportation related sales tax. Requires certain related reports.  
**STATUS:**  
07/12/2007                    In SENATE. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.

CA AB 1672

**AUTHOR:** Nunez [D]  
**TITLE:** California Transportation Commission  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** no  
**INTRODUCED:** 02/23/2007  
**LAST AMEND:** 07/16/2007  
**DISPOSITION:** Pending  
**COMMITTEE:** Senate Appropriations Committee  
**HEARING:** 08/20/2007 10:00 am  
**SUMMARY:**

Requires the State Transportation Commission to consult with the chairs of the appropriate legislative committees prior to adopting changes to any guidelines for the expenditure of funds pursuant to the Highway Safety, Traffic Reduction, Air Quality and Port Security Act of 2006. Expands the Commission to 13 members, with one additional voting member each appointed by the Speaker of the Assembly and the Senate Committee on Rules, who would not be subject to Senate confirmation.

**STATUS:**

07/16/2007 In SENATE. Read second time and amended.  
Re-referred to Committee on RULES.  
07/16/2007 Withdrawn from SENATE Committee on RULES.  
07/16/2007 Re-referred to SENATE Committee on  
APPROPRIATIONS.

CA SB 9

**AUTHOR:** Lowenthal [D]  
**TITLE:** Trade Corridor Improvement: Transportation Project  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** no  
**INTRODUCED:** 12/04/2006  
**LAST AMEND:** 07/19/2007  
**DISPOSITION:** Pending  
**LOCATION:** Assembly Appropriations Committee  
**SUMMARY:**

Relates to Trade Corridor Improvement Transportation Fund projects. Establishes a process to be administered by the State Transportation Commission for allocation of fund moneys. Establishes the corridors eligible for funding. Establishes criteria for project selection based on improvement of mobility of freight and improvement of air quality. Requires projects to be ready for construction by a specified date.

**STATUS:**

07/19/2007 From ASSEMBLY Committee on APPROPRIATIONS  
with author's amendments.  
07/19/2007 In ASSEMBLY. Read second time and amended.  
Re-referred to Committee on APPROPRIATIONS.

CA SB 19

**AUTHOR:** Lowenthal [D]  
**TITLE:** Trade Corridor: Projects to Reduce Emissions: Goods  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** no  
**INTRODUCED:** 12/04/2006  
**LAST AMEND:** 07/17/2007  
**DISPOSITION:** Pending  
**LOCATION:** Assembly Appropriations Committee  
**SUMMARY:**

Relates to the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006. Requires the Air Resources Board to implement the Goods Movement Emission Reduction Program and to adopt guidelines and funding criteria for the program. Creates eligibility requirements for funding pursuant to this program. Creates the Goods Movement Emission Reduction Fund to be funded with bond proceeds.

**STATUS:**

07/17/2007 From ASSEMBLY Committee on APPROPRIATIONS with author's amendments.

07/17/2007 In ASSEMBLY. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.

CA SB 45

**AUTHOR:** Perata [D]  
**TITLE:** Transportation Funds: Transit System Safety  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** no  
**INTRODUCED:** 12/22/2006  
**LAST AMEND:** 06/04/2007  
**DISPOSITION:** Pending  
**LOCATION:** Assembly Appropriations Committee  
**SUMMARY:**

Establishes the application process for the portion of the bond funds from a specified bond act of 2006 to be made available for allocations for capital projects that provide increased protection against a security or safety threat, which allocations would be made by the Office of Homeland Security to transit operators under specified formulas. Specifies the categories of projects eligible for these funds. Requires a report to the Legislature on the projects receiving funds under these provisions.

**STATUS:**

07/09/2007 From ASSEMBLY Committee on TRANSPORTATION: Do pass to Committee on APPROPRIATIONS.

CA SB 47

**AUTHOR:** Perata [D]  
**TITLE:** Transportation Bonds  
**INTRODUCED:** 12/22/2006  
**DISPOSITION:** Pending  
**LOCATION:** Senate Rules Committee  
**SUMMARY:**

States the intent of the Legislature to enact provisions governing project eligibility, matching fund requirements, and the application process relative to allocation of bond proceeds of the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 to the State-Local Partnership Program.

**STATUS:**

01/18/2007 To SENATE Committee on RULES.  
**Type:** 2-Year

CA SB 88

**AUTHOR:** Budget and Fiscal Review Cmt  
**TITLE:** 2006 Bond Act Funding  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** yes  
**INTRODUCED:** 01/17/2007  
**LAST AMEND:** 07/19/2007  
**DISPOSITION:** Pending  
**FILE:** 42  
**LOCATION:** Senate Unfinished Business  
**SUMMARY:**

Designates administrative agencies for each of the programs funded by the Highway Safety, Traffic Reduction, Air Quality and Port Security Bond Act of 2006. Imposes various requirements on these agencies relative to adopting program guidelines, making allocation of bond funds, and reporting on projects. Appropriate funds from the Road Improvement, Congestion Relief, and Traffic Safety Account to cities and counties as a Budget Act of 2007 appropriation augmentation.

**STATUS:**

07/21/2007 In SENATE. SENATE refused to concur in ASSEMBLY amendments. Motion to reconsider.  
07/21/2007 In SENATE. Reconsideration granted.

CA SB 262      **AUTHOR:**                      Runner G [R]  
**TITLE:**                                      Transportation: Trade Corridors Improvement  
**INTRODUCED:**                      02/14/2007  
**DISPOSITION:**                      Pending  
**LOCATION:**                                      Senate Transportation and Housing Committee  
**SUMMARY:**  
Requires the Transportation Commission, when allocating certain funds to projects, to consider the impact of a project on goods movement and port operations in the Southern California region and the potential of a project to benefit the inland port concept in order to relieve congestion at and in the vicinity of the Port of Los Angeles and the Port of Long Beach.  
**STATUS:**  
04/24/2007                      In SENATE Committee on TRANSPORTATION AND HOUSING: Heard, remains in Committee.  
**Position:**                                      SANBAG-Sup  
**Type:**    2-Year

CA SB 286      **AUTHOR:**                      Lowenthal [D]  
**TITLE:**                                      Transportation Bonds: Implementation  
**FISCAL COMMITTEE:**                      yes  
**URGENCY CLAUSE:**                      yes  
**INTRODUCED:**                      02/15/2007  
**LAST AMEND:**                      07/16/2007  
**DISPOSITION:**                      Pending  
**LOCATION:**                                      Assembly Appropriations Committee  
**SUMMARY:**  
Requires the first payments of Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act funds for local street and road purposes to be allocated by the Controller. Requires the Controller to use certain population figures in making allocations to cities. Requires an applicant for funds to submit a list of projects expected to be funded to the department. Requires the funds to be allocated within 3 fiscal years of the date of allocation. Requires return of unallocated funds.  
**STATUS:**  
07/16/2007                      In ASSEMBLY. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.  
**Position:**                                      CSAC-Sponsor, LEAGUE-Sponsor

CA SB 748

**AUTHOR:** Corbett [D]  
**TITLE:** Transportation: State Local Partnerships  
**FISCAL COMMITTEE:** yes  
**URGENCY CLAUSE:** no  
**INTRODUCED:** 02/23/2007  
**LAST AMEND:** 07/12/2007  
**DISPOSITION:** Pending  
**LOCATION:** Assembly Appropriations Committee  
**SUMMARY:**

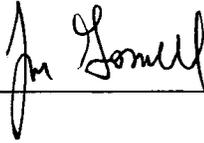
States the purposes of the State-Local Partnership Program. Requires the Transportation Commission to adopt guidelines for the program. Defines eligible local matching funds under the program for purposes of the required dollar-for-dollar match. Establishes an application procedure to nominate projects. Limits the amount of funding for a single project in a funding cycle. Describes the categories of projects that may be funded through the program. Establishes timelines for expenditure of funds.

**STATUS:**  
07/12/2007 In ASSEMBLY. Read second time and amended.  
Re-referred to Committee on APPROPRIATIONS.  
**Position:** MTA-Opp, MTC-Sup

# REPORT

**DATE:** August 30, 2007  
**TO:** Transportation and Communications Committee  
**FROM:** John Asuncion, Senior Regional Planner, (213) 236-1936, asuncion@scag.ca.gov  
**SUBJECT:** 2008 Regional Transportation Improvement Program (RTIP) Guidelines.

**EXECUTIVE DIRECTOR'S APPROVAL:**



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**RECOMMENDED ACTION:**

Approve release of the attached 2008 RTIP Guidelines for Public Review.

**BACKGROUND:**

SCAG is required under both federal and state laws to develop a Regional Transportation Improvement Program. The RTIP is the short-range program that implements the long-range Regional Transportation Plan (RTP) to accomplish improvements in mobility and air quality.

SCAG develops the RTIP in cooperation with the State (Caltrans), the county transportation commissions and IVAG, and public transit operators. Federal law requires that the RTIP be updated at least every two years, adopted by SCAG, and sent to the Governor for approval. The RTIP Guidelines are updated every two years by SCAG staff working with the staff from the transportation commissions/IVAG to ensure that all current, legal, administrative, and technical requirements are met.

In addition, these Guidelines assume continuation of all major federal programs currently found in SAFETEA-LU in the 2008 RTIP period. The Guidelines will be modified if programs are modified, added, and/or deleted to be consistent with the applicable laws.

**FISCAL IMPACT:**

The staff resources necessary for developing the 2008 RTIP, including the 2008 RTIP Guidelines, are contained within the Fiscal Year 2007/08 SCAG budget.

# REPORT

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Reviewed by:

  
\_\_\_\_\_  
*Division Manager*

Reviewed by:

  
\_\_\_\_\_  
*Department Director*

Reviewed by:

  
\_\_\_\_\_  
*Chief Financial Officer*

Southern California Association of Governments

# *Draft* 2008 Regional Transportation Improvement Program

## GUIDELINES

August 2007



**Working Draft**

**2008  
REGIONAL  
TRANSPORTATION IMPROVEMENT  
PROGRAM  
(RTIP)**

**Guidelines**

The preparation of this report was financed in part through grants from the United States Department of Transportation – Federal Highway Administration and Federal Transit Administration – under provisions of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)

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# Policy & Requirements

## **I. POLICY AND REQUIREMENTS**

### **A. Introduction**

These Guidelines have been prepared to facilitate the work of the county transportation commissions (Los Angeles, Orange, Riverside, San Bernardino and Ventura Counties) (CTCs) and the Imperial Valley Association of Governments (IVAG), transit operators, and Caltrans in the development of "county TIPs" for inclusion in the Southern California Association of Governments (SCAG) 2008 Regional Transportation Improvement Program (RTIP). The RTIP Guidelines also describe the process and schedules for submittal of county TIPs to SCAG.

The core of the RTIP process is the development of project listings. These Guidelines assist in the development of project listings that fulfill the legal, administrative, and technical requirements prescribed by law and which minimizes duplicate efforts by the CTCs and IVAG, Caltrans, SCAG, and/or other agencies.

These Guidelines are consistent with SAFETEA-LU and the Metropolitan Transportation Programming final rule: 23 CFR Part 450 and 500, and 49 CFR Part 613.

### **B. General Overview of RTIP Process**

SCAG is required under both federal and state law to develop an RTIP (23 U.S.C. §134 (h) and 49 U.S.C. § 5303(H); Cal. Government Code §§14527, 65082 and 130301 et seq.). The RTIP is the short-range program that implements the long-range Regional Transportation Plan (RTP) to accomplish improvements in mobility and air quality. SCAG is the federally-designated Metropolitan Planning Organization (MPO) and as the state-designated transportation planning agency and multi-county designated transportation planning agency for the six-county Southern California region. SCAG develops the RTIP in cooperation with the State (Caltrans), the CTCs and IVAG, and public transit operators. Federal and state rules and regulations require that the RTIP be:

- Updated at least every four years, adopted by SCAG, and then sent to the Governor for approval. SCAG will continue to update the RTIP every two years.
- Developed consistent with the SCAG Public Participation Plan and the AB 1246 consultation process with the CTCs and Caltrans as set forth in the Public Utilities Code Section 130059.
- Consistent with the SCAG long-range RTP as the RTIP implements the projects and programs in the RTP.
- Compatible with the State Transportation Improvement Program (STIP) development and approval process (see page 4 for discussion of the STIP process).
- Subject to compliance with the conformity requirements in the federally designated non-attainment and maintenance areas. In the South Coast Air Basin and in Ventura County, the RTIP shall give priority to eligible Transportation Control Measures

(TCMs) identified in applicable State Implementation Plans (SIPs) in accordance with the U.S. Environmental Protection Agency (EPA) transportation conformity regulations (40 CFR § 93) and shall provide for their timely implementation. TCMs are transportation projects and programs that are identified in applicable SIPs to help reduce air pollution from mobile sources. The 2008 RTIP must pass the five federal conformity tests, including timely implementation of TCMs, regional emissions analysis, fiscal constraints, interagency consultation, and consistency with the RTP.

- Consistent with financial constraint regulations (23 CFR §450.324(i)) that stipulate “financial constraint shall be demonstrated and maintained by year and shall include sufficient financial information to demonstrate which projects are to be implemented using current and/or reasonably available revenues, while federally supported facilities are being adequately operated.” Projects in air quality nonattainment and maintenance areas can be included in the first two years of the RTIP and STIP only if funds are “available and committed” (23 CFR § 450.324 (i)). Therefore, nonattainment and maintenance areas may not rely on proposed new taxes or other new revenue sources for the first two years of the RTIP and STIP until such sources have been enacted by legislation or referendum. In addition, federal funds distributed on a discretionary basis (including Section 5309, earmarks, and demonstration funds) are not considered available or committed until they are awarded by the USDOT (discretionary funds) or authorized by Congress (such as High Priority projects). Revenue and cost estimates for the RTIP must use an inflation rate(s) to reflect “year of expenditure dollars” based on reasonable financial principles and information (23 CFR § 450.324 (h)).

These and other federal and state-mandated RTIP requirements are described in the sections that follow. Also described in these Guidelines is the process for implementing the RTIP program in the SCAG region in accordance with state and federal rules. The schedule for processing the 2008 RTIP is provided on page 15. A flow chart of the RTIP Development Process is provided on page 14.

### **C. RTIP Period**

The RTIP must cover a period of not less than four years but may cover a longer period. The SCAG 2006 RTIP covers a six-year period, from October 1, 2008 (FFY08/09) to September 30, 2012 (FFY13/14). Projects listed in the last two years of the RTIP (FFY12/13 & FFY13/14) will be considered informational consistent with federal regulations. The RTIP program years coincide with the federal fiscal year (FFY) budget cycle which begins October 1<sup>st</sup> and ends September 30<sup>th</sup> of each year.

SAFETEA-LU was signed into law by the President on August 10, 2005 and provides federal transportation funding through FFY 2009. It is expected that the federal government will enact another transportation funding program that will pay for the federally-funded projects proposed in the RTIP.

The frequency and cycle for updating the TIP must be compatible with the STIP development and approval process. In the State of California, under Government Code Section 14529, the STIP is a five-year program. The 2008 STIP Program will cover the five-year period from July 1,

2008 (FY08/09) to June 30, 2013 (FY12/13), and falls entirely within the SCAG 2008 RTIP six-year period.

#### **D. Policy Guidelines**

1. The RTIP is the primary means of implementing the RTP.
2. To ensure consistency with the RTP, staff will compare RTIP projects with the first 5 and 10-year implementation schedules of the RTP for timeliness and modeling consistency.
3. In accordance with the Adopted 2004 RTP Policy #1, transportation investments shall be based on SCAG's adopted Regional Performance Indicators **(subject to change after the 2007 RTP is approved)**.
4. Timely implementation of committed TCM projects is required for conformity findings in SCAB and VC/SCCAB. TCM projects must be programmed prior to programming other capacity increasing projects.
5. In accordance with SAFETEA-LU, all regionally significant capacity enhancing projects and transportation control measures must be adequately described in the County TIP to determine project consistency with the most recently adopted RTP. The RTIP projects must show consistency with the project's design concept, and timely implementation as reflected in the adopted RTP.
6. The RTIP shall also include projects and programs consistent with the Strategic Highway Safety Plan and other transit safety and security planning and review processes, plans and programs, as appropriate.

#### **E. The AB 1246 Process**

As set forth in the Public Utilities Code Section 130000 et-seq. (otherwise known as the "AB 1246 Process"), SCAG in developing the RTIP must also consult with the CTCs and Caltrans.

Pursuant to Section 130301 of the Public Utilities Code, "{t}he multicounty designated transportation planning agency {SCAG} which includes the area of the {county transportation} commission shall be responsible for long-range transportation system planning, including preparation of the regional transportation plan..." More specifically, such planning shall be directed to, among other things: "{c}oordination of the plans and short-range transportation improvement programs developed by the commissions, including resolution of conflicts between such plans and programs" and "{r}eview and comment concerning all near-term transportation improvement programs after the development of, but prior to, adoption of such programs by the commission." Public Utilities Code § 130301(h) and (k).

## **F. The State Transportation Improvement Program (STIP)**

The California Transportation Commission (CTC) is required to adopt and submit a STIP to the legislature and the Governor by April 1 of each even-numbered year. The STIP contains a list of all capital improvement projects to be funded with Regional Improvement Program (RIP) and Interregional Improvement Program (IIP) funds. Caltrans is required to release an estimate of STIP funds available in the five-year STIP period by July 15 of every odd-numbered year; and the CTC is required to adopt the five-year estimate by August 15 of each odd-numbered year. The CTC has postponed the adoption of the STIP Fund Estimate one month to September 2007.

Pursuant to Cal. Government Code 14527(a), "After consulting with the department [Caltrans], the regional transportation planning agencies and county transportation commissions shall adopt and submit to the commission [California Transportation Commission] and the department [Caltrans], not later than December 15, 2001, and December 15 of each odd-numbered year thereafter, a five-year regional transportation improvement program in conformance with Section 65082. In counties where a county transportation commission or authority has been created --- the commission or the authority shall adopt and submit the county transportation improvement program, in conformance with Sections 130303 and 130304 of that code, to the multicounty designated transportation planning agency [SCAG]."

The deadline for submitting County STIPs to SCAG will be released when the California Transportation Commission adopts the 2008 STIP Fund Estimate in September 2007.

Other STIP programming-related requirements that affect the RTIP include:

- The STIP will be limited to projects that are expected to receive an allocation of STIP funds from the Commission within the STIP five-year period.
- The STIP submittal may not change the project delivery milestone date of any project as shown in the adopted STIP without the consent of Caltrans or the project lead.
- Major projects shall include current costs updated as of November 1 of the year of submittal and escalated to reflect "year of expenditure dollars."
- Proposed STIP projects must be consistent with the RTP and subject to conformity requirements.
- Proposed projects must have completed a Project Studies Report (PSR) or a PSR-equivalent or major investment study for projects not on the state highway system.

Projects to be included in the RTIP for implementation (construction) must have proceeded (or proceed) through the environmental and design phases required by Federal Highway Administration/Federal Transit Administration (FHWA/FTA) (unless 100% state funded) and the state process. Major construction projects require a completed multi-modal alternative analysis through NEPA (consistent with federal requirements established to replace the MIS process from ISTEA and environmental clearances issued under the National Environmental Protection Act/California Environmental Quality Act (NEPA/CEQA).

## **G. The Regional Transportation Plan (RTP)**

SCAG prepares the long range 30-year RTP every three years in accordance with state and federal requirements [Cal. Government Code 65080; 23 U.S.C. § 134 (g)]. This plan is adopted by the Regional Council, subject to conformity and fiscal constraint requirements, and then approved by the Governor and for conformity by USDOT. The 2004 RTP and draft portions of the 2007 RTP will serve as the basis for the development of the 2008 RTIP. **The portions of the guidelines associated with the RTP are subject to change with the adoption of the 2007 RTP.**

The RTIP is the process by which the RTP is implemented. It does so through providing an orderly allocation of federal, state and local funds for use in planning and building specific projects. Under law, the RTIP is required to advance the RTP by programming the projects, programs, and policies contained in the RTP, in accordance with federal and state requirements. These include specific requirements for scheduling of projects, financing, and the timely implementation of Transportation Control Measures (TCMs).

### **1. Implementation of RTP Modeled Projects**

The RTP models projects for completion in specific timeframes, thus establishing not only a project listing, but also a generalized phasing of projects for implementation. These projects with anticipated completion dates are listed starting on page 86 of these Guidelines. CTCs and IVAG will need to program projects for initiation within an appropriate time frame to ensure that they become operational during the time frame indicated in the RTP.

Modeled projects not included in the current time frame of the 2008 RTIP should be advanced only when additional funding becomes available and when the CTCs are able to demonstrate that they are in full compliance with the requirements of the timely implementation of TCMs as applicable.

### **2. Implementation of Transportation Demand Management and Non-Motorized Investments**

Because the 2007 RTP draft is not currently available, the 2004 RTP actions and targets for implementation of Transportation Demand Management (TDM) and Non-Motorized Investments should be used for development of the 2008 RTIP. Implementation of the goals listed below should be programmed in the 2008 RTIP. The goals to be developed for the 2008 RTP may be different than the goals listed below.

- Program funds in the RTIP to help maintain the public sector share of the existing rideshare market and to increase the number of carpoolers by 8,000 annually.
- Increase the number of commuter vanpools from 1,400 and 5,000 through more effective marketing and the provision of non-monetary public sector incentives.
- Non-Motorized Transportation - Implement bikeway expansion projects, create a bicycle-, and pedestrian-friendly transportation environment, induce mixed-use development that promotes biking and walking.

**2004 RTP  
TDM Investments for  
Implementation of the 2004 Regional Transportation Plan**

<b>County</b>	<b>Non-Motorized*</b>	<b>Rideshare**</b>	<b>TDM (P-N-R lots, Telecommute, etc.)</b>	<b>TOTAL</b>
Imperial	\$32,000,000	\$0	*	\$32,000,000
Los Angeles	\$513,300,000	\$114,300,000	\$186,600,000	\$814,200,000
Orange	\$115,000,000	\$27,000,000	**	\$142,000,000
Riverside	\$50,000,000	\$66,400,000	**	\$116,400,000
San Bernardino	\$39,000,000	\$36,000,000	\$6,500,000	\$81,500,000
Ventura	\$65,000,000	\$0	*	\$65,000,000
<b>Regional Total</b>	<b>\$814,300,000</b>	<b>\$243,700,000</b>	<b>\$193,100,000</b>	<b>\$1,251,100,000</b>
*Imperial and Ventura County costs for TDM are included in the Non-Motorized amount				
** Orange and Riverside County costs for TDM are included in the Rideshare amount.				

- Invest in Intelligent Transportation Systems (ITS) technology and system integration to achieve system management goals.

**ITS Capital Investments**

<b>County</b>	<b>Investment</b>
Imperial	\$0
Los Angeles	\$676,500,000
Orange	\$29,000,000
Riverside	\$25,000,000
San Bernardino	\$48,500,000
Ventura	\$80,000,000
<b>Regional Total</b>	<b>\$859,000,000</b>

## H. Regionally Significant Transportation Investment Studies (RSTIS)

**This section will be updated.** Within the context of regional transportation planning, the first step toward strategy or program development is the Regionally Significant Transportation Investment Study (RSTIS) or a corridor feasibility study, which is a corridor study or alternatives analysis including a NEPA “purpose and need” statement and preliminary environmental documentation. While some projects can move very quickly from an idea to implementation, regionally significant strategies and programs require a more in-depth study and analysis. During the course of an investment study the region can determine the various alternatives that may help solve the problem and identify a preferred program or strategy that will be subject to a comprehensive NEPA analysis. It is the responsibility of SCAG to identify which strategies/programs should be subject to such requirements and to identify those programs/projects in the RTP as requiring further study and analysis.

DOT planning guidance encourage that the equivalent content of the old Major Investment Study document to be reflected in the planning and project development (“NEPA linkage”) process. With the adoption of the 2004 RTP (Chapter 6, page 190) the region continues to view the RSTIS as the process to develop this information and to refine or update the RTP for regionally significant transportation corridor projects. Therefore, a RSTIS originates from the regional planning process and will be guided by it.

SCAG, as the Metropolitan Planning Organization, in cooperation with other stakeholders, will approve the initiation and scope of a RSTIS. Before a project can be included in the RTIP for construction, the project must be one of the alternatives in a completed RSTIS, included in a completed project initiation document and obtain environmental clearance. The RSTIS will be included in SCAG’s Overall Work Program.

Since a RSTIS is a component of the RTP planning process, the regionally significant alternatives must be evaluated by the RTP performance measures in order to be considered for incorporation in the RTP. The 2004 RTP includes alternative modes and technology (intelligent transportation systems, highways (new capacity and HOV), transit (MagLev, heavy rail, light rail, rapid bus) and non-motorized transportation systems), general alignment, number of lanes, the degree of demand management and operating characteristics. Furthermore, a RSTIS is required to evaluate the effectiveness and cost-effectiveness of alternatives in attaining local, regional, State and national goals and objectives.

This analysis will consider the direct and indirect costs (of capital, operating and maintenance, and rights-of-way) of alternatives; benefits or impacts of mobility improvements; air quality requirements; social, economic and environmental impacts, including environmental justice; safety, operating efficiencies; financing (federal, State and private sources); energy consumption; and public outreach.

The results of the RSTIS will help lead to a decision by SCAG, in cooperation with participating public and private organizations, on the design and scope of the investment for the RTP. The preferred alternative of a RSTIS must meet the performance and financial criteria established by the RTP, and it must be approved by the Regional Council before being included in the RTP and RTIP.

A RSTIS is eligible for funds authorized under Sections 8, 9, and 26 of the Federal Transit Act, State planning funds, and planning and capital funds appropriated under Title 23, United States Code.

A RSTIS or other analyses are appropriate when regionally significant investments in the RTP do not have complete environmental analysis, design concept and scope (mode and alignment not fully determined). In cases requiring further analysis, the RTP may stipulate either a set of assumptions concerning the proposed improvement or no-build condition pending the completion of a corridor or sub-area analysis. The RTP should have enough detail to provide a plan conformity determination.

The SCAG RSTIS Peer Review Group was established to ensure that the process for a RSTIS meets all requirements. The Peer Review Group process is the cooperative process involving SCAG, Caltrans, transit operators, environmental resource agencies and FHWA/FTA. Upon completion of the process, a Letter of Completion is issued. The letter only certifies compliance with the peer review group process.

#### **I. Consultation (Interagency and Public Involvement)**

***This section will be updated to be consistent with the SCAG Public Participation Plan proposed for amendment to the RTP. The SCAG Regional Council is scheduled to adopt the new Public Participation Plan in September 2007.*** Ongoing public involvement and interagency consultation are required in transportation planning, and SCAG, the CTCs, IVAG, the Department of Transportation, and other stakeholders collaboratively provide opportunities for meaningful public participation and effective interagency consultation. Federal regulations, including SAFETEA-LU, the Clean Air Act, the Transportation Conformity Rule and the Americans with Disability Act (ADA) stipulate that public involvement in the transportation improvement program's development and approval process includes certain targeted groups.

The determination of how effectively the responsible planning agencies have provided opportunities for public input and whether the process meets the interagency consultation requirements of EPA's Transportation Conformity Rule is one of the factors used to determine conformity and in the allocation of federal funds for local, regional and state transportation projects and programs.

In the SCAG region, interagency consultation and public participation are facilitated by the Southern California Transportation Conformity Working Group, which is a collaborative group of federal, state, regional, and local transportation and air quality stakeholders. The group meets on a monthly basis to facilitate an inclusive air quality planning process and to fulfill the interagency consultation requirements of the Federal Transportation Conformity Rule. The group helps resolve regional issues pertaining to transportation conformity and coordinates with and supports the quarterly meetings of the Statewide Transportation Conformity Working Group.

The California Public Utilities Code 130059 requires SCAG to convene at least two meetings annually comprised of representatives from the five commissions, IVAG, the agency and the Department of Transportation. The CTCs TIPs will be discussed at this meeting prior to their adoption of the program. After the respective county transportation commissions act on their TIPs, SCAG prepares the Regional Transportation Improvement Program. If any conflicts arise with the CTCs' programs (for example, inter-county issues, financial constraint, or inconsistency

with the 2004 Regional Transportation Plan policies, programs or projects) then SCAG will convene a subsequent meeting with the affected CTC(s) to discuss the issue.

As a result, SCAG has developed "Policies, Procedures and Guidelines for Public Participation and Interagency Consultation" to provide guidance for public participation and interagency consultation in the regional planning process.

The CTCs' and IVAG's public involvement process should be proactive and provide complete information, timely public notice, full public access to key decisions, and opportunities for early public involvement. Accordingly, the CTCs' and IVAG's public involvement process should provide for:

1. Early and continuing public involvement opportunities throughout the transportation planning and programming process;
2. Timely information about transportation issues and processes to citizens, affected public agencies, representatives of transportation agency employees, private providers of transportation, other interested parties and segments of the community affected by the transportation improvement program's projects;
3. Reasonable public access to technical and policy information used in the development of the transportation improvement program;
4. Adequate public notice of public involvement activities and time for public review and comment at key decision points including, but not limited to, action on the transportation improvement program;
5. A process for demonstrating explicit consideration and response to public input during the transportation improvement program development process;
6. A process for seeking out and considering the needs of those traditionally under-served by existing transportation systems, such as low-income and minority households which may face challenges accessing employment and other amenities; and,
7. A comment period of at least thirty days and one formal public hearing prior to adoption of the transportation improvement program.

#### **J. Regional Funding Priorities**

1. Projects to be programmed in the RTIP shall be consistent with the RTP and its milestones.
2. In the South Coast Air Basin (SCAB) and Ventura County/South Central Coast Air Basin (SCCAB), Transportation Control Measures (TCMs) programmed for implementation in the first two years of the RTIP must be funded and implemented by the completion date. Failure to implement a committed TCM may result in the federal agencies not approving the conformity findings for the 2008 RTIP.

## **K. Project Selection Criteria**

Project selection procedures for federally-funded projects including the Surface Transportation Program (STP), the Congestion Mitigation and Air Quality Improvement Program (CMAQ), and for the Federal Transit Administration (FTA) capital and operating programs are a requirement of Title 23 United States Code (USC) 134 (i)(4), as amended by SAFETEA-LU.

Title 23 of the USC 134 (i)(4)(A) states the following:

*Selection of Projects – All federally funded projects carried out within the boundaries of a transportation management area under this title (excluding projects carried out on the National Highway System and projects carried out under the bridge program or the Interstate maintenance program) or under chapter 53 of title 49 shall be selected for implementation from the approved transportation improvement program by the metropolitan planning organization designated for the area in consultation with the State and any affected public transit operator.*

In compliance with federal requirements, SCAG has adopted the following Expedited Project Selection Procedures

### **Expedited Project Selection Procedures**

Under State law (AB 1246), the County Transportation Commissions (CTCs- Los Angeles County Metropolitan Transportation Authority, Orange County Transportation Authority, San Bernardino Associated Governments, Riverside County Transportation Commission, Ventura County Transportation Commission, and Imperial Valley Association of Governments) are responsible for developing the county transportation improvement programs for submittal to SCAG. SCAG in turn prepares the RTIP using the county TIPs.

SCAG publishes the RTIP guidelines at the beginning of each RTIP cycle and outlines all federal, state, and MPO requirements to facilitate the development of the county TIPs.

SCAG analyzes all of the county TIP projects for consistency with the RTP and for financial constraint. SCAG incorporates the eligible projects into the Regional Transportation Improvement Program (RTIP) for conformity analysis. Projects that are not consistent with the federal and MPO requirements are not incorporated into the RTIP.

Should conflicts arise, they are worked out with the CTCs, SCAG's Regional Council and the Regional Transportation Agencies Coalition (RTAC). If a project should fall out, then SCAG coordinates with the CTCs to replace it. The Transportation Conformity Working Group also serves as a mechanism for interagency consultation for TIP issues between staff representatives from SCAG, the CTCs, Caltrans, and federal and state agencies.

#### **1. Project Programming**

Once the CTCs and the Imperial Valley Association of Governments (IVAG) have programmed funds to projects, as required by state and federal statutes, projects are then included in the RTIP in accordance with the estimated project delivery schedules. The first

four years of the RTIP are required to be financially constrained, and programming beyond this period is for information purposes only.

- Step 1 The CTC's/IVAG have established that projects programmed in the first four years are priority projects for the region and are programmed according to estimated project delivery schedules at the time of the TIP submittal. SCAG incorporates the county TIPs into the Regional TIP as submitted by the CTCs/IVAG in accordance with the appropriate transportation conformity and RTP consistency requirements.
- Step 2 SCAG performs all required conformity and consistency analysis and public hearings on the RTIP and adopts the RTIP.
- Step 3 SCAG submits the RTIP to the Governor (Caltrans) for incorporation into the State's Federal TIP, and SCAG simultaneously submits the conformity findings to the FHWA, FTA, and EPA for approval of the final conformity determination.

## **2. Expedited Project Selection Procedures**

### 23CFR450.330

"If the State or public transportation operator(s) wishes to proceed with a project in the second, third year, or fourth year of the TIP, the specific project selection procedures stated in paragraphs (b) and (c) of this section must be used unless the MPO, the State and the public transportation operator(s) jointly develop expedited project selection procedures to provide for the advancement of projects from the second, third or fourth year of the TIP."

In order to address the above regulation the SCAG region (SCAG, County Transportation Commissions (CTCs), Imperial Valley Association of Governments (IVAG) and transit operators) developed and agree to the following expedited project selection procedures.

Projects programmed within the first four years may be advanced to accommodate project schedules that have proceeded more rapidly than estimated. This advancement allows project sponsors the flexibility to deliver and obligate state and/or federal funds in a timely and efficient manner. Nevertheless, non-TCM projects can only advance ahead of TCM projects if they do not cause TCM projects to be delayed.

- Step 1 County Transportation Commissions and Imperial Valley Association of Governments develops a listing of project to be advanced and submits a county TIP revision to SCAG.
- Step 2 SCAG analyzes and approves the county TIP revision and updates the RTIP.
- Step 3 County Transportation Commissions and Imperial Valley Association of Governments Work with Caltrans to obligate state/federal funds in accordance with revisions.

### **L. Amendment Approval Procedures – SCAG Executive Director Authority**

The Regional Council hereby grants authority to SCAG's Executive Director to approve Regional Transportation Improvement Program (RTIP) amendments and associated conformity determination and to transmit to the state and federal agencies amendments to the most currently approved RTIP. These amendments must meet the following criteria:

- Changes that do not affect the regional emissions analysis.
- Changes that do not affect the timely implementation of the Transportation Control Measures.
- Changes that do not adversely impact financial constraint.
- Changes consistent with the adopted Regional Transportation Plan.

All other amendments must be approved by the Regional Council.

### **M. SCAG's Programming Principles for Federal STP and CMAQ Funded Projects**

SCAG has a current set of principles to guide the development of programming priority for Surface Transportation Program (STP) and Congestion Mitigation and Air Quality (CMAQ) funds. The principles were reviewed through the AB 1246 process and adopted by SCAG's Regional Council. They should be used in the development of each county's STP and CMAQ programs.

1. Programming of STP and CMAQ funds shall be the primary responsibility of the respective county transportation commission or IVAG, consistent with federal and state law, the RTP, and in conformance with applicable SIPs.
2. Implementation of Transportation Control Measures (TCMs) in the applicable SIPs shall be a high priority for allocation of STP and CMAQ funds.

Cities and Counties are eligible to utilize the STP and CMAQ funds for transportation demand management / transportation control measures and will be so advised by the appropriate county transportation commission or IVAG.

3. CTCs are responsible for documenting timely implementation of the TCMs for which they are project sponsors.
4. A local Surface Transportation Program shall be developed and administered within each County consistent with state implementing legislation. Local STP projects will be prioritized in each County by the county transportation commissions and IVAG consistent with SAFETEA-LU which requires multimodal flexibility.

All Local STP programming decisions must be based on a discretionary process; formula apportionments are not acceptable. (Note: According to 23 CFR 450.324 (j), "Procedures or agreements that distribute suballocated Surface Transportation Program funds or funds under 49 U.S.C. 5307 to individual jurisdictions or modes within the metropolitan planning area by pre-determined percentages or formulas are inconsistent with the legislative provisions that require MPOs, in cooperation with the State and transit operators, to develop a prioritized and financially constrained TIP and shall not be used unless they can be clearly shown to be based on considerations required to be addressed as part of the metropolitan transportation planning process." Project selection, therefore, must be by the use of objective

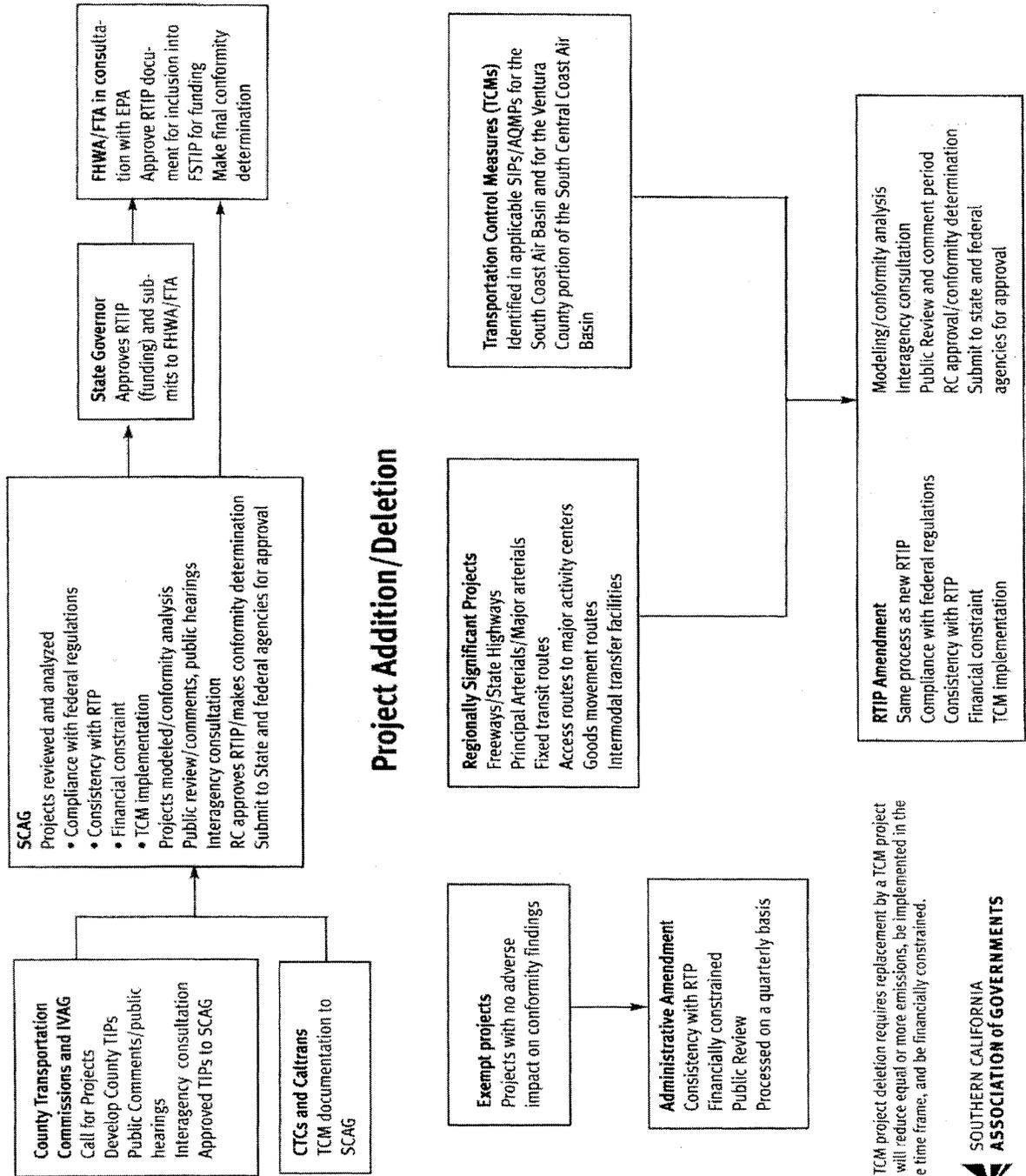
criteria other than population alone, i.e., there must be some correlation between selection and measurable need).

5. County TIPs shall be submitted to SCAG and are incorporated into SCAG's Regional TIP. The Regional TIP will be adopted by SCAG's Regional Council following the appropriate interagency consultation, public review and comments period, and following its presentation to, review and comments by the Regional Transportation Agencies' Coalition (RTAC). SCAG's adoption will include the associated conformity findings. If SCAG is unable to resolve identified conflicts, SCAG will adopt the components of the program which are possible to adopt and refer back to the respective county for reconciliation of those projects which present conformity conflicts. In the event the respective county transportation commission or IVAG is unable to reconcile the conflict in a timely manner, recommendations will be made by RTAC.

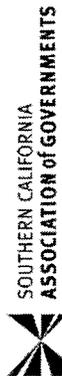
**Note: Any amendment to the RTIP that adds or significantly changes the design concept and scope of a non-exempt regionally significant project, and which has not been accounted for in the regional emissions analysis, requires a full conformity analysis and a new regional emissions analysis.**

**SCAG staff will have no recourse but to remove from consideration any project for which full and accurate information is missing or not submitted in a timely manner. A county should wait for the next RTIP adoption cycle to delete any non-exempt projects.**

**Standard Biannual RTIP Development Process**



Any TCM project deletion requires replacement by a TCM project that will reduce equal or more emissions, be implemented in the same time frame, and be financially constrained.



1345 RTIP Dev Process 3/5/07

# Schedules & Submittals

## II. SCHEDULES AND SUBMITTALS

### A. Schedules

## WORKING DRAFT

### Adoption Schedule for the FY2008 Regional Transportation Improvement Program (Consistent with the 2007 Regional Transportation Plan)

September 2007	Draft of 2008 RTIP Guidelines
October 2007	Final 2008 RTIP Guidelines
December 21, 2007	<p>DEADLINE – PROJECT SUBMITTAL TO SCAG All projects input into Regional Database. Projects must be consistent with the 2007 RTP</p> <p>Projects to be submitted in amendment format for all of the following project types:</p> <ol style="list-style-type: none"> <li>1. New Projects (<i>specify when projects received board approval and/or CTC approval, etc.</i>)</li> <li>2. Deleted projects (provide reason)</li> <li>3. Changes to modeled projects.</li> <li>4. Completed projects</li> </ol> <p>Database locked down Financial Plans Due including Financial Certification Resolution Timely Implementation Report Due</p>
(_____, 2008)	IVAG/County Transportation Commissions transmit copy of 2009 STIP/ RIP to SCAG
January 2 – April 30, 2008	<p>SCAG staff, working with Caltrans and County Commissions, will analyze project submittals.</p> <ul style="list-style-type: none"> <li>● Analyze projects for consistency with 2007 RTP</li> <li>● Identification of Modeled Projects</li> <li>● Analyze projects for conformity</li> <li>● Financial Constraint</li> <li>● Programmatic Analysis</li> <li>● Modeling <u>details</u> entered into RTIP database</li> </ul>
March 1 – April 28, 2008	Modeling and analytical work including timely implementation activities.

May 5, 2008	Modeling Report due to RTIP Section
May 1 – May 30, 2008	Final draft write up & Management Review Period
May/June, 2008	Presentation of 2008 RTIP to RTAC to fulfill AB1246 requirement
June 5, 2008	2008 RTIP sent out for reproduction
June 16, 2008	30-Day Public Review period starts
Mid June – Mid July, 2008	Public Hearings
July 3, 2008 (may change due to holiday)	Transportation and Communications Committee Energy and Environment Committee
August 7, 2008	Transportation and Communications Committee Regional Council scheduled to adopt RTIP
August 9, 2008	Report transmitted to Caltrans, FHWA, FTA, EPA Upload to Caltrans CTIPS database
October 2008	Conformity Determination approved by Federal Agencies

TO BE UPDATED WHEN THE CTC ADOPTS THE STIP FUND ESTIMATE

<b>2008 State Transportation Improvement Program (STIP)</b>	
August 2007 (Delayed)	Fund Estimate due to the California Transportation Commission
TBD	California Transportation Commission Adopts the Fund Estimate (postponed from August 18, 2005)
TBD	Regional Improvement Program (RIP) due to the California Transportation Commission
TBD	California Transportation Commission adopts the STIP and submits to the legislature

**RTIP Amendment Schedule  
FY2008 Regional Transportation Improvement Program**

Note: This schedule is subject to change. Amendment schedules are coordinated through consultation with county transportation commissions and IVAG.

**Amendment #08-01**

September 22, 2008	County Submittal to SCAG
October 27, 2008	Public Review and Web Posting
November 25, 2008	SCAG submits amendment #08-01 to Funding Agencies

**Amendment #08-02**

January 12, 2009	County Submittal to SCAG
February 27, 2009	Public Review and Web Posting
March 30, 2009	SCAG submits amendment #08-02 to Funding Agencies

**Amendment #08-03**

May 1, 2009	County Submittal to SCAG
June 12, 2009	Public Review and Web Posting
July 14, 2009	SCAG submits amendment #08-03 to Funding Agencies

**Amendment #08-04**

September 18, 2009	County Submittal to SCAG
October 30, 2009	Public Review and Web Posting
November 30, 2009	SCAG submits amendment #08-04 to Funding Agencies

## PROCEDURES FOR FEDERAL STATEWIDE TRANSPORTATION PROGRAM (RTIP/FSTIP) MODIFICATIONS

The following procedures are applicable for processing modifications to the Federal Statewide Transportation Improvement Program (FSTIP). In accordance with the provisions of 23 CFR 450, transportation improvement programs (TIPs) developed by MPOs are incorporated into the FSTIP and as such, these procedures are also applicable to TIP modifications.

In accordance with 23 CFR 450.216(c), projects in any of the first three years of the FSTIP may be moved to any other of the first three years of the FSTIP subject to the project selection requirements of 23 CFR 450.222. Such modifications do not require approval, provided expedited project selection procedures have been adopted in accordance with 23 CFR 450.332 and the required interagency consultation or coordination is accomplished and documented.

### **1) DEFINITIONS:**

**A) Administrative Actions** or administrative amendments are minor changes to the FSTIP/TIP that:

1. Revise a project description without changing the project scope or conflicting with the environmental document;
2. Revise the funding amount listed for a project's phases. Additional funding is limited to the **lesser of 20 percent of the project cost or \$2 million**;
3. Change the source of funds;
4. Change a project lead agency;
5. Splits or combines individually listed projects; as long as cost, schedule, and scope remain unchanged;
6. Changes required information for grouped project (lump sum) listings; or,
7. Adding or deleting projects from grouped project (lump sum) listings as long as the funding amounts stay within the guidelines in number two above.

Administrative amendments can be processed in accordance with these procedures provided that:

1. It does not affect the air quality conformity determination, including timely implementation of Transportation Control Measures (TCMs), **and**
2. It does not impact financial constraint.

**B) Amendments** or formal amendments are all other modifications to FSTIP/TIP that are not Administrative Actions.

**2) PROCEDURES:****A) Administrative Actions** (Administrative Amendments)

Each MPO-approved administrative action will be forwarded to Caltrans Headquarters, Division of Transportation Programming for approval on behalf of the Governor. The MPO Board may delegate approval of Administrative Actions to the MPO's Executive Director. If the MPO Board delegates approval of Administrative Actions to the Executive Director, the MPO will need to provide copies of the delegation to Caltrans, FHWA, and FTA.

Once approved by Caltrans, on behalf of the Governor, the Administrative Action will be incorporated into California's FSTIP and no Federal action will be required. Caltrans will notify the MPO, FHWA, and FTA of the approved administrative action.

**B) Amendments** (Formal Amendments)

Amendments to the FSTIP must be developed in accordance with the provisions of 23 CFR 450.326 and/or 23 CFR 450.216, and approved by the Federal agencies in accordance with 23 CFR 450.220. Each approved MPO TIP amendment will be forwarded to Caltrans Headquarters, Division of Transportation Programming for approval on behalf of the Governor. (To expedite processing, the MPO will also forward a copy of the amendment to FHWA and FTA at the same time the amendment is sent to Caltrans) Once approved by Caltrans, on behalf of the Governor, Caltrans will forward the amendment to FHWA and FTA for Federal approval. Once approved by FHWA and FTA the amendment will be incorporated into California's FSTIP. The FHWA and FTA approval will be addressed to Caltrans, with copies to the MPO.

**3) DISPUTE RESOLUTION:**

If a question arises on the interpretation of the definition of an administrative action (amendment), Caltrans, the MPO, FHWA and FTA (the parties) will consult with each other to resolve the question. If after consultation, the parties disagree on the definition of what constitutes an administrative action (amendment), the final decision rests with the FTA for transit projects and FHWA for highway projects.

The above listed criteria for administrative amendments are identical to the criteria posted on the Caltrans transportation web page dated 6/10/07. Any amendment that is not consistent with the administrative amendment criteria shall be considered a formal amendment request.

## SCAG STAFF REVIEW OF RTIP AMENDMENTS

Outlined below is a summary of the process used by SCAG to analyze RTIP amendments.

- Quick review of amendment submittals to insure that all components were transmitted by the county transportation commissions and IVAG
- Confirm that the County Financial Plan bottom line is in balance
- County amendment comparison reports are prepared
- RTIP staff starts amendment analysis
- Staff analyzes each field that changed in the proposed amendment. Staff analyzes the changes to determine what impacts the changes may have on the:
  1. Regional Emissions Analysis,
  2. Timely Implementation of Transportation Control Measures,
  3. Conformity Determination,
  4. Clear reason for cost increases or decrease over 20%,
  5. Back-up documentation is provided for changes in funding.
- Analyze new projects for a clear project scope and determine if project is:
  1. Exempt from a the regional emissions analysis; or,
  2. Project is consistent with the existing conformity determination,
  3. Eligible for proposed funding.
- Analyze proposed deleted projects to ensure that there is no impact to:
  1. Regional Emissions Analysis,
  2. Timely Implementation of Transportation Control Measures,
  3. Conformity Determination.
- For changes or the addition of new projects determine that the proposed state (STIP, SHOPP, HBP) and/or federal funds are approved by the respective agency.
- Analysis of County Financial Plan Summary.
- Insure that the county's narrative is consistent with the proposed changes in the RITP database.
- RTIP staff coordinates with conformity staff for any Projects relying on the existing conformity determination.

Products Posted on the SCAG web site (<http://www.scag.ca.gov/rtip/>) for public review of formal amendments include:

- County amendment comparison reports.
- Conformity determination.
- Conformity determination project listing.
- SCAG Regional Financial Summary.
- Public Notice.

After approval by SCAG, amendments are forwarded to Caltrans for review and approval. After Caltrans approval, amendments are forwarded to FHWA and FTA for review and approval.

**Note: Administrative amendments do not require formal posting**

**FY 2008/09-20013/14****COUNTY TRANSPORTATION IMPROVEMENT PROGRAM****CHECK LIST AND DUE DATES**

- COUNTY TRANSPORTATION IMPROVEMENT PROGRAMS – ALL CHANGES TO THE SCAG RTIP REGIONAL DATABASE (RTIP DATABASE)  
**DUE BY DECEMBER 21, 2007.**
- PROJECT SUBMITTAL/COMPONENTS OF RTIP DOCUMENT  
APPENDIX – CONGESTION MITIGATION AND AIR QUALITY PROJECTS  
**DUE BY JANUARY 30, 2008.**
  - Supplemental documentation containing the entire scope of the project as contained in the project sponsor's application.
- CONSULTATION (INTERAGENCY AND PUBLIC INVOLVEMENT)  
**DUE BY DECEMBER 21, 2007.**
  - Public Hearings throughout the SCAG region to be scheduled in June and July 2008.
  - County TIP submittals must include documentation detailing the public participation and interagency consultation process. Also, CTCs and IVAG need to include copies of public notices, agendas and audio or written transcripts of public meetings held during the development and adoption phases of the transportation improvements program.
- TIMELY IMPLEMENTATION OF COMMITTED TCMs  
**DUE BY DECEMBER 21, 2007.**  
(SCAG will provide a listing of TCMs programmed in the 2006 RTIP to the counties by September 1, 2007)
  - Provide an update on the timely implementation of TCMs.
- FINANCIAL PLAN AND RESOLUTIONS  
**DRAFT – BALANCED PLAN DUE BY DECEMBER 21, 2007; FINAL - DUE BY April 1, 2008.**
- LUMP SUM PROJECT LISTING SENT ON DISK, AS REQUESTED ON PAGE 25  
**DUE BY DECEMBER 21, 2007.**
- MAPS OF NEW MODELED PROJECTS  
**DUE BY DECEMBER 21, 2007**

## **B. Submittals to SCAG**

There are various items that are due to SCAG when submitting County TIPs and TIP amendments. These required submittals are described below. Each county's submittal must be accompanied with a cover letter listing the submittals and any outstanding items.

### **1. Timely Implementation of Transportation Control Measures (TCMs) and TCM Identification**

Federal Metropolitan Planning regulations at 23 C.F.R. §450.324(d) require applicable nonattainment and maintenance areas to provide for the "timely" implementation of TCMs consistent with schedules included in the applicable SIP for each air basin/air district.

CTCs in the South Coast Air Basin (SCAB) and the Ventura County portion of the South Central Coast Air Basin (in cooperation with VCAPCD) must identify TCM projects by selecting "TCM" as the Conformity Category code in the SCAG RTIP Database. Refer to page 35 of these guidelines to learn more about TCMs and how to identify committed TCM projects. If a committed TCM constitutes a portion of a larger non-TCM project, a description (and dollar amount) of the TCM portion must be provided in the TCM Comment field in the Comment screen of the SCAG RTIP Database.

CTCs in the SCAB and the SCCAB are also required to document the implementation of all TCMs identified as committed TCMs in the RTIP (see page 35 for a description of committed TCMs). The status of implementation for each committed TCM project must be entered in the TCM Comment field in the Comment screen of the SCAG RTIP Database.

TCMs are not required in the SSAB and the MDAB, therefore, identification or reporting of TCMs does not apply in these two air basins.

To facilitate reporting on timely implementation of TCMs in the SCAB and the Ventura County portion of the SCCAB, TCMs are identified in the RTIP as "TCM" in the Conformity Category field and SCAG will use the interagency consultation process to provide ongoing guidance to support timely implementation of committed TCMs.

#### **a. South Coast Air Basin**

Under the Air Quality Management Plan/State Implementation Plan (AQMP/SIP) requirements for the South Coast Air Basin, SCAG shall work with the affected counties to determine the timely implementation of TCMs.

The 1994 and the subsequent AQMPs/SIPs for ozone in the South Coast Air Basin define committed TCM projects as those projects identified in the first two years (the fiscally constrained portion) of the 2008 RTIP, which in turn, is required to be consistent with the adopted RTP. The AQMP/SIP also specifies that every time the RTIP is updated (as is the case with the 2008 RTIP), the projects contained in the standing AQMP/SIP are "rolled over". A "rollover" list will be compiled to include new projects in addition to ongoing projects from previous RTIPs. Completed projects (projects that have completed construction or have service in place) will be reported as complete and removed from the list. The rollover list will be monitored for adherence to the schedule established in the RTIP at the time a project is identified as a committed TCM. It should be noted that this

rollover process is distinct from the substitution process for TCM projects that are delayed or cancelled.

Substitution of individual TCMs will follow the process specified in the Clean Air Act (CAA) section 176(c). Section 176(c) of the CAA allows for the substitution of individual TCMs if certain conditions are met. The CTCs and/or project sponsors shall notify SCAG when a TCM project cannot be delivered or will be significantly delayed. SCAG and the CTCs will identify and evaluate possible replacement measures for individual substitutions, with consultation from the TCWG, which includes members from all affected jurisdictions, federal, state and/or local air quality agencies and transportation agencies. The TCM substitution process is further described in the TCM section of this document.

As a part of the conformity determination for the 2008 RTIP, SCAG will work with the CTCs and Caltrans to ensure timely implementation of committed TCM projects.

The 2008 RTIP also must demonstrate that the TCM projects are being funded in the future years (FFYs 2010/11-2013/14).

#### **b. Ventura County Portion of the South Central Coast Air Basin**

The 1994/5 and subsequent Ozone SIPs and their TCM strategies function for reporting on the timely implementation of TCMs in the Ventura County portion of the SCCAB.

### **2. Financial Plan and Resolution**

The Financial Plan demonstrates how each County TIP can be implemented in a fiscally constrained manner consistent with the RTP.

Under federal requirements, the RTIP shall include a financial plan that demonstrates how the approved TIP can be implemented. (23 CFR Part 450.324(h)). As the basis for finding the SCAG region has the capacity to fund the RTIP, a financial plan is required when submitting 2008 County TIPs and amendments. A description of the requirements for developing the Financial Plan is provided starting on page 56 of these Guidelines.

As part of the Financial Plan, a financial resolution is required as a certification to SCAG that projects and funding listed in County TIPs in the first two years are available and committed, and reasonably available in years three to six. A sample resolution follows which may be used for this certification. Each county must submit the certification with its 2008 County TIP submittal.

#### **SAMPLE FINANCIAL RESOLUTION**

RESOLUTION NO. \_\_\_\_\_

A RESOLUTION OF THE (COUNTY) TRANSPORTATION COMMISSION WHICH CERTIFIES THAT (COUNTY) HAS THE RESOURCES TO FUND THE PROJCTS IN THE FFY2008/09 – 2013/14 TRANSPORTATION IMPROVEMENT PROGRAM AND AFFIRMS ITS COMMITMENT TO IMPLEMENT ALL PROJECTS IN THE PROGRAM

WHEREAS, (County) Transportation Commission is located within the metropolitan planning boundaries of the Southern California Association of Governments; and

WHEREAS, the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) requires SCAG to adopt a regional transportation improvement program for the metropolitan planning area; and

WHEREAS, the SAFETEA-LU also requires that the regional transportation improvement program include a financial plan that demonstrates how the transportation improvement program can be implemented; and

WHEREAS, the (County) Transportation Commission is the agency responsible for short-range capital and service planning and programming for the (County) area within SCAG; and

WHEREAS, as the responsible agency for short-range transportation planning, the (County) Transportation Commission is responsible for the development of the (County) Transportation Improvement Program, including all projects utilizing federal and state highway/road and transit funds; and

WHEREAS, the (County) Transportation Commission must determine, on an annual basis, the total amount of funds that could be available for transportation projects within its boundaries; and

WHEREAS, the (County) Transportation Commission has adopted the FFY 2008/09-2013/14 (County) Transportation Improvement Program with funding for FFY 2008/09 and 2009/10 available and committed, and reasonably committed for FFY 2010/11 through 2013/14.

NOW, THEREFORE, BE IT RESOLVED by the (County) Transportation Commission that it affirms its continuing commitment to the projects in the FFY 2008/09-2013/14 (County) Transportation Improvement Program (TIP); and

BE IT FURTHER RESOLVED, that the FFY 2008/09-2013/14 (County) TIP Financial Plan identifies the resources that are available and committed in the first two years and reasonably available to carry out the program in the last four years, and certifies that:

1. The Regional Improvement Program projects in the FFY2008/09-2013/14 (County) TIP are consistent with the proposed 2008 State Transportation Improvement Program scheduled to be approved by the California Transportation Commission in April 2008; and
2. All of the projects in the (County) TIP have complete funding identified in the Program except the (project \_\_\_\_\_) which will require additional funding in the 2010 STIP cycle. This project is in the County's number one priority for 2010 STIP funds. The (County) 2010 STIP Regional Improvement Program, as identified in the Financial Plan, will include sufficient funds to complete the project. Therefore, as required by the SAFETEA-LU, the Commission finds that full funding can reasonably be anticipated to be available for the (project) within the time period contemplated for completion of the project.
3. (County) has the funding capacity in its county Surface Transportation Program and Congestion Mitigation and Air Quality Program allocation to fund all of the projects in the FFY 2008/09-2013/14 (County) TIP; and

4. The local match for projects funded with federal STP and CMAQ program funds is identified in the RTIP.
5. All the Federal Transit Administration funded projects are programmed within SAFETEA-LU Guaranteed Funding levels.

PASSED, APPROVED AND ADOPTED this \_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

### **3. Mapping of Regionally Significant Projects**

CTC's and IVAG are required to submit a location map for each regionally significant project to be included in the regional emissions analysis. Maps such as "marked-up" Thomas Bros. Maps are useful to SCAG during County TIP analysis and for modeling purposes. Other helpful information includes project diagrams, funding applications and Project Study Reports (or excerpts). SCAG plans to incorporate GIS features as part of the SCAG RTIP Database in the future to end the need to submit project maps separately. The GIS mapping feature will not be available for development of the 2008 County TIPs.

### **4. Lump Sum Project Listings**

CTC's and IVAG are responsible for listing all projects and amounts associated with lump sum projects. Lump sum projects lists are due with the County TIP submittals and amendments because the projects within the lump sum will be evaluated for eligibility by SCAG, Caltrans and FHWA/FTA staff. Lump sum project lists are required by Caltrans and FHWA/FTA for approval of the RTIP and amendments. The project lists and associated cost should match the amounts programmed for the lump sum projects. Additional information on projects that can be grouped and submitted as lump sums can be found starting on page 47 of these Guidelines. Lump sum lists should be provided on computer disk.

### **5. RTIP Administrative and Formal Amendments**

SCAG will continue to process amendments that do not jeopardize the region's conformity on a quarterly basis. The amendment schedule is found on page 17 of these Guidelines and will be adjusted during the RTIP development cycle as needed. To ensure a fiscally constrained program, specific revenue sources are to be identified to fund new projects being added in an administrative amendment, or demonstrate that an equal amount of programming has been reduced. A financial plan (found on page 58 of these Guidelines) will be required with each County TIP amendment submittal as required by Caltrans to demonstrate that the first four years remain constrained.

# Transportation Conformity & Modeling

### III. TRANSPORTATION AIR QUALITY CONFORMITY AND MODELING

#### A. Transportation Air Quality Conformity Requirements

The Federal Clean Air Act (CAA) establishes air quality standards for various pollutants. The federal requirements for air quality management are incorporated into the SIPs for those pollutants stipulated in the CAA. State of California requirements for transportation are incorporated into Senate Bill 45 (SB 45) and other California codes.

In compliance with the CAA requirements, the Transportation Conformity Rule establishes regulatory provisions for processing transportation plans, programs, and projects in the federal non-attainment and maintenance areas under Title 23 U.S.C., the Federal Transit Act, and Section 176(c) of the 1990 CAA Amendment. The Transportation Conformity Rule also regulates conformity to the SIPs. Federal transportation and air quality conformity regulations, which are outlined in the Transportation Conformity Rule (<http://www.fhwa.dot.gov/environment/conformity/rule.pdf>), require transportation plans, programs, and projects to “conform” to the SIP and thus, support attainment of federal air quality standards.

Areas within Southern California are designated as non-attainment and maintenance for multiple pollutants; these non-attainment areas have not attained federal health-based air quality standards (see maps starting on page 93). The Transportation Conformity Rule stipulates that transportation plans, programs (including the 2008 RTIP), and projects cannot receive federal funds unless they demonstrate conformity with the applicable SIPs, including meeting the emissions budgets included in each SIP.

For guidance on projects that are exempt from conformity requirements or are not regionally significant, see the Modeling section below.

The 2008 RTIP will complete the conformity process and findings in accordance with the criteria and procedures set in the Transportation Conformity Rule and all related court rulings.

The conformity determination is made by air basin, non-attainment area, and pollutant. There are five required tests for conformity determination of the RTIP:

- i. Interagency consultation and public involvement
- ii. Consistency with the RTP
- iii. Regional emissions analysis
- iv. Financial constraint
- v. Timely implementation of TCMs.

#### B. Modeling

##### 1. Regionally Significant Projects

EPA conformity regulations require that the impacts of “Regionally Significant” projects be considered in the regional emissions analyses for regional transportation plans and TIPs regardless of funding sources (e.g. even 100% locally funded projects). EPA’s use of the

term “Regionally Significant” is intended to limit emissions analyses to those projects that would have significant impacts on regional travel, emissions and air quality. EPA defines the terms as follows:

*“Regionally Significant means a transportation project (other than an exempt project) that is on a facility which serves regional transportation needs (such as access to and from the area outside the region, major activity centers in the region, major planned developments such as new retail malls, sports complexes, etc., or transportation terminals as well as most terminals themselves) and would normally be included in the modeling of a metropolitan area’s transportation network, including at a minimum all principal arterial highways and all fixed guideway transit facilities that offer an alternative to regional highway travel.”*

For the purpose of regional transportation modeling and regional emissions analysis in the SCAG region, **any transportation facility project meeting one of the following criteria is considered regionally significant:**

- a. **Freeways**
- b. **State Highways**
- c. **Principle Arterial** (Eight-lane divided roadway)
- d. **Major Arterial** (county defined)
- e. **Routes** that provide access to major activity centers such as amusement parks, regional shopping centers, military bases, airports and ports
- f. **Goods Movement Routes** including both truck routes and rail lines (including rural agricultural routes that provide goods to the regions)
- g. **Intermodal transfer facilities** such as transit centers, rail stations, airports, and ports
- h. **Fixed transit routes** such as light and heavy rail, commuter rail, and express bus routes

Each county is required to identify regionally significant projects by entering the appropriate *program code* for each project in the SCAG database. The codes are listed based on the program code type (i.e. the first two characters). For example, capacity enhancing improvements are coded as “CA,” while Non-Capacity Improvements are coded “NC.” To better identify projects of Regional Significance and Goods Movement projects, please utilize the Regionally Significant (“X”), Non-Regionally Significant (“N”), and Goods Movement (“Y”) program codes (see “References” section of the RTIP Guidelines). A regionally significant, capacity enhancing grade crossing project should be coded as “CAX61.” If the grade separation project will improve access to and from a port, the project should be coded as “CAY61” to identify it as a goods movement project.

The program codes also assist SCAG staff in identifying projects that require modeling. Modeled projects will be pulled from the SCAG Regional RTIP database based on the regionally significant program codes. It is imperative that the Program Code field is accurate to ensure that projects are modeled. Specific project information is required for modeling purposes. The required information for input for each type of project is found on the far right column of the table (see Table III-A: Modeling Information). Counties should enter this project information into the RTIP database as part of the project description and/or comment section.

In addition to the modeling information, counties should identify other projects not covered in the project list provided in Table III-A: Modeling Information. Examples of other regionally significant projects are goods movement routes, intermodal transfer facilities, and major fixed transit routes.

SCAG also models the type of projects listed below to provide accurate VMT estimates utilized in the regional emissions analysis. This information is to be submitted to the SCAG modeling section with the same deadline as the submittals for the RTIP cycle.

- (a) Major Arterial (Six-lane divided roadway)
- (b) Bus Routes (Express and local)

SCAG’s Modeling Task Force and Transportation Conformity Working Group function as the responsible forums for interagency consultation to discuss which minor arterials and other projects, in addition to EPA’s definition of regionally significant projects, shall be modeled.

**Table III-A: MODELING INFORMATION**

**(Use “X” codes for Regionally Significant projects,  
“N” for Non-Regionally Significant projects, and  
“Y” codes for Goods Movement Projects)**

Project Category	Program Code(s)	Required Modeling Details
Interchange Projects - New interchanges.	CART3 CARH3 CAXT3 CAYT3 CAX70 CAY70 CAX71 CAY71 CAN71 CAXT7 CAYT7 CANT7	1) Type of facility, length, beginning and end of the project 2) Number of lanes in each direction 3) Posted speed limits 4) New freeway interchange should include a sketch diagram showing the overall scope 5) For project with HOV facilities, also include number of HOV lanes in each direction and location of access/egress 6) Truck only or truck prohibition 7) Project completion date
- Interchange reconstruction projects that add capacity.	CARH3 CART3	1) Location, configuration, beginning and end points of the project and type of facility 2) Existing and proposed number of lanes in each direction 3) Posted speed limits

<p>- Ramp widening and new ramps to existing interchanges.</p>	<p>CAN71 CANT71 CARH3 CART3 NCR88</p>	<p>1) Type of ramps, mixed flow or HOV exclusive 2) Existing and proposed number of lanes 3) Posted speed limits</p>
<p>- Interchange projects with auxiliary lanes.</p>	<p>NCN21 NCN37</p>	<p>Same as for interchange project 1) Type of auxiliary lanes including locations of beginning and end points. 2) Length and number of lanes 3) Posted speeds</p>
<p>- Existing over/under-crossings that add new ramps to become interchanges.</p>	<p>CAN66 CANT5 CAR75 CART0</p>	<p>Same as new interchange 1) Type of facility, length, beginning and end of the project 2) Number of lanes in each direction 3) Posted speed limits 4) New freeway interchange should include a sketch diagram showing the overall scope 5) For project with HOV facilities, also include number of HOV lanes in each direction and location of access/egress 6) Truck only or truck prohibition 7) Project completion date</p>
<p><b>Local Road &amp; Arterial Projects</b>  - New local roads &amp; arterials.</p>	<p>CAX66 CAY66 CAN66 CAXT5 CATT5 CANT5</p>	<p>1) Location and type of facility including length, beginning and end points of the project 2) Number of lanes in each direction 3) Divided, undivided, or continuous left turn lane 4) Signal optimization or turn prohibitor 5) Roadside parking restriction 6) Posted speeds</p>
<p>- New local road &amp; arterial connections.</p>	<p>CAN66 CAX66 CAY66 CANT5 CAXT5 CAYT5</p>	<p>Same as above 1) Location and type of facility including length, beginning and end points of the project 2) Number of lanes in each direction 3) Divided, undivided, or continuous left turn lane 4) Signal optimization or turn prohibitor 5) Roadside parking restriction 6) Posted speeds</p>
<p>- Local road &amp; arterial improvements that add capacity.</p>	<p>CAN76 CAX76 CAY76 CAR62 CAX62 CAY62 CAR63 CAX63 CAY63 CART2</p>	<p>1) Project length, beginning and end points of the improvement 2) Existing and proposed number of lanes 3) New capacity by signal optimization or parking restriction if no lane addition</p>

	CAYT2	
<b>Bridge &amp; Grade Separation Projects</b> - New bridges (Over-cross or Under-cross).	CAX65 CAY65 CAN65 CAXT4 CAYT4 CANT4 CAX72 CAY72	1) Location, length, posted speeds and number of lanes in each direction 2) Existing number of lanes and type of facility approaching or merging to the new bridge
- Bridge reconstruction projects that add capacity.	CAN72 CAXT8 CAYT8 CANT8 CAX75	1) Existing and proposed number of lanes in each direction 2) Change of facility type
- Grade separation projects that add capacity to local roads/highways.	CAY75 CAR75 CAXT0 CAYT0 CART0 CAX73 CAY73	1) Location, length, and facility type 2) Posted speeds and number of lanes in each direction 3) Change of facility type or capacity to the merging local roads or highways 4) Truck only or truck prohibition
New toll bridge facilities.	CAN73 CAXT9 CAYT9 CANT9	1) Location and toll charge
<b>State Highway Projects</b> - New highways.	CAX66 CAY66 CAN66 CAXT5 CATT5 CANT5 CAX68 CAY68 CAN68 CAX67 CAY67 CAN67 CAXT6 CAYT6 CANT6 CAX69	1) Type of facility, length, beginning and end points of the project 2) Number of lanes in each direction 3) Posted speed limits 4) A sketch diagram showing the alignment or configuration of the new highway project 5) For project with HOV facilities, also include number of HOV lanes in each direction and location of access/egress 6) Truck only or truck prohibition 7) Toll facility also include toll rate, toll collection location and method 8) Project completion date
- New connections.	CAY69 CAN69 CAX62 CAY62 CAR62	1) Location and configuration of the new connection 2) Type of facility, number of lanes in each direction 3) Posted speed limits

- Mainline improvements that add capacity (general purpose and HOV lanes).	CAR62 CAX62 CAY62 CAX63 CAY63 CAR63 CART2 CAYT2	1) Length, beginning and end points of the project 2) Number of lanes in each direction 3) Posted speed limits
- Auxiliary lanes.	NCN21 NCN37	Same as above 1) Length, beginning and end points of the project 2) Number of lanes in each direction 3) Posted speed limits
- New HOV lanes.	CAR62 CAX62 CAY62	1) Number of HOV lanes in each direction and location of access and egress points 2) Auto occupancy threshold and hours of operation 3) Posted speed limits
<b>Bus Transit Projects</b> <i>(Fixed-route, paratransit &amp; inter-city/commuter bus)</i>  - New bus routes.	BUO01 BUO00 BUN94 BUN93	1) Bus route and location of stops 2) Time and distance between stops 3) Headway, boarding fare and transfer fare 4) Park-and-ride facility and location, parking cost
- New bus service.	BUO01 BUO00 BUN94 BUN93	Same as for new bus routes 1) Bus route and location of stops 2) Time and distance between stops 3) Headway, boarding fare and transfer fare 4) Park-and-ride facility and location, parking cost
- Vehicle/Service expansions.	BUO01 BUO00 BUN94 BUN93	Same as above 1) Bus route and location of stops 2) Time and distance between stops 3) Headway, boarding fare and transfer fare 4) Park-and-ride facility and location, parking cost
- Construction of exclusive busways.	BUN94 BUN93 PAN74 PAN93	1) Location, beginning and end points of the busway 2) Bus route and location of stops 3) Headway for peak and off-peak periods, boarding fare and transfer fare 4) Park-and-ride facility and location, parking cost
<b>Mass Transit Facilities</b>  - New inter-modal transportation centers.	TRNH6	1) Location of the new center 2) Type of service including passengers and trucks 3) Parking facility for passengers
- New Multi-modal passenger stations.	TRNH6	1) Location of the new station 2) Rail, bus, and other transit services using the facility 3) New parking facility location and parking cost

Park and Ride Facilities  - New Park & Ride facilities	TDN64	1) Location of the new P&R facilities
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**2. Non-federal / Non-regionally Significant Projects – 100% Locally Funded**

A non-federal project is a highway or transit project that requires no federal funding or approval, but is funded by an agency that routinely receives funds from FHWA or the Federal Transit Administration (FTA). Caltrans, County Transportation Commission (CTC), city, county, or public transit agencies are examples of such agencies. **Projects that are 100% locally funded should only be included in the RTIP if projects meet at least one of the following criteria:**

- 1) Regionally Significant or Goods Movement project
- 2) Capacity Enhancing project
- 3) Funding for a future phase will be federal
- 4) Environmental document requires federal approval
- 5) Project will help meet TDM / Non-Motorized investment targets

All other non-federal and non-regionally significant projects should not be included in the RTIP. Limiting the number of locally-funded projects in the RTIP will significantly reduce the amount of staff time for everyone involved in inputting, reviewing and maintaining projects in the database.

**3. Projects Exempt from Regional Emissions Analysis**

Projects defined as exempt projects in §93.126 and listed in Table III-B: Projects Exempt from Regional Emissions Analysis of the transportation conformity rule are exempt from the requirement to determine conformity (not required for regional and project level analysis). Nevertheless, the emissions reductions from these projects can be included in the conformity analysis. Notwithstanding the other requirements of this subpart, highway and transit projects of the types listed in Table III-B: Projects Exempt from Regional Emissions Analysis are exempt from the requirement to determine conformity. Such projects may proceed toward implementation even in the absence of a conforming transportation plan and TIP. Additionally, a project may not be exempt if the MPO in consultation with other agencies, the EPA, FHWA (in case of a highway project), or the FTA (in case of a transit project) concur that the project has a potentially adverse emissions impact for any reason (see §93.105(c)(1)(III)). In such an event, a regional emissions analysis may be required. States and MPO's must ensure exempt projects do not interfere with TCM Implementation.

**Table III-B: Projects Exempt from Regional Emissions Analysis**

<p><b><u>Mass Transit</u></b></p> <ul style="list-style-type: none"> <li>▪ Operating Assistance to transit agencies</li> <li>▪ Purchase of support vehicles</li> <li>▪ Rehabilitation of transit vehicles <sup>1</sup></li> <li>▪ Purchase office, shop &amp; operating equipment for existing facilities</li> <li>▪ Purchase of operating equipment for vehicles (e.g., radios, fare boxes, lifts)</li> <li>▪ Construction or renovation of power, signal and communications systems</li> <li>▪ Construction of small passenger shelters and information kiosks</li>   <li>▪ Reconstruction/renovation of transit buildings and structures (e.g., rail or bus buildings, storage &amp; maintenance facilities, stations, terminals &amp; ancillary structures)</li>   <li>▪ Rehabilitation or reconstruction of track structures, track and trackbed in existing rights-of-way</li> <li>▪ Purchase of new buses and rail cars to replace existing vehicles or for minor expansions of the fleet</li> <li>▪ Construction of new bus or rail storage/maintenance facilities categorically excluded in 23 CFR 771</li> </ul> <p><b><u>Air Quality</u></b></p> <ul style="list-style-type: none"> <li>▪ Continuation of ride-sharing and van-pooling promotion activities at current levels</li> <li>▪ Bicycle and pedestrian facilities</li> </ul> <p><b><u>Safety</u></b></p> <ul style="list-style-type: none"> <li>▪ Railroad/highway crossing</li> <li>▪ Hazard elimination program</li> <li>▪ Safer non-Federal-aid system roads</li> <li>▪ Shoulder Improvements</li> <li>▪ Increasing Sight distance</li> <li>▪ Safety improvement program</li> <li>▪ Traffic control devices and operating assistance other than signalization projects</li> </ul>	<p><b><u>Safety</u></b> (cont.)</p> <ul style="list-style-type: none"> <li>▪ Railroad/highway crossing warning devices</li> <li>▪ Guardrails, median barriers, crash cushions</li> <li>▪ Pavement resurfacing and/or rehabilitation</li> <li>▪ Pavement marking demonstration</li> <li>▪ Emergency relief (23 USC 125)</li> <li>▪ Fencing</li> <li>▪ Skid treatments</li> <li>▪ Safety roadside rest areas</li> <li>▪ Adding medians</li> <li>▪ Truck climbing lanes outside the urbanized area</li> <li>▪ Lighting improvements</li> <li>▪ Widening narrow pavements or reconstructing bridges (no additional travel lanes)</li> <li>▪ Emergency Truck Pullovers</li> </ul> <p><b><u>Other</u></b></p> <ul style="list-style-type: none"> <li>▪ Specific activities which do not involve or lead to construction, such as:             <ul style="list-style-type: none"> <li>▪ Planning and technical studies</li> <li>▪ Grants for training and research programs</li> <li>▪ Planning activities conducted pursuant to title 23 and 49 U.S.C.</li> <li>▪ Federal-aid systems revisions</li> <li>▪ Engineering to assess social, economic and environment effects of the proposed action or alternatives to that action</li> <li>▪ Noise Attenuation (sound walls)</li> <li>▪ Emergency or hardship advance land acquisitions (23 CFR 712.204(d))</li> <li>▪ Acquisition of scenic easements</li> <li>▪ Plantings, landscaping, etc.</li> <li>▪ Sign removal</li> <li>▪ Directional and information signs</li> <li>▪ Transportation Enhancement Activities (except rehabilitation &amp; operation of historic transportation buildings, structures or facilities)</li> <li>▪ Repair of damage caused by natural disaster, civil unrest, or terrorist acts, except projects involving substantial functional, location, or capacity changes</li> </ul> </li> </ul>
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<sup>1</sup> In PM<sub>2.5</sub> and PM<sub>10</sub> non-attainment or maintenance areas, such projects are exempt only if they are in compliance with control measures in the applicable implementation plan.

In general, exempt projects include all projects which have no emissions impact, and are considered to be neutral or de minimis. For projects such as travel demand management strategies for which air quality effects cannot be accurately assessed in a traditional regional modeling context, other accepted methods (reasonable professional practice) of quantifying their effects are encouraged (40 CFR §93.122(a)).

#### 4. Additional Projects Exempt from Regional Emissions Analysis

The projects listed in the table below are also exempt from regional emissions analysis requirements. A particular action of the type listed in the table below is not exempt from regional emissions analysis if the MPO in consultation with other agencies, the EPA, and the FHWA (in case of a highway project) or the FTA (in case of a transit project) concur it has a potential regional impact for any reason.

Note, while traffic signal synchronization may be approved, funded, and implemented without regional emission analyses, subsequent plans and TIPs need to include these projects in the regional emissions analysis. Therefore, project sponsors must provide location information on these projects.

**Table III-C: Additional Projects Exempt from Regional Emissions Analysis**

- |  |
|--|
| <ul style="list-style-type: none"> <li>▪ Intersection channelization projects – NCRH1</li> <li>▪ Intersection signalization projects at individual intersections – NCNH2</li> <li>▪ Interchange reconfiguration projects (Interchange Modifications/Replacement) – NCRH3</li> <li>▪ Changes in vertical and horizontal alignment (Curve Correction/improve Alignment) – NCRH4</li> <li>▪ Truck size and weight inspection stations – NCRH5</li> <li>▪ Bus terminals and transfer points (Passenger Stations/ Facilities) – New:TRNH6; Upgrade:TRRH6</li> </ul> |
|--|

<sup>1</sup> While traffic signal synchronization may be approved, funded, and implemented without regional emission analyses, subsequent plans and TIPs need to include these projects in the regional emissions analysis.

In general, exempt projects include all projects which have no emissions impact, and are considered to be neutral or de minimis. For projects such as travel demand management strategies for which air quality effects cannot be accurately assessed in a traditional regional modeling context, other accepted methods (reasonable professional practice) of quantifying their effects are encouraged (40 CFR §93.122(a)).

# Transportation Control Measures (TCMs)

## IV. TRANSPORTATION CONTROL MEASURES (TCMs)

### A. Timely Implementation of TCMs

Transportation Control Measures (TCMs) are specific transportation projects and programs committed to help improve air quality. TCMs are required by the federal Clean Air Act in non-attainment areas that are classified as “severe” and above (§7511a(d)(1)), and provide multiple benefits, including reductions of emissions and improvements to mobility and accessibility and can help support better urban form.

Southern California has the worst air quality in the nation and must implement all reasonably available measures to support attainment of federal and state air quality standards. The unique challenges in Southern California have called for an inclusive and flexible TCM development, implementation, and monitoring process, which is included in the SIP for the South Coast Air Basin. Within the South Coast Air Basin, TCM-type projects and programs that have implementation funding—right-of-way acquisition or construction funding for transit, non-motorized or HOV projects or program funding for behavioral or informational programs—within the first two years of the RTIP are committed TCMs. This ongoing rollover process has committed hundreds of projects and programs, which collectively will remove tons of air pollution each day from Southern California’s skies.

### B. TCM Categories and Definitions

A TCM-type project or program is any transportation project or program that reduces vehicle use or changes traffic flow or congestion conditions for the purposes of reducing emissions from transportation sources and improving air quality.

TCM-type Projects and Programs: Only those projects meeting the specifications defined in the prevailing SIP are designated as TCMs. These categories define the region’s transportation strategies and control measures to reduce air pollution emissions from on-road mobile sources and provide guidance on the types of projects that can be considered in the event that a TCM substitution becomes necessary.

In the SCAG region, two ozone non-attainment areas have TCMs: the South Coast Air Basin (SCAB) and the Ventura County portion of the South Central Coast Air Basin (VC/SCCAB). The State Implementation Plans (SIPs) for both areas are being revised.

For the VC/SCCAB, the current TCM categories are: Clean Fuel Bus Fleets and Support Facilities; Improved Public Transit; Bicycle and Pedestrian Facilities; and Traffic Flow Improvements. A specific list of projects, consistent with the TCM categories, is listed by VCTC in each RTIP.

In the South Coast Air Basin (SCAB), TCMs are defined in three main categories:

- Transit and non-motorized modes;
- HOV lanes and their pricing alternatives; and
- Information-based strategies.

Committed TCMs: As stated above, a TCM-type project or program becomes a *committed* TCM once funds have been programmed by the CTCs in the first two years of the RTIP.

Committed TCM projects have funds programmed for right-of-way acquisition or for post-design implementation in the first two years of the prevailing RTIP or RTIP amendment. Projects with funds programmed for PE only are not TCMs. If a TCM project or program is programmed through an RTIP amendment, then the TCM project or program becomes a committed TCM that must be operational by the completion date provided in the amendment.

TCMs for Timely Implementation Reporting: Once a TCM project or program is committed for implementation in the first two years of the RTIP, the committed TCM project must be operational or implemented by the completion date committed to in the prevailing RTIP or RTIP amendment. The completion date for committed TCMs will be used to track timely implementation for the Timely Implementation Report, submitted as part of each Conformity Determination. The primary analysis for Timely Implementation Reporting will be done as part of the two-year RTIP cycle, although completion status of committed TCM projects must be continuously monitored to ensure that committed TCMs are on schedule.

Completed, operational TCM projects will be included in the TCM Timely Implementation Report in the Conformity Determination directly subsequent to project completion, and then completed projects will be removed from the list. SCAG will maintain an internal list of completed TCM projects.

SCAG is improving the RTIP database to include new and improved reporting and project monitoring functionality for TCMs. Every project designated as a TCM will carry with its record the date on which it was proposed and the project completion date anticipated at that time. These two date records will carry forward in the new RTIP database, and be a part of subsequent implementation reports, and will be reported to federal and other agencies. Furthermore, SCAG is refining the list of currently committed TCMs and once SCAG has received input from the CTCs, SCAG plans to present the list to the Transportation Conformity Working Group in autumn of 2007 for further review and comments. The finalized list, including the committed completion date of each project will provide the basis for the Timely Implementation Report for the 2008 RTIP.

TCM projects require priority in funding (with special claim on CMAQ and STP funds), as well as demonstration of timely implementation, in accordance with the schedule provided in the RTIP. This means that in the event of a funding shortfall, TCM projects must be funded and implemented before non-TCM projects. In addition, all projects properly designated as TCMs in the first two years must be tracked for timely implementation, and, in the event that a project is delayed or cancelled, substitute projects that provide equivalent air quality improvement benefits must be initiated in a timely manner.

Once a TCM project is committed for implementation in an RTIP, the implementation status must be reported on in subsequent RTIPs until the project has been completed. All committed TCMs must be implemented on schedule to avoid a conformity lapse. If implementation obstacles arise, the obstacles must be overcome. Any development affecting implementation of a committed TCM will be reported to SCAG by the CTCs on an on-going basis. In the event that a committed TCM project encounters an obstacle to implementation, the implementing agency, SCAG, and the Transportation Conformity Working Group (TCWG) will work together to overcome the delay.

### **C. TCM Rollover Process**

TCMs Rollover Process: Approximately every two years, as the RTIP is updated, additional TCMs will be added to the South Coast AQMP/SIP based on the new RTIP and the RTIP Guidelines. The “rollover” of TCMs will update the AQMP/SIP to include new projects in addition to ongoing projects from previous RTIPs. The TCMs “rolled over” will be monitored for adherence to the schedule established in the RTIP at the time a project is identified as a committed TCM. The identification of TCMs from the RTIP shall be agreed upon by both SCAG and the appropriate CTCs.

The rollover of the RTIP must be adopted by SCAG’s Regional Council, in accordance with the RTIP adoption process, as described below.

- The Draft RTIP is reviewed by various SCAG Committees, Task Forces, and Working Groups, such as the standing Transportation and Communication Committee;
- The Transportation Conformity Working Group (TCWG), which serves as the interagency consultation group, reviews the proposed TCMs and RTIP;
- Public notification is provided through newspapers in the affected sub-regions as well as on SCAG’s website;
- Draft RTIP materials are distributed, with appropriate cover letters, to approved public libraries and facilities and also made available on SCAG’s website for access by the public;
- Input received is compiled and analyzed, and responses to comments are provided by SCAG Staff, and made available to the public;
- A summary of comments received during the public comment period along with SCAG’s responses, following the close of the public comment period, is incorporated into the final RTIP;
- The Final RTIP is adopted by SCAG’s Regional Council;
- SCAG’s adopted RTIP is submitted to the State for funding approval and to the federal agencies (FHWA, FTA and EPA) for conformity approval.

### **D. Substitution of Individual TCMs**

The CTCs and/or project sponsors shall notify SCAG when a TCM project cannot be delivered or will be significantly delayed. SCAG and the CTCs will identify and evaluate possible replacement measures for individual substitutions, through the TCWG, which includes members from all affected jurisdictions, federal, state and/or local air quality agencies and transportation agencies.

Substitution of individual TCMs will follow the process specified in the CAA section 176(c). Section 176(c) of the CAA allows for the substitution of individual TCMs if certain conditions are met. These include:

- “(i) if the substitute measures achieve equivalent or greater emissions reductions than the control measure to be replaced, as demonstrated with an emissions impact analysis that is consistent with the current methodology used for evaluating the

replaced control measure in the implementation plan;

"(ii) if the substitute control measures are implemented-

- "(I) in accordance with a schedule that is consistent with the schedule provided for control measures in the implementation plan; or
- "(II) if the implementation plan date for implementation of the control measure to be replaced has passed, as soon as practicable after the implementation plan date but not later than the date on which emission reductions are necessary to achieve the purpose of the implementation plan;

"(iii) if the substitute and additional control measures are accompanied with evidence of adequate personnel and funding and authority under State or local law to implement, monitor, and enforce the control measures;

"(iv) if the substitute and additional control measures were developed through a collaborative process that included--

- "(I) participation by representatives of all affected jurisdictions (including local air pollution control agencies, the State air pollution control agency, and State and local transportation agencies);
- "(II) consultation with the Administrator; and
- "(III) reasonable public notice and opportunity for comment; and

"(v) if the metropolitan planning organization, State air pollution control agency, and the Administrator concur with the equivalency of the substitute or additional control measures.

In addition to the conditions above, the substitute project shall be in the same air basin and preferably be located in the same geographic area and preferably serve the same demographic subpopulation as the TCM being replaced.

A substitution does not require a new conformity determination or a formal SIP revision. Adoption of the new TCM in coordination with EPA concurrence will rescind the original TCM and apply the new measure.

SCAG will maintain documentation of all approved TCM substitutions. The documentation will provide the emissions analysis as well as a description of the substitution process, including a list of the committee or working group members, public hearing and comment process, and evidence of SCAG adoption. Compliance with the provisions listed above will ensure adequate emissions reductions are achieved in a TCM substitution.

**Table IV-A: TCM Project Categories in the South Coast Air Basin (SCAB)**

Project Description	Program Codes
<p><b>A. High Occupancy Vehicle Measures</b> <i>HOV projects and their pricing alternatives.</i></p>	
<ul style="list-style-type: none"> <li>▪ New HOV Lanes – Extensions and Additions to Existing Facilities</li> </ul>	CAN69, CAX69, CAY69
<ul style="list-style-type: none"> <li>▪ New HOV Lanes – With New Facility Projects</li> </ul>	CAN69, CAX69, CAY69
<ul style="list-style-type: none"> <li>▪ New HOV Lanes -- With Facility Improvement Projects</li> </ul>	CAN69, CAX69, CAY69
<ul style="list-style-type: none"> <li>▪ HOV Bypasses, Connectors, and New Interchanges with Ramp Meters</li> </ul>	CAN69, CAX69, CAY69, CAN66, CAX66, CAY66, CAN71, CAX71, CAY71
<ul style="list-style-type: none"> <li>▪ High Occupancy Toll (HOT) Lanes and Pricing Alternatives</li> </ul>	CAN69, CAX69, CAY69
<p><b>B. Transit and System Management Measures</b> <i>Bus, rail and shuttle transit expansion and improvements; park and ride lots and inter-modal transfer facilities; bicycle and pedestrian facilities; railroad consolidation programs such as the Alameda Corridor, grade separation projects, channelization, over-passes, underpasses; traffic signalization; intersection improvements.</i></p>	
<p><b>Transit</b></p>	
<ul style="list-style-type: none"> <li>▪ Rail Track – New Lines</li> </ul>	TRN92, LRN92, RAN92
<ul style="list-style-type: none"> <li>▪ Rail Track – Capacity Expansion of Existing Lines</li> </ul>	TRN92, LRN92, RAN92, TRR14, TRN14
<ul style="list-style-type: none"> <li>▪ New Rolling Stock Acquisition -- Rail Cars and/or Locomotives</li> </ul>	CON94, CON93, COR17, COR16
<ul style="list-style-type: none"> <li>▪ Express Busways – Bus Rapid Transit and Dedicated Bus Lanes</li> </ul>	
<ul style="list-style-type: none"> <li>▪ Buses – Fleet Expansion</li> </ul>	BUN94, BUN93
<ul style="list-style-type: none"> <li>▪ Shuttles and Paratransit Vehicles – Fleet Expansion</li> </ul>	PAN94, PAN93
<p><b>Intermodal Transfer Facilities</b></p>	
<ul style="list-style-type: none"> <li>▪ Rail Stations - New</li> </ul>	TRNH6
<ul style="list-style-type: none"> <li>▪ Rail Stations - Expansion</li> </ul>	TRRH6
<ul style="list-style-type: none"> <li>▪ Park &amp; Ride Lots – New</li> </ul>	TDN64
<ul style="list-style-type: none"> <li>▪ Park &amp; Ride Lots – Expansion</li> </ul>	TDR64
<ul style="list-style-type: none"> <li>▪ Bus Stations &amp; Transfer Facilities – New</li> </ul>	TRNH6
<ul style="list-style-type: none"> <li>▪ Bus Stations &amp; Transfer Facilities – Expansion</li> </ul>	TRRH6
<p><b>Non-motorized Transportation Mode Facilities</b></p>	
<ul style="list-style-type: none"> <li>▪ Bicycle &amp; Pedestrian Facilities - New</li> </ul>	NCN25
<ul style="list-style-type: none"> <li>▪ Bicycle &amp; Pedestrian Facilities - Expansion</li> </ul>	NCR25
<ul style="list-style-type: none"> <li>▪ Bicycle Facilities - New</li> </ul>	NCN26

▪ Bicycle Facilities - Expansion	NCR26
▪ Pedestrian Facilities - New	NCN27
▪ Pedestrian Facilities - Expansion	NCR27
<p><b>C. Information-based Transportation Strategies</b></p> <p><i>Programs that promote and popularize multi-modal commute strategies to maximize alternatives to single-occupancy vehicle commute trips; marketing and promoting the use of HOV lanes or rail lines to the general public; educating the public regarding cost, locations, accessibility and services available at Park and Ride lots; promoting and marketing vanpool formation and incentive programs; promoting ride-matching services through the Internet and other means of making alternative travel option information more accessible to the general public; Urban Freeway System Management improvements; Smart Corridors System Management programs; Congestion Management Plan-based demand management strategies; county-/corridor-wide vanpool programs; seed money for transportation management associations (TMAs); and TDM demonstration programs/projects eligible for programming in the RTIP.</i></p>	
▪ Marketing for Rideshare Services and Transit/TDM/Intermodal Services	TDM20, TDM24
▪ Intelligent Transportation Systems/Control System Computerization	Various, See TDM codes list
▪ Telecommuting Programs/Satellite Work Centers	TDM24
▪ Real-time Rail, Transit, or Freeway Information Systems (changeable message signs)	ITS05, ITS01, ITS12

The county transportation commissions need to accurately enter the program code associated with TCMs for each project in the RTIP database. The RTIP Guidelines provide a listing of these codes.

**Additional TCM/RTIP Listing Notes (pertains only to SCAB):**

- Transit expansions to add service or vehicles are TCMs.
- Transit projects using funds for operating expenses are **not** TCMs.
- Transit bus replacement projects are **not** TCMs
- Safety and maintenance projects are **not** TCMs.
- Transit alternative fuel replacement projects are **not** TCMs.
- Transit replacement and maintenance projects should be listed separately in the RTIP, not in conjunction with the purchase of new additional transit buses.
- In the SCAB, any transit project is either a TCM project or an Exempt project.

Projects may be eligible for CMAQ funding, but not be TCMs (e.g., replacement of an old bus with an alternative fuel bus).

**E. TCM Submittal and Approval Process (information is forthcoming)**

# Programming

## V. PROGRAMMING

### A. Funding-Related Programming Requirements

#### 1. General

Federal law requires that all projects funded under Title 23 of the U.S. Code and Federal Transit law be included in the RTIP. The RTIP should also include all 100% locally-funded projects that require modeling (such as capacity enhancing projects) and local projects that require federal approval of the environmental document for non-exempt (non-CE) projects (refer to the discussion below on federal approval of environmental documents). The RTIP must be consistent with federal regulations which stipulate that fiscal constraint shall be demonstrated and maintained by year for the first four years of the RTIP. Advance Construction projects must meet the same requirements and be processed in the same manner as regular Federal-aid projects (see related guidance, "FHWA-FTA Fiscal Constraint Guidance").

#### 2. Federal Approval of Environmental Documents

Federal approval of the NEPA document is required for all Federal transportation projects. A transportation project is considered to be a federal project when: 1) a project is proposed for funding with Title 23 U.S.C. or Federal Transit Act funding, or 2) a project requires a Federal approval action by FHWA/FTA (e.g. interstate access approval). In order for FHWA/FTA to approve a NEPA document, all programming and transportation conformity requirements need to be met.

If a project sponsor is expecting a Federal project approval, including approval of the NEPA document, the programming in the RTIP should be consistent with that identified in the project development schedule. If right-of-way and/or construction funding is outside the first three-year timeframe of the RTIP, FHWA will consider approval of the NEPA document if programming is consistent with the project development schedule, the project is included in the financially-constrained RTP, and transportation conformity requirements are met. In federal nonattainment and maintenance areas, the Clean Air Act and the Transportation Conformity Rule (40 CFR 93.104) require that proposed projects be found to conform to the State Implementation Plan (SIP) before they are adopted, accepted, and approved for funding by FHWA or FTA. To be found to conform, the project's design concept and scope should be submitted for inclusion in the regional emissions analysis for the RTP and RTIP and should not have changed significantly from what was modeled in the regional emissions analysis. For additional information on the Transportation Conformity Requirements, refer to page 26 of these Guidelines.

#### 3. Programming of Projects that do not Fit in any of the Three Phases

Certain project types do not fit in any of the three available programming phases: PE, R/W, and Construction. These projects include ITS (non-planning phase), TDM (Rideshare), operations (including security), administrative (non-planning), and vehicle and equipment purchases. These types of projects should be programmed in the Construction phase for consistency.

#### **4. Congestion Mitigation and Air Quality Program**

All federal requirements regarding transportation project and program eligibility for the Congestion Mitigation and Air Quality Improvement (CMAQ) program funds are outlined in the guidance titled "Final Guidance for the Congestion Mitigation and Air Quality Improvement Program" effective October 31, 2006. The CMAQ Guidance is available on the web at <http://www.fhwa.dot.gov/environment/cmaq06gm.htm>. The primary purpose of the CMAQ program is to fund projects and program in air quality non-attainment and maintenance areas (ozone and carbon monoxide) that reduce transportation-related emissions. CMAQ funds, however, are not intended to be the only source of funds to reduce congestion and improve air quality. Other federal funds such as Surface Transportation Program (STP) or Federal Transit Administration (FTA) capital funds can be used for this purpose. In the SCAG region, transportation projects and programs located in the South Coast Air Basin (SCAB), the Ventura County portion of the South Central Coast Air basin (SCCAB), the Los Angeles and San Bernardino county portions of the Mojave Desert Air Basin (MDAB), and the Riverside County portion of the Salton Sea Air Basin (SSAB) meet the CMAQ requirements and are eligible for CMAQ funds.

Counties should ensure that CMAQ project sponsors in their respective counties have copies of the CMAQ guidance so they know what projects are eligible for CMAQ funds. Caltrans routinely checks CMAQ projects for eligibility before obligating CMAQ funds.

Transportation projects and programs in PM<sub>10</sub> (particulate matter less than 10 microns in size) non-attainment areas must meet certain requirements to use the CMAQ funds. See the program guidance for PM<sub>10</sub> project-specific CMAQ funding requirements. FHWA is now requiring a copy of the emissions benefits calculation be included with each request for obligation of funds. FHWA will not approve the FNM-76 (E-76) for CMAQ-funded projects without the emissions benefits calculation.

Proposals for CMAQ funding should include a precise description of the project, providing information on the project's size, scope and timetable. CMAQ priority should be given to applicable transportation control measures (TCMs). The proposal for funding must be expected to result in reductions in carbon monoxide and ozone emissions.

FHWA has implemented an internet-based CMAQ Tracking System to assist the regions in preparing CMAQ program annual reports. FHWA is looking to transition away from the paper reports to an electronic data collection system. FHWA indicates that many users have found it easy, fast and efficient to submit reports through the CMAQ Tracking System. Additional information on the CMAQ Tracking System and how to log on is available at <http://www.fhwa.dot.gov/environment/cmaqpgs/index.htm>. Each of the CTCs and IVAG are responsible for submitting data to FHWA for their respective CMAQ programs.

#### **5. Identifying ITS Projects and Components**

ITS projects and projects with ITS components with federal funds must be consistent with the Southern California Regional ITS Architecture, which was adopted on April 7, 2005. This requirement is pursuant to 23 CFR 940.9 and 940.11. The Regional Architecture can be found on the web at <http://www.scag.ca.gov/its>. In addition, ITS projects need to comply with Systems Engineering Requirements as a condition of the use of both Federal Transit and Federal Highway funds. Information on the System Engineering

Requirements for FHWA-funded ITS projects can be found in the Caltrans Local Assistance Procedures Manual (LAPM). The Caltrans LAPM can be found on the web at <http://www.dot.ca.gov/hq/LocalPrograms/lam/lapm.htm>.

ITS projects and ITS components of larger projects should be identified when adding or amending projects to the RTIP. The CTCs & IVAG must identify ITS projects by selecting an ITS Program Code for the project (either a Primary Program Code or a Secondary) and by providing a description of the ITS component in the General Comment field in the Comment screen of the SCAG RTIP Database. For projects with ITS components, or if the total amount does not represent the cost of the ITS component, include the cost of the ITS component in the General Comment field. No other reporting of ITS projects or components is required beyond providing the information noted above in the SCAG RTIP Database.

## 6. Environmental Documentation

Providing the best available information regarding a project's environmental document is crucial for programming of projects in the RTIP.

Two items are required for each project to be entered into SCAG RTIP Database: the environmental document adoption date (or anticipated adoption date), and the type of environmental document adopted (or anticipated to be adopted) for the project (i.e. Categorically Exempt (CE), Environmental Assessment (EA), Final Environmental Impact Report (FEIR/FEIS)). A complete list of document types is available on page 82 of these guidelines.

If the new or amended project has an adopted environmental document, enter the adopted document type and approval date in SCAG RTIP database. If the project does not have an adopted environmental document, enter the anticipated environmental document and scheduled adoption date provided by the project manager.

For environmental documents requiring federal approval, enter the date when the federal government approved the document (the signature date, not Record of Decision date). For PCE and CE projects (except as noted below for transit projects) enter the date when Caltrans approved the environmental document.

There is one exception to the requirement of entering the date of the environmental document: transit CE projects do not require a date if projects are: not CMAQ funded, not a TCM, not a transit facility or a New Start rail line. A list of CE-type projects is provided on page 33 of these Guidelines which are exempt from the regions emissions analysis. In general, the following project types are considered CE's and normally do not require any further NEPA approvals:

- a. Activities which do not involve or lead directly to construction, such as planning and technical studies; grants for training and research programs; research activities as defined in 23 U.S.C. 307; approval of a unified work program and any findings required in the planning process pursuant to 23 U.S.C. 134; approval of statewide programs under 23 CFR part 630; approval of project concepts under 23 CFR part 476; engineering to define the elements of a proposed action or alternatives so that social, economic, and environmental effects can be assessed; and Federal-aid system revisions which establish classes of highways on the Federal-aid highway

- system.
- b. Approval of utility installations along or across a transportation facility.
  - c. Construction of bicycle and pedestrian lanes, paths, and facilities.
  - d. Activities included in the State's highway safety plan under 23 U.S.C. 402.
  - e. Transfer of Federal lands pursuant to 23 U.S.C. 317 when the subsequent action is not an FHWA action.
  - f. The installation of noise barriers or alterations to existing publicly owned buildings to provide for noise reduction.
  - g. Landscaping.
  - h. Installation of fencing, signs, pavement markings, small passenger, shelters, traffic signals, and railroad warning devices where no substantial land acquisition or traffic disruption will occur.
  - i. Emergency repairs under 23 U.S.C. 125.
  - j. Acquisition of scenic easements.
  - k. Determination of payback under 23 CFR part 480 for property previously acquired with Federal-aid participation.
  - l. Improvements to existing rest areas and truck weigh stations.
  - m. Ridesharing activities.
  - n. Bus and rail car rehabilitation.
  - o. Alterations to facilities or vehicles in order to make them accessible for elderly and handicapped persons.
  - p. Program administration, technical assistance activities, and operating assistance to transit authorities to continue existing service or increase service to meet routine changes in demand.
  - q. The purchase of vehicles by the applicant where the use of these vehicles can be accommodated by existing facilities or by new facilities which themselves are within a CE.
  - r. Track and railbed maintenance and improvements when carried out within the existing right-of-way.
  - s. Purchase and installation of operating or maintenance equipment to be located within the transit facility and with no significant impacts off the site.

- t. Promulgation of rules, regulations, and directives.
- u. Additional actions which meet the criteria for a CE in the CEQA regulations (40 CFR 1508.4) and paragraph (a) of this section may be designated as CEs only after FHWA/FTA approval. The applicant shall submit documentation which demonstrates that the specific conditions or criteria for these CEs are satisfied and that significant environmental effects will not result. Examples of such actions include but are not limited to:
1. Modernization of a highway by resurfacing, restoration, rehabilitation, reconstruction, adding shoulders, or adding auxiliary lanes (e.g., parking, weaving, turning, climbing).
  2. Highway safety or traffic operations improvement projects including the installation of ramp metering control devices and lighting.
  3. Bridge rehabilitation, reconstruction or replacement or the construction of grade separation to replace existing at-grade railroad crossings.
  4. Transportation corridor fringe parking facilities.
  5. Construction of new truck weigh stations or rest areas.
  6. Approvals for disposal of excess right-of-way or for joint or limited use of right-of-way, where the proposed use does not have significant adverse impacts.
  7. Approvals for changes in access control.
  8. Construction of new bus storage and maintenance facilities in areas used predominantly for industrial or transportation purposes where such construction is not inconsistent with existing zoning and located on or near a street with adequate capacity to handle anticipated bus and support vehicle traffic.
  9. Rehabilitation or reconstruction of existing rail and bus buildings and ancillary facilities where only minor amounts of additional land are required and there is not a substantial increase in the number of users.
  10. Construction of bus transfer facilities (an open area consisting of passenger shelters, boarding areas, kiosks and related street improvements) when located in a commercial area or other high activity center in which there is adequate street capacity for projected bus traffic.
  11. Construction of rail storage and maintenance facilities in areas used predominantly for industrial or transportation purposes where such construction is not inconsistent with existing zoning and where there is no significant noise impact on the surrounding community.
  12. Acquisition of land for hardship or protective purposes; advance land acquisition loans under section 3(b) of the UMT Act. 3 Hardship and protective buying will be permitted only for a particular parcel or a limited number of parcels. These types of land acquisition qualify for a CE only where the acquisition will not limit the

evaluation of alternatives, including shifts in alignment for planned construction projects, which may be required in the NEPA process. No project development on such land may proceed until the NEPA process has been completed. Hardship acquisition is early acquisition of property by the applicant at the property owner's request to alleviate particular hardship to the owner, in contrast to others, because of an inability to sell his property. This is justified when the property owner can document on the basis of health, safety or financial reasons that remaining in the property poses an undue hardship compared to others. Protective acquisition is done to prevent imminent development of a parcel which is needed for a proposed transportation corridor or site. Documentation must clearly demonstrate that development of the land would preclude future transportation use and that such development is imminent. Advance acquisition is not permitted for the sole purpose of reducing the cost of property for a proposed project.

There are various ways of obtaining the requested environmental information. Below is some guidance to assist the user to locate the information:

**STIP-funded Projects:** For STIP projects, the Project Study Report which is required at the time of programming provides information on the anticipated environmental document and dates. Some Caltrans districts provide this information for Caltrans projects directly to county commissions and some commissions track project milestone dates in-house on an on-going basis, especially for locally-administered STIP projects. Another source for information is the project sponsor's project manager.

**Local Projects (excluding federally funded transit projects):** Locally-sponsored project information is best obtained through the project sponsor's project manager.

**Transit Projects:** Transit project information can be obtained through either the project sponsor's project manager or the agency which files the transit grant application for the funds (if not the same agency).

For all projects, the environmental date must be equal to or earlier than the programmed years for R/W and Construction phase activities. For federally-funded projects, work on final design, R/W and Construction phases cannot begin until the environmental process has been completed.

If the environmental document completion date indicates that construction will begin 3 or more years beyond the date of the environmental document, please make a note in the comment field in RTIP database that re-evaluation will take place or that re-evaluation is not required and state reasons.

## 7. Lump Sum Procedures

Lump sum items are essentially funds reservations that include a list of projects that are grouped by function, work type, and/or geographic area (23 CFR 450.324(f)). Lump sum projects are required to be exempt from air quality conformity determination. Caltrans has recommended a number of project categories that are eligible for lump sum listings. The list below shows potential categories that could be used as lump sum designations in the development of County TIPs:

Lump Sum project types defined by Air Quality Exempt Tables 2 & 3 (40 CFR Part 93)

- Railroad Crossing (non-capacity increasing) and Crossing Warning Devices.
- Transportation Enhancement Activities (TE).
- Highway hazard elimination.
- Shoulder improvements.
- Increasing sight distance.
- Safety improvement program.
- Traffic control devices and operating assistance other than signalization Projects.
- Guardrails, median barriers, crash cushions.
- Pavement resurfacing and/or rehabilitation.
- Pavement marking demonstration.
- Emergency relief (23 U.S.C. 125).
- Fencing.
- Skid treatment.
- Safety roadside rest areas.
- Emergency truck pullovers.
- Truck climbing lanes outside the urbanized area.
- Lighting improvements.
- Widening narrow pavements with no additional travel lanes.
- Reconstructing bridges with no additional travel lanes.
- Bicycle and pedestrian facilities.
- Interchange channelization.
- Interchange reconfiguration (no new lanes).
- Planning and technical studies.
- Transit operating assistance.
- Purchase of transit support vehicles.
- Purchase of transit office, shop, and operating equipment for existing facilities.
- Purchase of transit operating equipment for vehicles (e.g., radios, fareboxes, lifts, etc.).
- Construction or renovation of power, signal and communications equipment.
- Construction of small passenger shelters and information kiosks.
- Reconstruction or renovation of transit buildings and structures (e.g., rail or bus buildings, storage and maintenance facilities, stations, terminals, and ancillary structures).
- Rehabilitation or reconstruction of track structures, track, and trackbed in existing rights-of-way.
- Construction of new bus or rail storage/maintenance facilities categorically excluded in 23 CFR Part 771.
- Continuation of ride-sharing and van-pooling promotion activities at current levels.
- Bicycle and pedestrian facilities.
- Planning and technical studies.
- Grants for training and research programs.

Lump Sums that can be defined by the interagency consultation process include:

- Emergency Repair beyond the Federal ER program.
- SHOPP Reservation (projects that are Air Quality Exempt).

- Transportation System Management (TSM).
- Toll Bridge Retrofit.
- Seismic Retrofit.
- Minor Safety and Hazard projects.
- Pavement Rehabilitation.
- Freeway Service Patrol.
- Bridge Replacement and Retrofit (no new lanes).
- Soundwalls.

The following project types/categories **cannot** be included in a lump sum:

- Mass transit projects.
- Bus terminals and transfer points.
- Emergency or hardship advance land acquisition (CFR 712 or 23 CFR 771).
- Rehabilitation and operation of historic transportation buildings, structures or facilities.
- CMAQ-funded projects.
- Transportation Control Measures (TCMs) in the South Coast Air Basin.
- Projects not exempt from the regional emissions analysis.

FHWA and FTA require that project lists be readily available that account for all funds listed in the Lump Sum projects. Lump Sum lists are, therefore, mandatory and should be submitted with the Lump Sum project or project amendment. Lump Sum projects submitted without a complete project list shall not be accepted by SCAG for inclusion in the 2008 RTIP or RTIP amendments until a complete list is submitted.

The lump sum project listing must include the following information:

- Name or describe the location and/or identify the segment being funded (i.e., for sound wall lump sum projects, list the route, route direction, and wall endpoints for each sub-project; for rehabilitation projects, list the lead agency)
- List the amounts for each project phase (PE, R/W, Construction) and show a subtotal for each line item.
- Show a total by phase that equals the amounts programmed for PE, R/W and Construction in the RTIP sheet.
- Provide a grand total that matches the Total Project Cost amount shown in the Lump Sum project TIP sheet.

Lump Sum project lists that do not provide the above listed information will be considered incomplete.

In programming projects utilizing Lump Sum categories, CTCs and IVAG must ensure that each individual project funded in their jurisdiction meets the following criteria stated above.

Furthermore, the total amount of funds obligated against a lump sum category cannot exceed the amount programmed in the RTIP. CTCs and IVAG may amend their lump sum

projects to increase the programming level when or before the total amount of a lump sum project has been obligated.

CTCs and IVAG are required to submit to SCAG the status of projects included in lump sums that have been obligated on a quarterly basis through the RTIP amendment process. If there is no change to a lump sum from one quarter to the next, the CTCs and IVAG are required to report that no change has occurred to the Lump Sum project list. The list should be sent electronically to SCAG, preferably in an Excel spreadsheet.

*Caltrans must ensure the projects they approve under a lump sum category are projects meeting the descriptions located in 93.126 Table 2, and/or 93.127 Table 3 and 93.128 Traffic Signal Synchronization, of the conformity regulations.*

In the event Caltrans does not agree with a project sponsor that a project submitted is exempt from a conformity determination, Caltrans will convene a meeting with SCAG and other federal agencies (FHWA and/or FTA, EPA) to resolve the issue. Lump Sum categories for Caltrans SHOPP projects are listed in Section VIII under Program Codes.

For HBRR-funded projects, SCAG maintains a county-by-county HBRR Lump Sum line item. Caltrans HQ provides each MPO region with a programming amount and project listing at various intervals, which is the basis for the lump sum. Information provided by Caltrans shall be shared with the counties. All HBRR-funded projects in the SCAG region will be included in the various county lump sum projects, and any amendment to HBRR-funded projects should be done through the county lump sum project.

#### **FTA Section 5310 Lump Sum Projects**

FTA Section 5310 Projects may be programmed in a Lump Sum if they have been approved for funding by Caltrans and FTA, except for TCMs which must be programmed individually in the RTIP. Proof that projects have been funded should be included with the RTIP Submittal.

# **RTIP Database**

## **VI. DATABASE**

### **A. Entering Projects into the SCAG RTIP Database**

The New SCAG RTIP Database will be available for programming of projects in the 2008 RTIP. Guidance for using the new SCAG RTIP Database is currently being developed and will be available as a separate document.

#### **1. Project Descriptions**

An important first step in programming is to review the proposed projects for funding and program eligibility, and for consistency with the 2007 RTP. If a project is not consistent with the 2007 RTP or RSTIS requirements it will not be programmed in the RTIP.

It is essential that complete information be submitted on each project, and that the CTC's and IVAG carefully input information in the SCAG RTIP database with as much detail as possible. CTC's and IVAG are responsible for proofing its entire program regardless of funding source to ensure that the Database reflects accurate and complete data.

According to 23 CFR part 450.324(e), "The TIP shall include, for each project or phase (e.g., preliminary engineering, environment/NEPA, right-of-way, design, or construction), the following:

- (1) Sufficient description material (i.e., type of work, termini, and length) to identify the project or phase;
- (2) Estimated total project cost, which may extend beyond the four years of the TIP;
- (3) The amount of Federal funds proposed to be obligated during each program year for the project or phase (for the first year, this includes the proposed category of Federal funds and the source(s) of non-Federal funds. For the second, third and fourth years, this includes the likely category or possible categories of Federal funds and sources of non-Federal funds);
- (4) Identification of the agencies responsible for carrying out the project or phase;
- (5) In nonattainment and maintenance areas, identification of those projects which are identified as TCMs in the applicable SIP;
- (6) In nonattainment and maintenance areas, included projects shall be specified in sufficient detail (design concept and scope) for air quality analysis in accordance with the EPA transportation conformity regulation (40 CFR part 93); and
- (7) In areas with American with Disabilities Act required paratransit and key station plans, identification of those projects that will implement these plans.

Caltrans has been working with regional agencies to develop criteria for uniform project descriptions. SCAG recommends that the CTCs and IVAG follow the format provided by Caltrans and listed below when developing project descriptions. Descriptions should be as detailed as space allows. Any additional information that does not fit in the description should be included in the Database comment fields.

### Standard Project Location/Description

Select the correct Project type below to model a description. The description should be brief but sufficiently comprehensive to stand alone without additional explanation.

#### Roadway - Capital Improvements (State Highways/Local Roads)

<b>Description Formula: [(Location:) + (Limits) + (;) + (Improvement)]</b>	
Location:	The nearest city or significant town illustrated on popular state highway maps. If the project is located more than 5 miles away from the city or town then prefix the city name with "East, West, North, or South of". <ul style="list-style-type: none"> <li>• <i>In Bakersfield:</i></li> <li>• <i>South of Bakersfield:</i></li> </ul>
Limits:	Project limits can be stated as from one road or street to another. Other boundary landmarks, such as rivers, creeks, State Parks, freeway overcrossings, can be used in-lieu of streets or roads. <ul style="list-style-type: none"> <li>• <i>On Main St. between 1<sup>st</sup> Street and Pine Boulevard</i></li> <li>• <i>North of Avenal Creek to South of Route 33</i></li> <li>• <i>At Rock Creek Bridge</i></li> </ul>
Improvement:	Describes the work to be done. Include significant components of the improvement (in particular those that relate to conformity). <ul style="list-style-type: none"> <li>• <i>Rehabilitate roadway.</i></li> <li>• <i>Convert 4-lane expressway to 6-lane freeway with 2 HOV lanes.</i></li> <li>• <i>Construct left turn lane.</i></li> </ul>
Example: In Bakersfield: Between 1 <sup>st</sup> Street and Pine Boulevard; rehabilitate roadway.	

#### Transit - Capital Improvements

<b>Description Formula: [(Location:) + (Limits) + (;) + (Improvement/Activity)]</b>	
Location:	For work at spot locations for large (statewide) transit agencies: <ul style="list-style-type: none"> <li>• Nearest city or significant town illustrated on popular state highway maps, If the project is located more than 5 miles away from the city or town then prefix the city name with "East, West, North, or South of".</li> <li>• <i>In Bakersfield:</i></li> <li>• <i>North of Bakersfield</i></li> <li>• <i>Otherwise: Skip this step</i></li> </ul>
Limits:	For work at spot locations (all agencies): Name of station, description of facility, name the rail corridor for the project etc. <ul style="list-style-type: none"> <li>• <i>Lafayette BART Station</i></li> <li>• <i>The Daly City Yard, adjacent to the Colma Station</i></li> <li>• <i>San Joaquin Corridor</i></li> <li>• <i>Otherwise: Skip this step</i></li> </ul>
Improvement/Activity:	Describes the work to be done. Include significant components of the improvement (in particular those that relate to conformity). <ul style="list-style-type: none"> <li>• <i>Construct station.</i></li> <li>• <i>Construct a Child Care Facility</i></li> <li>• <i>Track and signal improvements</i></li> </ul> Projects that apply to entire transit agency jurisdiction – describe activity <ul style="list-style-type: none"> <li>• <i>Purchase of 59 buses - 12 MCI's and 47 Standard 40 ft buses (note if expansion or replacement)</i></li> <li>• <i>Paratransit van leasing</i></li> <li>• <i>Operating assistance for Sacramento Regional Transit</i></li> </ul>

Examples: North of Bakersfield, San Joaquin Corridor – Track and signal improvements.

Lafayette BART Station, construct a Child Care Facility.

Operating Assistance for Sacramento Regional Transit.

When entering project information for transit vehicles (buses, paratransit vans, etc), it is important that the following two criteria are met. The first is a detailed description of the type of vehicle to be purchased (size/type), quantity and fuel type for the vehicle. The second is selecting the correct Program Code for the project.

**Example Project Description:** Purchase 20 Expansion Paratransit Vehicles, Diesel.  
**Program Code:** PAN93

## 2. Project Completion Dates

The Project Completion Date field in the SCAG RTIP Database refers to the completion of the overall project – when the project is expected to be implemented and operating. For example, in cases where only ENG and/or ROW are programmed in the RTIP, the completion date should reflect the anticipated overall completion date for the project such as the end of construction, vehicle purchase or implementation even if construction (or implementation) has not been programmed. The new SCAG RTIP Database has separate start and end date fields for each of the three phases (PE, ROW & Construction).

Note that once TCM-type projects become *committed* TCMs (see page 35), with ROW or construction funds in the first two years of the RTIP, the completion date at the point the project becomes committed is the scheduled date that the project must be operational in order to fulfill the TCM conformity requirement of Timely Implementation of TCMs.

## 3. Common Problems with Project Submittals

Some common problems found by SCAG staff when analyzing County TIP submittals include:

- Incomplete descriptions where it is difficult to tell what is being proposed for funding.
- Duplicate projects including projects that overlap (cover the same geographical area).
- Conflict in the number of lanes and completion years in segmented projects.
- Unidentified number and direction of existing and proposed lanes.
- Missing the number of vehicles to be included in the purchase by fiscal year.
- Missing the required local match.
- Missing completion dates and environmental document type and dates.
- Not identifying regionally significant projects for modeling
- Not identifying TCM projects in the SCAB and SCCAB areas.
- Missing Lump Sum project lists.
- Missing modeling information for bus expansion projects.

## 4. Program (Project) Codes

The Program Codes in the SCAG RTIP Database are a vital part of the programming exercise because they permit projects to be grouped and identified by various project types, including regionally significant, goods movement, exempt, transit capital vs.

operating, clean fuel vehicle vs. diesel, etc. The SCAG RTIP Database can accommodate the selection of up to three Program Codes to define the main components of the overall project scope. Program codes should be selected which best defines the project.

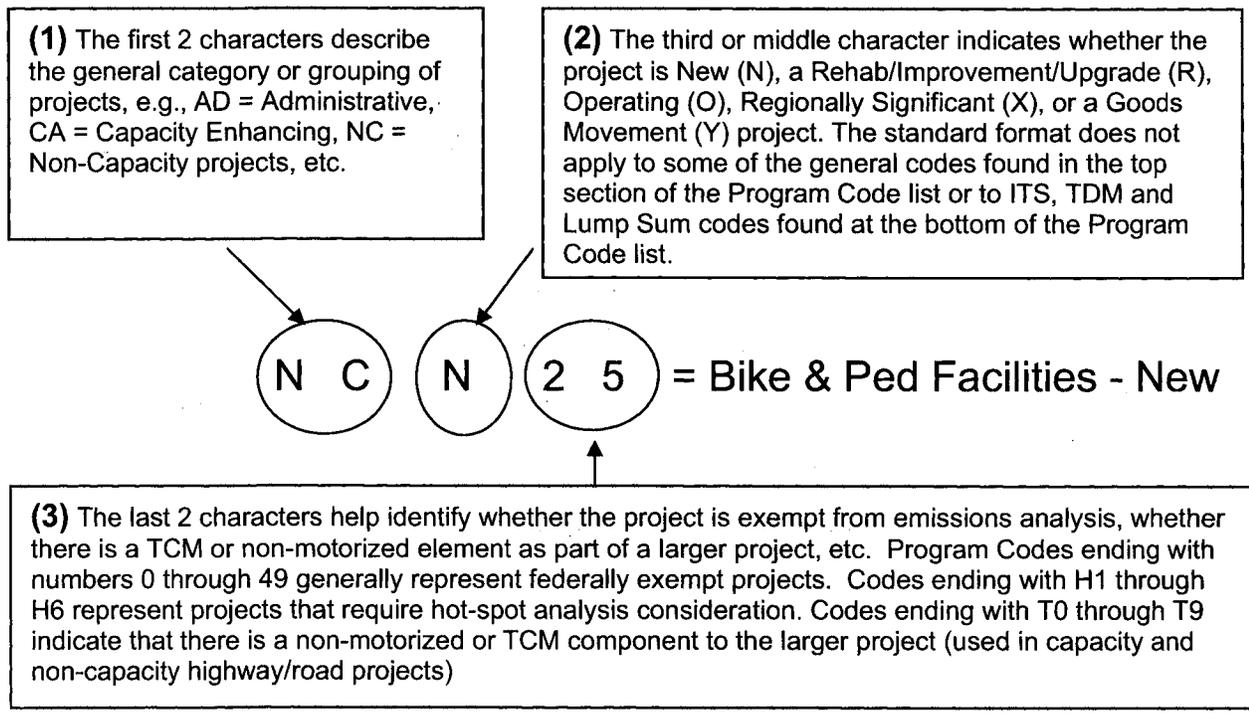
Program Codes have been developed to categorize projects and to help identify key aspects such as whether the project is:

- capacity or non-capacity enhancing,
- new or rehabilitation/modification, operating
- federally-exempt from emission analysis or may require hot-spot analysis
- ITS / TDM / SHOPP / Lump Sum

The exceptions to the standard format are primarily general program codes that apply across modes.

The full list of Program Codes is provided starting on page 69 of these Guidelines under the title "RTIP Database Codes".

The standard Program Code format is illustrated below:



**Standard Program Code Format Legend**

First 2 Characters	Third (middle) Character	Last 2 Characters (4 <sup>th</sup> & 5 <sup>th</sup> )
<b>AD</b> = Admin/Admin Facilities <b>AR</b> = Art <b>BU</b> = Bus transit item <b>CA</b> = Capacity Enhancing <b>CH</b> = Child Care <b>CO</b> = Commuter Rail item <b>FE</b> = Ferry Service item <b>FU</b> = Fueling related <b>IT</b> = ITS project <b>LR</b> = Light Rail item <b>LU</b> = Conformity exempt Lump Sum categories <b>NC</b> = Non-Capacity Enhancing <b>PA</b> = Paratransit item <b>PL</b> = Planning <b>RA</b> = Rail item <b>SE</b> = Security project <b>SH</b> = SHOPP Lump Sum <b>TD</b> = Trans. Demand Mgmt <b>VE</b> = Vehicles <b>TR</b> = Transit project that applies across modes	<b>N</b> = New <b>R</b> = Rehabilitation, Improvement or Upgrade <b>O</b> = Operating or Operating Assistance <b>X</b> = Regionally Significant  <b>Y</b> = Goods Movement  <i>Note: the standard middle character format does not apply to some of the general codes found in the first section of the Program Codes list or to ITS, TDM and Lump Sum codes found at the bottom of the Program Code list.</i>	<b>0 through 49</b> = federally exempt projects as listed on page 35 of these guidelines (if project is not exempt, such as “add truck lane in urbanized areas”, then indicate in the comment section of RTIP database).  <b>H1 through H6</b> = these six projects are the ones listed on page 36 of these guidelines that require hot-spot analysis consideration.  <b>T0 through T9</b> = these larger Hwy/Road projects contain non-motorized or TCM aspects.

**5. Change Reason Codes**

All active projects in the 2006 RTIP should be carried over into the 2008 SCAG RTIP database as “2006 Carryover Projects”. If a change is made to the carryover project, it will be necessary to update the Change Reason code accordingly. If more than one Change Reason code applies to the project, the following Change Reason codes have priority over all other codes:

- #1: Description and Scope Changes
- #2: Schedule Advances or Delays
- #3: Cost Increases or decreases
- #4: Environmental Document and/or Date Changes

**6. Element Codes**

Element Codes help identify the project phase when the project is programmed or amended in the RTIP. CTCs and IVAG are required to update project Element Codes to reflect on-going progress when developing 2008 county TIPs and when submitting amendments.

**7. RTIP Database Screen & Instructions**

Screens from the new SCAG RTIP Database will be included in the new RTIP Database guidelines to be provided as a separate document.

# Financial Plan

## VII. FINANCIAL PLAN

### A. Financial Plan Required Documentation

In addition to the financial resolution certification presented on page 23 of these Guidelines, the CTCs and IVAG must each submit a financial plan that documents all financial resources from public (federal, state, and local) and private sources that will fund projects in their respective County TIPs (including all regionally significant projects, regardless of funding source). The financial plan must demonstrate that funding in the first two years of the County TIPs are available or committed, and that funding in years three through six are reasonably available. Programmed amounts by year should not exceed amounts listed in the revenue tables provided below for each funding source, especially in the first four years.

SAFETEA-LU regulations require that for the RTIP, “financial constraint shall be demonstrated and maintained by year and shall include sufficient financial information to demonstrate which projects are to be implemented using current and/or reasonably available revenues, while federally supported facilities are being adequately operated and maintained.” The CTCs and IVAG must also certify that projects that are under their programming responsibility (STP, CMAQ, FTA, etc.) are in priority order as required by federal law. Unless otherwise specified, this means all projects in the first year for each specific program are first priority for funding, projects in the second year are second priority, projects in the third year are third priority, and those in year four have fourth priority.

In air quality non-attainment or maintenance areas, projects included in the first two years of the RTIP shall be limited to those for which funds are “available or committed.” Available or committed revenue sources are those sources currently being used for transportation investments. These would include any federal, state, and local revenues, or other revenue streams (i.e. farebox advertising, tolls, etc.) Also, project priority must be given to the implementation of Transportation Control Measures (TCMs).

For STIP projects, the assumption of this guidance is that projects currently in the first four years of the SCAG RTIP which are in the approved STIP will be constructed unless the applicable county takes a formal action to remove them from the program. It is necessary for the county to “prove” funding is available for projects in the first four years of the STIP, and that projects remain the highest priority for the County.

The CTC’s and IVAG must also demonstrate they have the local funding capacity to cover the costs of matching federal and state funds as required.

The Financial Plans due with each County TIP shall provide all information necessary for SCAG to create a region-wide Financial Plan for the 2008 SCAG RTIP. The Financial Plan is comprised of the following items (all due to SCAG with County TIPs):

1. **General Statement of Compliance:** A statement indicating compliance with requirements explained in the first four paragraphs of Section VII.1. (above) This statement can be provided as part of the cover letter and/ or the certifying Resolution. A discussion of special circumstances and other items to highlight such as potential

impacts and any innovative financing techniques to finance needed projects and programs, including value capture, tolls, and congestion pricing.

2. **Resolution from Policy Board:** A financial resolution adopted by the policy board is required as part of the Financial Plan. The Resolution is the certification from the counties to SCAG that projects and funding listed in County TIPs in the first two years are available and committed, and reasonably available in years three to six. A sample resolution is provided on page 23 of these Guidelines.
3. **Revenue and Expenditure Worksheet (Spreadsheet):** Funding agencies requires that the RTIP and amendments to the RTIP include a worksheet of revenues and programmed amounts by fund source for the first four years. Caltrans has requested the information be submitted in a format similar to the table provided below. The CTCs/IVAG will be provided with a separate worksheet for the last two fiscal years (12/13, and 13/14) of the RTIP. Any over-programming should have a justification and "footnoted" in the worksheet or separately as an attachment to the worksheet. Fund sources in the following tables are subject to change.

Revenue versus Programmed	2008/09	2009/10	2010/11	2011/12	TOTAL
<b>State Highway Account Funds</b>					
SHOPP (Includes Minor A Program)	\$0	\$0	\$0	\$0	\$0
STIP	\$0	\$0	\$0	\$0	\$0
CMIA	\$0	\$0	\$0	\$0	\$0
<b>Local Assistance</b>					
Congestion Mitigation and Air Quality	\$0	\$0	\$0	\$0	\$0
Regional Surface Transportation Program	\$0	\$0	\$0	\$0	\$0
Highway Bridge Replacement and Rehabilitation Program	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Enhancement	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Hazard Elimination & Safety	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Railroad Grade Crossing Protection	\$0	\$0	\$0	\$0	\$0
<b>Other Federal Highway Programs</b>					
Federal Lands Highway Program	\$0	\$0	\$0	\$0	\$0
Bridge Discretionary Program	\$0	\$0	\$0	\$0	\$0
NCPD Program/Borders/Corridor Program	\$0	\$0	\$0	\$0	\$0
Recreational Trails	\$0	\$0	\$0	\$0	\$0
Transportation and Community and System Preservation Pilot Program	\$0	\$0	\$0	\$0	\$0
Ferry Boat Discretionary	\$0	\$0	\$0	\$0	\$0
National Scenic Byways Program	\$0	\$0	\$0	\$0	\$0
Highway Priority/Demonstration Projects/Project Nat'l Reg'l Significance	\$0	\$0	\$0	\$0	\$0
Emergency Relief Program	\$0	\$0	\$0	\$0	\$0
Other (5207; Federal Earmarks; HUD; EDA;PLH; Bureau of Indian Affairs)	\$0	\$0	\$0	\$0	\$0
<b>Federal Transit Administration Funds</b>					
3037 - Job Access and Reverse Commute Program	\$0	\$0	\$0	\$0	\$0
5303 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5304 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5305 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5307 - Urbanized Area Formula Program	\$0	\$0	\$0	\$0	\$0
5308 - Clean Fuel Formula Program	\$0	\$0	\$0	\$0	\$0
5309(a) - Fixed Guideway Modernization	\$0	\$0	\$0	\$0	\$0
5309(b) - New Starts	\$0	\$0	\$0	\$0	\$0
5309(c) - Bus Allocation	\$0	\$0	\$0	\$0	\$0
5310 - Elderly & Persons with Disabilities Formula Program	\$0	\$0	\$0	\$0	\$0
5311 - Nonurbanized Area Formula Program	\$0	\$0	\$0	\$0	\$0
5313 - State Planning and Research	\$0	\$0	\$0	\$0	\$0
5314 - National Research and Technology Program	\$0	\$0	\$0	\$0	\$0
5316 - Job Access and Reverse Commute	\$0	\$0	\$0	\$0	\$0
5317 - New Freedom Program	\$0	\$0	\$0	\$0	\$0
5318 - Bus and Bus-Related Projects	\$0	\$0	\$0	\$0	\$0
<b>Non-Title 23/Federal Transit Funds (Describe)</b>	\$0	\$0	\$0	\$0	\$0
<b>Other State Funds</b>	\$0	\$0	\$0	\$0	\$0
Traffic Congestion Relief Program	\$0	\$0	\$0	\$0	\$0
Other (State Transit Assistance;University; AB2766; PUC; STAL)	\$0	\$0	\$0	\$0	\$0
<b>Local Funds</b>	\$0	\$0	\$0	\$0	\$0
<b>Total Revenue versus Programmed</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

Programmed	2008/09	2009/10	2010/11	2011/12	TOTAL
<b>State Highway Account Funds</b>					
SHOPP	\$0	\$0	\$0	\$0	\$0
STIP	\$0	\$0	\$0	\$0	\$0
STIP-RIP	\$0	\$0	\$0	\$0	\$0
STIP-RIP - prior commitments	\$0	\$0	\$0	\$0	\$0
STIP-IIP	\$0	\$0	\$0	\$0	\$0
STIP-IIP - TE	\$0	\$0	\$0	\$0	\$0
STIP-RIP - TE	\$0	\$0	\$0	\$0	\$0
STIP-IIP Augmentation	\$0	\$0	\$0	\$0	\$0
STIP-RIP Augmentation	\$0	\$0	\$0	\$0	\$0
CMIA	\$0	\$0	\$0	\$0	\$0
<b>Local Assistance</b>					
Congestion Mitigation and Air Quality	\$0	\$0	\$0	\$0	\$0
Regional Surface Transportation Program	\$0	\$0	\$0	\$0	\$0
Highway Bridge Replacement and Rehabilitation Program	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Enhancement	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Hazard Elimination & Safety	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Railroad Grade Crossing Protection	\$0	\$0	\$0	\$0	\$0
<b>Other Federal Highway Programs</b>					
Federal Lands Highway Program	\$0	\$0	\$0	\$0	\$0
Bridge Discretionary Program					\$0
NCPD Program/Borders/Corridor Program	\$0	\$0	\$0	\$0	\$0
Recreational Trails	\$0	\$0	\$0	\$0	\$0
Transportation and Community and System Preservation Pilot Program	\$0	\$0	\$0	\$0	\$0
Ferry Boat Discretionary					\$0
National Scenic Byways Program	\$0	\$0	\$0	\$0	\$0
Highway Priority/Demonstration Projects/Project Nat'l Reg'l Significance	\$0	\$0	\$0	\$0	\$0
Emergency Relief Program	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0
<b>Federal Transit Administration Funds</b>					
3037 - Job Access and Reverse Commute Program	\$0	\$0	\$0	\$0	\$0
5303 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5304 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5305 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5307 - Urbanized Area Formula Program	\$0	\$0	\$0	\$0	\$0
5308 - Clean Fuel Formula Program	\$0	\$0	\$0	\$0	\$0
5309(a) - Fixed Guideway Modernization	\$0	\$0	\$0	\$0	\$0
5309(b) - New Starts	\$0	\$0	\$0	\$0	\$0
5309(c) - Bus Allocation	\$0	\$0	\$0	\$0	\$0
5310 - Elderly & Persons with Disabilities Formula Program	\$0	\$0	\$0	\$0	\$0
5311 - Nonurbanized Area Formula Program	\$0	\$0	\$0	\$0	\$0
5313 - State Planning and Research	\$0	\$0	\$0	\$0	\$0
5314 - National Research and Technology Program	\$0	\$0	\$0	\$0	\$0
5316 - Job Access and Reverse Commute	\$0	\$0	\$0	\$0	\$0
5317 - New Freedom Program	\$0	\$0	\$0	\$0	\$0
5318 - Bus and Bus-Related Projects	\$0	\$0	\$0	\$0	\$0
<b>Non-Title 23/Federal Transit Funds (Describe)</b>					\$0
<b>Other State Funds</b>	\$0	\$0	\$0	\$0	\$0
Traffic Congestion Relief Program	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0
<b>Local Funds</b>	\$0	\$0	\$0	\$0	\$0
TDA	\$0	\$0	\$0	\$0	\$0
Sales Tax Measure	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0
<b>Total Programmed</b>	\$0	\$0	\$0	\$0	\$0

Revenue	2008/09	2009/10	2010/11	2011/12	TOTAL
<b>State Highway Account Funds</b>					
SHOPP	\$0	\$0	\$0	\$0	\$0
STIP	\$0	\$0	\$0	\$0	\$0
STIP-RIP	\$0	\$0	\$0	\$0	\$0
STIP-RIP - prior commitments	\$0	\$0	\$0	\$0	\$0
STIP-IIP Grandfathered Construction Support	\$0	\$0	\$0	\$0	\$0
STIP-IIP	\$0	\$0	\$0	\$0	\$0
STIP-IIP - TE	\$0	\$0	\$0	\$0	\$0
STIP-RIP - TE	\$0	\$0	\$0	\$0	\$0
STIP-IIP Augmentation	\$0	\$0	\$0	\$0	\$0
STIP-RIP Augmentation	\$0	\$0	\$0	\$0	\$0
CMIA	\$0	\$0	\$0	\$0	\$0
<b>Local Assistance</b>					
Congestion Mitigation and Air Quality	\$0	\$0	\$0	\$0	\$0
Regional Surface Transportation Program	\$0	\$0	\$0	\$0	\$0
Highway Bridge Replacement and Rehabilitation Program	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Enhancement	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Hazard Elimination & Safety	\$0	\$0	\$0	\$0	\$0
Surface Transportation Program Railroad Grade Crossing Protection	\$0	\$0	\$0	\$0	\$0
<b>Other Federal Highway Programs</b>					
Federal Lands Highway Program	\$0	\$0	\$0	\$0	\$0
Bridge Discretionary Program					\$0
NCPD Program/Borders/Corridor Program	\$0	\$0	\$0	\$0	\$0
Recreational Trails	\$0	\$0	\$0	\$0	\$0
Transportation and Community and System Preservation Pilot Program	\$0	\$0	\$0	\$0	\$0
Ferry Boat Discretionary					\$0
National Scenic Byways Program	\$0	\$0	\$0	\$0	\$0
Highway Priority/Demonstration Projects/Project Nat'l Reg'l Significance	\$0	\$0	\$0	\$0	\$0
Emergency Relief Program	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0
<b>Federal Transit Administration Funds</b>					
3037 - Job Access and Reverse Commute Program	\$0	\$0	\$0	\$0	\$0
5303 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5304 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5305 - Metropolitan Planning Program	\$0	\$0	\$0	\$0	\$0
5307 - Urbanized Area Formula Program	\$0	\$0	\$0	\$0	\$0
5308 - Clean Fuel Formula Program	\$0	\$0	\$0	\$0	\$0
5309(a) - Fixed Guideway Modernization	\$0	\$0	\$0	\$0	\$0
5309(b) - New Starts	\$0	\$0	\$0	\$0	\$0
5309(c) - Bus Allocation	\$0	\$0	\$0	\$0	\$0
5310 - Elderly & Persons with Disabilities Formula Program	\$0	\$0	\$0	\$0	\$0
5311 - Nonurbanized Area Formula Program	\$0	\$0	\$0	\$0	\$0
5313 - State Planning and Research	\$0	\$0	\$0	\$0	\$0
5314 - National Research and Technology Program	\$0	\$0	\$0	\$0	\$0
5316 - Job Access and Reverse Commute	\$0	\$0	\$0	\$0	\$0
5317 - New Freedom Program	\$0	\$0	\$0	\$0	\$0
5318 - Bus and Bus-Related Projects	\$0	\$0	\$0	\$0	\$0
<b>Non-Title 23/Federal Transit Funds (Describe)</b>					\$0
<b>Other State Funds</b>	\$0	\$0	\$0	\$0	\$0
Traffic Congestion Relief Program	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0
<b>Local Funds</b>	\$0	\$0	\$0	\$0	\$0
TDA	\$0	\$0	\$0	\$0	\$0
Sales Tax Measure	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0
<b>Total Revenue</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

Projects requiring additional funds should be documented on the following table:

**PROJECTS REQUIRING ADDITIONAL FUNDS**

Database (S, L or T)	Project #	Unfunded Phases		Unfunded Total
		Phase	Unfunded Amount	
<b>Total</b>				

Use a separate line for each phase

4. **SCAG RTIP Database Fund Summaries:** In addition to the worksheet described and listed above, the Financial Plan should include a printout of the “Expenditure Summary” report from the SCAG RTIP Database. This report can be generated after all project information has been entered into the Database and by selecting the “Fund Report” button in the “Reports” screen. **(This section will be updated once the new SCAG RTIP Database is finalized)**
5. **Consideration for Innovative Financing:** CTCs and IVAG are encouraged to submit any considerations/recommendations as may be applicable, for the use of innovative financing techniques to finance needed projects and programs, including value capture, tolls, and congestion pricing
6. **GARVEE Recommendations/Commitments:** CTCs and IVAG are requested to submit information concerning GARVEE bond commitments and anticipated future pledges, as may be applicable.

**B. Capital and Operating Revenue & Expense Budgets for Major Bus and Rail Operators:**

Revenue and expense budgets for the first four years of County TIPs must be submitted for major rail and bus operators (including the Southern California Regional Rail Authority) as part of the Financial Plan.

Information should be submitted for the following transit operators:

- **IVAG:** none
- **LACMTA:** MTA, Access, Foothill Transit, Gardena Transit, Long Beach Transit, Montebello Transit & Santa Monica Transit.
- **OCTA:** OCTA
- **RCTC:** Sunline, RTA
- **SANBAG:** Omnitrans, MARTA & Victor Valley Transit
- **VCTC:** Simi Valley Transit, SCAT & VISTA

A sample revenue and expense table for transit operators is provided below. Projected funding for the Federal Transit Administration Section 5307 program should be consistent with

the revenue estimates on page 64 of these Guidelines. If a revenue and expense budget reflects a shortfall, the county should inform SCAG staff prior to their formal county TIP submittal. In addition, the county TIP is to include documentation explicitly outlining steps that will be taken to address the financial shortfall.

**Revenues**

Revenue (List Funds)	FIRST 4-YEARS				Total Revenue (1 <sup>st</sup> 4-yrs)	Comments
	FY08/09	FY09/10	FY10/11	FY11/12		
<b>Revenue Total</b>						

**Expenditures**

Expenditures (List Types)	FIRST 4-YEARS				Total Expenditures (1 <sup>st</sup> 4-yrs)	Comments
	FY08/09	FY09/10	FY10/11	FY11/12		
Operating						
Capital						
<b>Expenditures Total</b>						

**C. SCAG Financial Plan Forecast/ Revenue Estimates**

Programming levels for each year should be consistent with the estimates provided in this section. Any deviation from these estimates should be documented and provided with the financial plan.

CMAQ and RSTP estimated apportionments for the first four years of the 2008 RTIP (08/09, 09/10, 10/11, 11/12) will be based on FFY08/09 Caltrans estimated apportionments. The apportionments will be updated as revised figures are provided by Caltrans. Figures for 12/13 and 13/14 will be added as revised figures are provided by Caltrans.

### Caltrans Estimated CMAQ and RSTP Apportionments

	<b>CMAQ</b>	<b>RSTP</b>
	<b>2008/09</b>	<b>2008/09</b>
<b>Imperial</b>	\$1,398,468	\$1,905,062
<b>Los Angeles</b>	\$142,214,239	\$127,386,920
<b>Orange</b>	\$42,753,989	\$38,130,726
<b>Riverside</b>	\$26,788,583	\$20,698,851
<b>San Bernardino</b>	\$27,492,389	\$22,897,076
<b>Ventura</b>	\$8,049,384	\$10,086,759
<b>Total</b>	<b>\$248,697,052</b>	<b>\$221,105,394</b>

Revenue estimates for the remaining years and all other funding sources are available in the tables provided below. The estimates come from the SCAG Financial Plan forecast for the 2004 RTP for years 2006-2011, (**updated estimates will be added when the 2007 Draft RTP is released in October 2007**) and were taken from the high scenario financial plan which does not reflect any impacts from alternative fuels (the long range financial plan accounts for revenue impacts from alternative fuels). SCAG RTP staff worked closely with the counties in developing the revenue projections. The figures should be utilized by the counties as the basis for the projected revenue in the Financial Plans. Please note, however, that further adjustments will be made to the forecast in order to reflect more current budget conditions, the 2008 STIP Fund Estimate assumptions, as well as the re-authorized federal funding levels in SAFETEA-LU. SCAG's RTP staff will work with the counties to refine the revenue projections as information becomes available.

If a CTC or IVAG does not concur with the SCAG forecasts, the county may submit the methodology used and work with the appropriate SCAG staff to resolve any critical differences. Once both agencies come to an agreement, they will need to submit the methodology as part of the Financial Plan documentation.

SCAG has incorporated many assumptions made by each CTC in developing the estimates, and utilized several sources to provide a basis for the revenue including documents provided by Transit agencies, historical revenue data collected and reported by local and state agencies, growth forecasts and adopted publications from the California Transportation Commission. For ITIP and STIP funding projections, please refer to the 2008 STIP Fund Estimate. A \$0 listed in the tables below does not mean that the county is accepting or expecting zero funding for that funding category, but rather is only an estimate based on program balances, adopted programs and information provided by the counties. SCAG's estimates do not include locally bonded funds. Each county must include all bonding funds in their financial plan documentation.

## SCAG FINANCIAL FORECAST (\$Million, Inflated)

<b>Transportation Development Act (TDA)</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ 3.72	\$ 3.79	\$ 3.87	\$ 3.95			
Los Angeles	\$ 346.40	\$ 363.00	\$ 381.70	\$ 400.30			
Orange	\$ 133.68	\$ 141.28	\$ 149.07	\$ 157.33			
Riverside	\$ 66.33	\$ 70.76	\$ 75.47	\$ 78.90			
San Bernardino	\$ 71.62	\$ 76.26	\$ 78.46	\$ 80.33			
Ventura	\$ 29.66	\$ 30.70	\$ 31.78	\$ 32.89			
<b>Total</b>	<b>\$ 651.40</b>	<b>\$ 685.79</b>	<b>\$ 720.34</b>	<b>\$ 753.70</b>			

<b>Local Sales Tax</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ 8.07	\$ 8.23	\$ 8.40	\$ -			
Los Angeles	\$ 1,338.80	\$ 1,402.20	\$ 1,473.10	\$ 1,543.90			
Orange	\$ 275.31	\$ 289.36	\$ 304.00	\$ 236.42			
Riverside	\$ 131.33	\$ 140.11	\$ 149.44	\$ 156.24			
San Bernardino	\$ 134.71	\$ 143.45	\$ 110.69	\$ 197.15			
Ventura	\$ -	\$ -	\$ -	\$ -			
<b>Total</b>	<b>\$ 1,888.22</b>	<b>\$ 1,983.35</b>	<b>\$ 2,045.62</b>	<b>\$ 2,133.71</b>			

<b>Farebox</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ 0.36	\$ 0.35	\$ 0.35	\$ 0.35			
Los Angeles	\$ 407.71	\$ 424.47	\$ 439.90	\$ 455.71			
Orange	\$ 72.70	\$ 75.90	\$ 79.83	\$ 93.42			
Riverside	\$ 14.72	\$ 15.32	\$ 15.94	\$ 16.53			
San Bernardino	\$ 30.02	\$ 30.93	\$ 32.75	\$ 34.53			
Ventura	\$ 6.52	\$ 6.80	\$ 7.08	\$ .33			
<b>Total</b>	<b>\$ 532.02</b>	<b>\$ 553.77</b>	<b>\$ 575.86</b>	<b>\$ 607.86</b>			

Farebox revenue is derived from fare revenue estimates contained in financial sections of short range transit plans for the major transit agencies including Omnitrans, Riverside Transit Agency, Sunline Transit Agency and South Coast Area Transit, and from the long range financial plans of the MTA (for all LA County operators) and OCTA.

<b>Local Agency</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ -	\$ -	\$ -	\$ -			
Los Angeles	\$ 26.50	\$ 30.00	\$ 32.50	\$ 31.70			
Orange	\$ 91.00	\$ 91.00	\$ 91.00	\$ 91.00			
Riverside	\$ 146.15	\$ 150.44	\$ 151.86	\$ 156.42			
San Bernardino	\$ -	\$ -	\$ -	\$ -			
Ventura	\$ -	\$ -	\$ -	\$ -			
<b>Total</b>	\$ 263.65	\$ 271.44	\$ 275.36	\$ 279.12			

<b>Local Assistance/Demo</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ 0.59	\$ 0.60	\$ 0.61	\$ 0.62			
Los Angeles	\$ 33.30	\$ 38.50	\$ 35.70	\$ 35.90			
Local Agency funds include Orange County Gasoline Tax Fund; Transportation Corridor Agencies toll revenues in Orange County; and local agency contributions to committed projects.							
San Bernardino	\$ 9.58	\$ 9.72	\$ 9.85	\$ 9.99			
Ventura	\$ 2.44	\$ 2.47	\$ 2.51	\$ 2.54			
<b>Total</b>	\$ 283.72	\$ 289.41	\$ 287.10	\$ 184.44			

Local Assistance funds include programs such as Regional Transportation Enhancements, Highway Bridge Rehabilitation, grade crossings and hazard elimination. Also includes Federal Highway Priority Projects for the region, other federal funds for specific projects (e.g. Alameda Corridor) and MTA clean fuels program.

<b>Miscellaneous Funds</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ -	\$ -	\$ -	\$ -			
Los Angeles	\$ 77.36	\$ 84.16	\$ 77.96	\$ 78.96			
Orange	\$ 64.46	\$ 57.72	\$ 59.79	\$ 63.26			
Riverside	\$ 1.34	\$ 1.34	\$ 1.34	\$ 1.34			
San Bernardino	\$ 1.30	\$ 1.30	\$ 1.56	\$ 1.56			
Ventura	\$ 0.32	\$ 0.32	\$ 0.32	\$ 0.32			
<b>Total</b>	\$ 144.78	\$ 144.83	\$ 140.97	\$ 145.44			

Miscellaneous Funds include transit advertisement and auxiliary revenues, lease revenues and interest and investment earnings on cash balances for programs such as Measure sales tax programs.

<b>TCRP</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ 2.00	\$ 2.00	\$ -	\$ -			
Los Angeles	\$ 432.70	\$ 394.60	\$ -	\$ -			
Orange	\$ 28.30	\$ -	\$ -	\$ -			
Riverside	\$ 30.00	\$ 11.32	\$ -	\$ -			
San Bernardino	\$ 52.93	\$ 41.50	\$ -	\$ -			
Ventura	\$ 3.00	\$ 3.75	\$ -	\$ -			
<b>Total</b>	<b>\$ 496.00</b>	<b>\$ 453.17</b>	<b>\$ -</b>	<b>\$ -</b>			

TCRP funds are included to inform the Legislature that the funds are still required for air quality purposes and to complete the projects.

<b>Proposition 42</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ -	\$ 15.34	\$ 11.46	\$ 11.67			
Los Angeles	\$ -	\$ 355.48	\$ 241.76	\$ 246.11			
Orange	\$ -	\$ 97.55	\$ 67.61	\$ 68.82			
Riverside	\$ -	\$ 59.05	\$ 40.41	\$ 41.15			
San Bernardino	\$ -	\$ 83.02	\$ 58.72	\$ 59.79			
Ventura	\$ -	\$ 27.89	\$ 18.52	\$ 18.86			
<b>Total</b>	<b>\$ -</b>	<b>\$ 638.33</b>	<b>\$ 438.49</b>	<b>\$ 446.39</b>			

<b>STA</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ 0.22	\$ 0.23	\$ 0.23	\$ .23			
Los Angeles	\$ 31.60	\$ 32.10	\$ 32.70	\$ 33.30			
Orange	\$ 7.50	\$ 7.90	\$ 8.30	\$ .72			
Riverside	\$ 2.32	\$ 2.35	\$ 2.37	\$ .39			
San Bernardino	\$ 3.27	\$ 3.30	\$ 3.34	\$ .37			
Ventura	\$ 1.27	\$ 1.28	\$ 1.30	\$ .31			
<b>Total</b>	<b>\$ 46.19</b>	<b>\$ 47.16</b>	<b>\$ 48.23</b>	<b>\$ 49.32</b>			

TP&D/Prop. 116							
	2008	2009	2010	2011	2012	2013	Total
Imperial	\$ -	\$ -	\$ -	\$ -			
Los Angeles	\$ -	\$ -	\$ -	\$ -			
Orange	\$ 20.20	\$ 20.20	\$ 20.20	\$ -			
Riverside	\$ -	\$ -	\$ -	\$ -			
San Bernardino	\$ -	\$ -	\$ -	\$ -			
Ventura	\$ -	\$ -	\$ -	\$ -			
<b>Total</b>	\$ 20.20	\$ 20.20	\$ 20.20	\$ -			

SHOPP							
	2008	2009	2010	2011	2012	2013	Total
Imperial	\$ 9.58	\$ 9.70	\$ 9.68	\$ 9.88			
Los Angeles	\$ 140.00	\$ 140.80	\$ 162.00	\$ 162.00			
Orange	\$ 34.04	\$ 34.71	\$ 35.38	\$ 36.07			
Riverside	\$ 26.89	\$ 27.21	\$ 27.16	\$ 27.72			
San Bernardino	\$ 78.90	\$ 79.83	\$ 79.70	\$ 81.32			
Ventura	\$ 14.22	\$ 14.39	\$ 14.36	\$ 14.65			
<b>Total</b>	\$ 303.63	\$ 306.64	\$ 328.28	\$ 331.64			

RSTP							
	2008	2009	2010	2011	2012	2013	Total
Imperial	\$ 1.31	\$ 1.33	\$ 1.35	\$ 1.36			
Los Angeles	\$ 103.00	\$ 104.50	\$ 105.90	\$ 107.4			
Orange	\$ 30.96	\$ 31.58	\$ 32.21	\$ 32.86			
Riverside	\$ 14.02	\$ 14.21	\$ 14.41	\$ 14.61			
San Bernardino	\$ 15.84	\$ 16.06	\$ 16.28	\$ 16.51			
Ventura	\$ 8.01	\$ 8.12	\$ 8.24	\$ 8.35			
<b>Total</b>	\$ 173.14	\$ 175.81	\$ 178.39	\$ 181.10			

CMAQ							
	2008	2009	2010	2011	2012	2013	Total
Imperial	\$ -	\$ -	\$ -	\$ -			
Los Angeles	\$ 86.09	\$ 84.81	\$ 83.44	\$ 37.55			
Orange	\$ 36.56	\$ 36.26	\$ 35.84	\$ 35.49			
Riverside	\$ 13.19	\$ 12.98	\$ 12.78	\$ 12.58			
San Bernardino	\$ 15.99	\$ 15.74	\$ 15.50	\$ 15.26			
Ventura	\$ 6.02	\$ 5.93	\$ 5.83	\$ 5.74			
<b>Total</b>	\$ 157.85	\$ 155.72	\$ 153.39	\$ 106.63			

<b>Sec. 5309</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ -	\$ -	\$ -	\$ -			
Los Angeles	\$ 106.40	\$ 106.90	\$ 107.40	\$ 109.80			
Orange	\$ 4.09	\$ 4.18	\$ 4.26	\$ 4.34			
Riverside	\$ 4.50	\$ 4.57	\$ 4.63	\$ 4.69			
San Bernardino	\$ 4.89	\$ 4.96	\$ 5.03	\$ 5.10			
Ventura	\$ 1.93	\$ 1.96	\$ 1.98	\$ 2.01			
<b>Total</b>	\$ 121.82	\$ 122.56	\$ 123.30	\$ 125.95			

"5309" funds are based on Commission projections for New Starts.

<b>Sec. 5307</b>							
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>Total</b>
Imperial	\$ -	\$ -	\$ -	\$ -			
Los Angeles	\$ 188.00	\$ 190.60	\$ 193.30	\$ 196.00			
Orange	\$ 38.54	\$ 39.32	\$ 40.10	\$ 40.90			
Riverside	\$ 17.17	\$ 17.41	\$ 17.65	\$ 17.90			
San Bernardino	\$ 19.70	\$ 20.69	\$ 20.98	\$ 21.27			
Ventura	\$ 10.71	\$ 10.86	\$ 11.01	\$ 11.17			
<b>Total</b>	\$ 274.13	\$ 278.88	\$ 283.05	\$ 287.24			

Note: Numbers in the above tables may not add due to rounding

# References

**VII. REFERENCE SECTION**

**A. RTIP DATABASE CODES**

This section provides a list of all codes required when entering projects in the RTIP database. *The information listed below will be revised to match the codes available in the new RTIP Database.*

**1. Program Codes**

Program Codes will be revised to match the new codes available in the new RTIP database. The entire list of Program Codes is presented below. The Codes are listed based on the Program Code Type (i.e., first two characters). Following the Program Code list is a "Guide to Program Code Selection" flow chart to assist in the selection of Program Codes.

**Program Codes**

**General Codes that Apply Across All Modes**

<b>AD</b>	<u>Administration/ Administrative Facilities</u>
ADM83	Administration
ADN55	Administrative Office(s)/Facility - New
ADR55	Administrative Office(s)/Facility - Rehab/Improvements
	<u>Misc.</u>
CHI50	Child Care Facility
FUL51	Fueling Stations
FUL52	Fueling Stations - Alternative Fuel
PLN40	Planning
ART48	Public Art Projects
SEC53	Security
SEC54	Security Equipment/Facilities
<b>VE</b>	<u>Vehicles</u>
VER03	Vehicles - Administrative/Maintenance/Service/Security - (Alternative Fuel) - Upgrade/Rehabilitate
VER02	Vehicles - Administrative/Maintenance/Service/Security - (Gas/Diesel) - Upgrade/Rehabilitate
VEN03	Vehicles - Administrative/Maintenance/Service/Security - (Alternative Fuel) - New
VEN02	Vehicles - Administrative/Maintenance/Service/Security - (Gas/Diesel) - New
<b>Capacity Enhancing Improvements</b>	
<b>CA</b>	
CAN76	Adding a Lane Through a Bottleneck: NON-REGIONALLY SIGNIFICANT
CAX76	Adding a Lane Through a Bottleneck: REGIONALLY SIGNIFICANT
CAY76	Adding a Lane Through a Bottleneck: GOODS MOVEMENT
CAR60	Bridge Restoration & Replacement - Lane Addition(s): NON-REGIONALLY SIGNIFICANT

CAX60	Bridge Restoration & Replacement - Lane Addition(s): REGIONALLY SIGNIFICANT
CAY60	Bridge Restoration & Replacement - Lane Addition(s): GOODS MOVEMENT
CART1	Bridge Restoration/Replacement (Lane Additions) with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT1	Bridge Restoration/Replacement (Lane Additions) with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT1	Bridge Restoration/Replacement (Lane Additions) with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAN61	Grade Separation - Capacity Enhancing: NON-REGIONALLY SIGNIFICANT
CAX61	Grade Separation - Capacity Enhancing: REGIONALLY SIGNIFICANT
CAY61	Grade Separation - Capacity Enhancing: GOODS MOVEMENT
CAR62	Highway/Road Improvements-Lane Additions with HOV lane(s): NON-REGIONALLY SIGNIFICANT
CAX62	Highway/Road Improvements-Lane Additions with HOV lane(s): REGIONALLY SIGNIFICANT
CAY62	Highway/Road Improvements-Lane Additions with HOV lane(s): GOODS MOVEMENT
CAR63	Highway/Road Improvements-Lane Additions with no HOV lanes: NON-REGIONALLY SIG.
CAX63	Highway/Road Improvements-Lane Additions with no HOV lanes: REGIONALLY SIGNIFICANT
CAY63	Highway/Road Improvements-Lane Additions with no HOV lanes: GOODS MOVEMENT
CART2	Highway/Road Improvements-Lane Additions with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT2	Highway/Road Improvements-Lane Additions with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT2	Highway/Road Improvements-Lane Additions with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CART3	Interchange - Modify/Replace/Reconfigure - Lane Addition(s) with Non-motorized and/or TCM Scope: NON-REGIONALLY SIGNIFICANT
CAXT3	Interchange - Modify/Replace/Reconfigure - Lane Addition(s) with Non-motorized and/or TCM Scope: REGIONALLY SIGNIFICANT
CAYT3	Interchange - Modify/Replace/Reconfigure - Lane Addition(s) with Non-motorized and/or TCM Scope: GOODS MOVEMENT
CARH3	Interchange - Modify/Replace/Reconfigure - Lane Addition(s)
CAN65	New Bridge: NON-REGIONALLY SIGNIFICANT
CAX65	New Bridge: REGIONALLY SIGNIFICANT
CAY65	New Bridge: GOODS MOVEMENT
CANT4	New Bridge with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT4	New Bridge with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT4	New Bridge with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAN66	New Connections/Cross Traffic Improvements: NON-REGIONALLY SIGNIFICANT
CAX66	New Connections/Cross Traffic Improvements: REGIONALLY SIGNIFICANT
CAY66	New Connections/Cross Traffic Improvements: GOODS MOVEMENT
CANT5	New Connections/Cross Traffic Improvements with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT5	New Connections/Cross Traffic Improvements with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT5	New Connections/Cross Traffic Improvements with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAN67	New Highway (no HOV Lanes): NON-REGIONALLY SIGNIFICANT
CAX67	New Highway (no HOV Lanes): REGIONALLY SIGNIFICANT
CAY67	New Highway (no HOV Lanes): GOODS MOVEMENT

CAN68	New Highway with HOV Lane(s): NON-REGIONALLY SIGNIFICANT
CAX68	New Highway with HOV Lane(s): REGIONALLY SIGNIFICANT
CAY68	New Highway with HOV Lane(s): GOODS MOVEMENT
CANT6	New Highway with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT6	New Highway with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT6	New Highway with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAN69	New HOV Lane(s): NON-REGIONALLY SIGNIFICANT
CAX69	New HOV Lane(s): REGIONALLY SIGNIFICANT
CAY69	New HOV Lane(s): GOODS MOVEMENT
CAN70	New Interchange: NON-REGIONALLY SIGNIFICANT
CAX70	New Interchange: REGIONALLY SIGNIFICANT
CAY70	New Interchange: GOODS MOVEMENT
CANT7	New Interchange w/ Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT7	New Interchange w/ Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT7	New Interchange w/ Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAN71	New Interchange with Ramp Meters/HOV Bypass: NON-REGIONALLY SIGNIFICANT
CAX71	New Interchange with Ramp Meters/HOV Bypass: REGIONALLY SIGNIFICANT
CAY71	New Interchange with Ramp Meters/HOV Bypass: GOODS MOVEMENT
CAN72	New Overcross or Undercross: NON-REGIONALLY SIGNIFICANT
CAX72	New Overcross or Undercross: REGIONALLY SIGNIFICANT
CAY72	New Overcross or Undercross: GOODS MOVEMENT
CANT8	New Overcross or Undercross with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT8	New Overcross or Undercross with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT8	New Overcross or Undercross with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAN73	New Toll Bridge Facilities: NON-REGIONALLY SIGNIFICANT
CAX73	New Toll Bridge Facilities: REGIONALLY SIGNIFICANT
CAY73	New Toll Bridge Facilities: GOODS MOVEMENT
CANT9	New Toll Bridge with Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIG.
CAXT9	New Toll Bridge with Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT9	New Toll Bridge with Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CART0	Overcross or Undercross Improvements (Lane Add.) w/ Non-motorized and/or TCM Scope/Facilities: NON-REGIONALLY SIGNIFICANT
CAXT0	Overcross or Undercross Improvements (Lane Add.) w/ Non-motorized and/or TCM Scope/Facilities: REGIONALLY SIGNIFICANT
CAYT0	Overcross or Undercross Improvements (Lane Add.) w/ Non-motorized and/or TCM Scope/Facilities: GOODS MOVEMENT
CAR75	Overcross or Undercross Improvements (Lane Additions): NON-REGIONALLY SIGNIFICANT
CAX75	Overcross or Undercross Improvements (Lane Additions): REGIONALLY SIGNIFICANT
CAY75	Overcross or Undercross Improvements (Lane Additions): GOODS MOVEMENT
CAR59	Restriping for "Mix" Flow Lanes: NON-REGIONALLY SIGNIFICANT
CAX59	Restriping for "Mix" Flow Lanes: REGIONALLY SIGNIFICANT
CAY59	Restriping for "Mix" Flow Lanes: GOODS MOVEMENT
CAN74	Slow Vehicle Passing Lanes/Truck Climbing Lanes in Urbanized Areas: NON-REGIONALLY SIGNIFICANT
CAN74	Slow Vehicle Passing Lanes/Truck Climbing Lanes in Urbanized Areas: REGIONALLY SIGNIFICANT

<b>SIGNIFICANT</b>	
CAN74	Slow Vehicle Passing Lanes/Truck Climbing Lanes in Urbanized Areas: GOODS MOVEMENT
<b>Non-Capacity Improvements</b>	
<b>NC</b>	
NCN21	Auxiliary Lane Not Through Next Intersection
NCN37	Auxiliary Lane Through Interchange
NCN25	Bicycle & Pedestrian Facilities - New
NCR25	Bicycle & Pedestrian Facilities - Upgrade
NCN26	Bicycle Facility - New
NCR26	Bicycle Facility - Upgrade
NCRT1	Bridge Restoration & Replace (No Lane Additions )w/ Non-motorized and/or TCM Scope/Facilities
NCR36	Bridge Restoration & Replacement (No Lane Additions)
NCR38	Chain Control/Brake Inspection
NCR81	Curb and Gutter Improvements
NCRH4	Curve Correction/Improve Alignment
NCN47	Directional/Informational Signs / Sign Removal
NCN31	Grade Separation; Railroad/Highway Crossing - Non Capacity
NCR82	Historic Preservation
NCRT3	Interchange - Modify/Replace (non-capacity) w/ Non-motorized and/or TCM Scope/Facilities
NCRH3	Interchange - Modify/Replace/Reconfiguration
NCRH1	Intersection Improvements/Channelization
NCN84	Land Acquisition
NCN85	Land Acquisition - Abandoned Railway
NCN45	Land Acquisition for Scenic Easement
NCN95	Left Turn Lane(s)
NCN86	Maintenance/Storage Facility - New
NCR86	Maintenance/Storage Facility - Upgrade
NCN34	Median Barrier - New/ Add Median
NCR34	Median/ Median Barrier Upgrade
NCR87	Overcross or Undercross Improvements (No Lane Additions)
NCRT0	Overcross/Undercross Improvements (No Lane Additions) w/ Non-motorized and/or TCM Scope
NCR10	Passenger Benches & Small Shelters
NCR28	Passenger Loading Areas
NCN27	Pedestrian Facilities - New
NCR27	Pedestrian Facilities - Upgrade
NCN46	Planting/Landscaping
NCR46	Planting/Landscaping Restoration
NCR88	Ramps - Modify
NCR77	Reversible lanes
NCR31	Road Replacement and Rehabilitation (No Lane Additions)
NCN33	Roadside Rest Area - New
NCR33	Roadside Rest Area Restoration
NCR30	Safety Improvements
NCR78	Seismic Retrofit
NCR22	Shoulder Widening
NCN29	Sidewalks/Curb Cuts - New
NCR29	Sidewalks/Curb Cuts - Upgrade
NCNH2	Signal(s) - at Intersections (non signal synchronization projects)
NCR79	Slope and Drainage Improvements

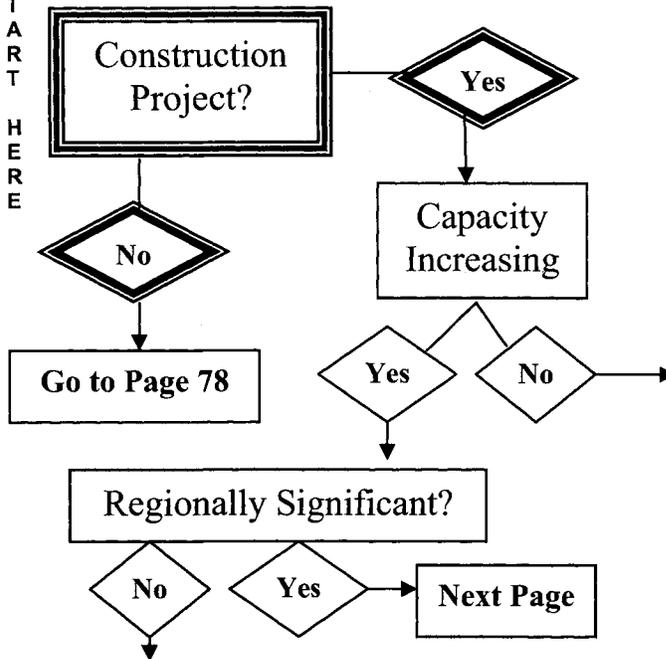
NCN35	Slow Vehicle Passing Lanes/Truck Climbing Lanes outside Urbanized Areas
NCR42	Sound Walls
NCR49	Storm Maintenance/Repair/Clearing
NCR35	Street Lights
NCRH5	Truck Size and Weight Inspection Stations
NCR90	Turnouts
NCR91	Upgraded Facilities (No Lane Additions)
NCRT2	Upgraded Facilities (No Lane Additions) w/ Non-motorized and/or TCM Scope/Facilities
<b>Revenue Operations and Capital</b>	
<b>TR</b>	<u><i>Codes that Apply Across Bus and Rail Modes</i></u>
TRN06	Administrative Equipment - New
TRR06	Administrative Equipment - Upgrade/Rehabilitate
TRN08	Fare Equipment/Ticket Vending Machines
TRN07	Maintenance Equipment - New
TRR07	Maintenance Equipment - Upgrade
NCN86	Maintenance/Storage Facility - New
NCR86	Maintenance/Storage Facility - Upgrade
TRNH6	Passenger Stations/Facilities - New
TRRH6	Passenger Stations/Facilities - Rehabilitation/Improvements
TRN09	Power, Signals and/or Communications
TRN92	Track Extension
TRR15	Track Replacement/Rehabilitation
TRN14	Track Structures - New
TRR14	Track Structures - Rehabilitation/Reconstruction
ITS01	Real Time Rail or Transit Notification System
<b>BU</b>	<u><i>Bus - (Fixed-Route and Intercity/Commuter Bus)</i></u>
BUO01	Bus - Capital Lease
BUO00	Bus Operations/Operating Assistance
BUN07	Bus Service Equipment/Operating Equipment
BUN94	Buses – Expansion - Alternative Fuel
BUN93	Buses – Expansion - Gas/Diesel
BUR05	Buses – Rehabilitation/Improvements - Alternative Fuel
BUR04	Buses – Rehabilitation/Improvements - Gas/Diesel
BUR17	Buses – Replacement - Alternative Fuel
BUR16	Buses – Replacement - Gas/Diesel
<b>CO</b>	<u><i>Commuter Rail</i></u>
CON94	Commuter Rail Cars and/or Locomotives – Expansion -Alternative Fuel
CON93	Commuter Rail Cars and/or Locomotives – Expansion -Gas/Diesel
COR05	Commuter Rail Cars and/or Locomotives – Rehabilitation/Improvements -Alternative Fuel
COR04	Commuter Rail Cars and/or Locomotives – Rehabilitation/Improvements -Gas/Diesel
COR17	Commuter Rail Cars and/or Locomotives – Replacement -Alternative Fuel
COR16	Commuter Rail Cars and/or Locomotives – Replacement -Gas/Diesel
COO00	Commuter Rail Operations/Operating Assistance
CON07	Commuter Rail Service Equipment/Operating Equipment
<b>FE</b>	<u><i>Ferry Service</i></u>
FEO00	Ferry Service Operations/Operating Assistance
FEN07	Ferry Service Equipment/Operating Equipment
FEN94	Ferry Service Vessels - Expansion -Alternative Fuel

FEN93	Ferry Service Vessels - Expansion -Gas/Diesel
FER05	Ferry Service Vessels - Rehabilitation/Improvements -Alternative Fuel
FER04	Ferry Service Vessels - Rehabilitation/Improvements -Gas/Diesel
FER17	Ferry Service Vessels - Replacement -Alternative Fuel
FER16	Ferry Service Vessels - Replacement -Gas/Diesel
<b>LR</b> <u>Light Rail</u>	
LRN94	Light Rail Cars and/or Locomotives - Expansion -Alternative Fuel
LRN93	Light Rail Cars and/or Locomotives - Expansion -Gas/Diesel
LRR05	Light Rail Cars and/or Locomotives - Rehabilitation/Improvements -Alternative Fuel
LRR04	Light Rail Cars and/or Locomotives - Rehabilitation/Improvements -Gas/Diesel
LRR17	Light Rail Cars and/or Locomotives - Replacement -Alternative Fuel
LRR16	Light Rail Cars and/or Locomotives - Replacement -Gas/Diesel
LRN92	Light Rail Extension
LRO00	Light Rail Operations/Operating Assistance
LRN07	Light Rail Service Equipment/Operating Equipment
<b>PA</b> <u>Paratransit</u>	
PAO00	Paratransit Operations/Operating Assistance
PAN07	Paratransit Service Equipment/Operating Equipment
PAN94	Paratransit Vehicles - Expansion -Alternative Fuel
PAN93	Paratransit Vehicles - Expansion -Gas/Diesel
PAR05	Paratransit Vehicles - Rehabilitation/Improvements -Alternative Fuel
PAR04	Paratransit Vehicles - Rehabilitation/Improvements -Gas/Diesel
PAR17	Paratransit Vehicles - Replacement -Alternative Fuel
PAR16	Paratransit Vehicles - Replacement -Gas/Diesel
<b>RA</b> <u>Rail (Intercity and Heavy Rail)</u>	
RAN94	Rail Cars and/or Locomotives - Expansion -Alternative Fuel
RAN93	Rail Cars and/or Locomotives - Expansion -Gas/Diesel
RAR05	Rail Cars and/or Locomotives - Rehabilitation/Improvements -Alternative Fuel
RAR04	Rail Cars and/or Locomotives - Rehabilitation/Improvements -Gas/Diesel
RAR17	Rail Cars and/or Locomotives - Replacement -Alternative Fuel
RAR16	Rail Cars and/or Locomotives - Replacement -Gas/Diesel
RAN92	Rail Extension
RAO00	Rail Operations/Operating Assistance
RAN07	Rail Service Equipment/Operating Equipment
<b>Intelligent Transportation Systems (ITS)</b>	
<b>ITS</b>	
ITS01	Real Time Transit or Rail Notification System
ITS02	Signal Synchronization
ITS03	Smart Fare Card and Equipment
ITS04	Traffic Management/Operations Centers
<u>Traffic Operations System Element Projects</u>	
ITS05	Changeable Message Signs (CMS)
ITS06	Closed Circuit Televisions (CCTVs)
ITS07	Control Stations (CS) / Weigh in Motion (WIM) station
ITS08	Fiber Optic Communications
ITS09	Ramp Metering Systems
ITS10	Signal Preemption

ITS11	Signal Video Enforcement
ITS12	Traveler/Motorist Information Systems; Highway Advisory Radios
ITS13	Vehicle Detection (VDS) & Automatic Vehicle Classification (AVC) Systems
ITS14	Various Traffic Op. System Elements (ITS05 to ITS13)
<b>Transportation Demand Management (TDM)</b>	
<b>TD</b>	
TDN64	Park & Ride Lot - New
TDR64	Park & Ride Lot Modifications/Upgrade
TDM20	Ridesharing
TDM24	TDM Programs - non Ridematching & non Park & Ride
<b>Lump Sum Categories</b>	
<b>SH</b>	<i>Caltrans SHOPP Projects</i>
SHP01	Operations
SHP02	Roadside Rehabilitation
SHP03	Roadway Rehabilitation
SHP04	Safety
<b>LU</b>	<i>Conformity Exempt Project Categories</i>
LUM01	Operational Improvements
LUM02	Rehabilitation and Reconstruction
LUM03	Safety
LUM04	Transportation Enhancement Activities (only eligible items)
LUM05	Truck Climbing Lanes (outside urbanized areas)

### Guide to Program Code Selection

START  
HERE



**NON-CAPACITY CONSTRUCTION PROJECTS (ALL TYPES)**

- Administrative Offices/Facility - New – ADN55
- Administrative Offices/Facility - Rehab/Improve – ADR55
- Auxiliary Lane Not through Next Intersection – NCN21
- Auxiliary Lane through Interchange – NCN37
- Bicycle & Pedestrian Facilities - New – NCN25
- Bicycle & Pedestrian Facilities - Upgrade – NCR25
- Bicycle Facility - New – NCN26
- Bicycle Facility - Upgrade – NCR26
- Bridge Restoration & Replac. -No Lane Additions – NCR36
- Bridge Restor/Rep -No Add Lns w/non-motor/TCM – NCRT1
- Chain Control/Brake Inspection – NCR38
- Child Care Facility – CHI50
- Curb and Gutter Improvements – NCR81
- Curve Correction/Improve Alignment – NCRH4
- Directional / Informational Signs / Sign Removal – NCN47
- Fueling Stations – FUL51
- Fueling Stations - Alternative Fuel – FUL52
- Grade Separation; RR/HWY Crossing - Non-Cap – NCN31
- Historic Preservation – NCR82
- Interchange -Modify/Replace (non-capacity) – NCRH3
- Interchange -Modify/Replace w/non-motor/TCM – NCRT3
- Intersection Improv./Channelization (non-capacity) – NCRH1
- Maintenance/Storage Facility -New – NCN86
- Maintenance/Storage Facility -Upgrade – NCR86
- Median Barrier/Add Median -New – NCN34
- Median/Median Barrier -Upgrade – NCR34
- Overcross/Undercross Improv. - No Lane Additions – NCR87
- Overcross/Under. Improv – w/non-motorized/TCM – NCRT0
- Passenger Benches & Small Shelters – NCR10
- Passenger Loading Areas – NCR28
- Pedestrian Facilities - New – NCN27
- Pedestrian Facilities - Upgrade – NCR27
- Public Art – ART48
- Ramps -Modify – NCR88
- Reversible lanes – NCR77
- Road Replacement and Rehabilitation – NCR31
- Roadside Rest Area - New – NCN33
- Restoration – NCR33
- Safety Improvements – NCR30
- Security Facilities – SEC54
- Seismic Retrofit – NCR78
- Shoulder Widening – NCR22
- Sidewalks/Curb Cuts - New – NCN29
- Sidewalks/Curb Cuts - Upgrade – NCR29
- Slope/Drainage Improvements – NCR79
- Sound Walls – NCR42
- Storm Maintenance/Repair/Clearing – NCR49
- Truck Size and Weight Inspection Stations – NCRH5
- Turnouts – NCR90
- Upgraded Facilities - no new travel lanes – NCR91
- Upgraded Facilities w/non-motor/TCM – NCRT2

**CAPACITY INCREASING HIGHWAY & ROAD PROJECTS**

- Adding a Lane through a Bottleneck – CAN76
- Bridge Restoration/Replacement –Lane Additions – CAR60
- Bridge Restoration/Repl. –Ln Add w/non-Motor/TCM – CART1
- Grade Separation – Capacity Enhancing – CAN61
- Highway/Road Impr, Add Lane(s) with HOV – CAR62
- Highway/Road Impr, Add Lane(s) with out HOV – CAR63
- Highway/Road Impr, Lane add w/non-motor/TCM – CART2
- Interchange –New – CAN70
- Interchange – New with Ramp Meters/HOV Bypass – CAN71
- Interchange – New w/non-motorized/TCM facility – CANT7
- Interchange – Modify/Replace/Rec (Lane Additions) – CARH3
- Interchange – Modify/Rep. (Lane Add) w/TCM facility – CART3
- New Bridge – CAN65
- New Bridge w/non-motorized/TCM facility – CANT4
- New Connections/Cross Traffic Improvements – CAN66
- New Connect/Cross Traffic Impr w/non-motor/TCM – CANT5
- New Highway with no HOV Lanes – CAN67
- New Highway with HOV Lanes – CAN68
- New Highway with non-motorized/TCM facilities – CANT6
- New HOV Lane(s) – CAN69
- New Overcross or Undercross – CAN72
- New Overcross or Undercross w/non-motor./TCM – CANT8
- New Toll Bridge Facilities – CAN73
- New Toll Bridge with non-motorized/TCM facilities - CANT9
- Overcross or Undercross Improv. (Lane Additions) – CAR75
- Over/Undercross Impr. w/non-mot/TCM (Lane Add) – CART0
- Restriping for "Mix" Flow Lanes – CAR59
- Slow Vehicle Passing Lanes/Truck Climbing Lanes – CAN74

Is the Regionally Significant Project  
a Goods Movement Project?

No

Yes

**REGIONALLY SIGNIFICANT (Non Goods Movement)**

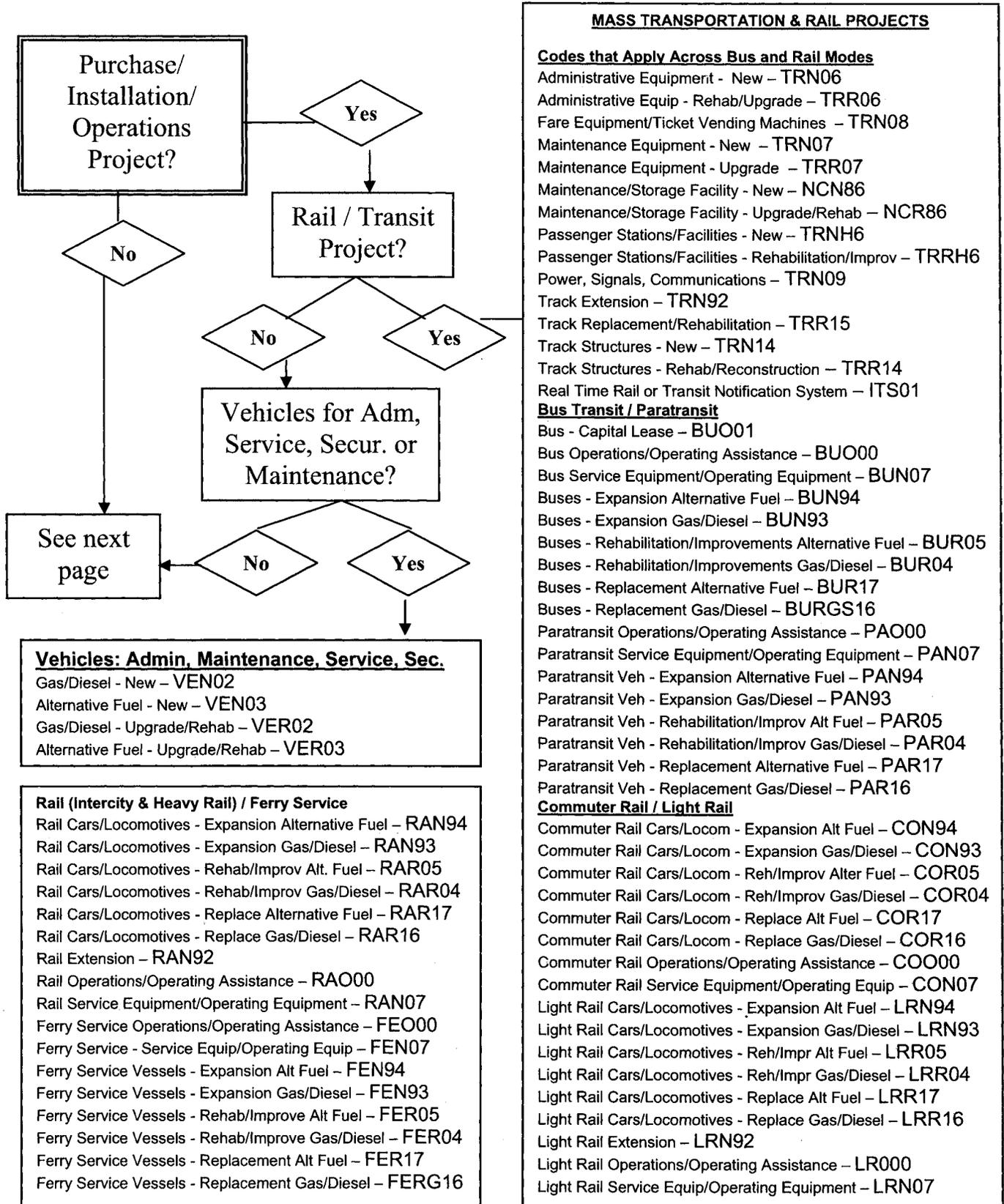
**CAPACITY INCREASING HIGHWAY & ROAD PROJECTS**

Adding a Lane through a Bottleneck – CAX76  
 Bridge Restoration/Replacement –Lane Additions – CAX60  
 Bridge Restoration/Repl. –Ln Add w/non-Motor/TCM – CAXT1  
 Grade Separation – Capacity Enhancing – CAX61  
 Highway/Road Impr, Add Lane(s) with HOV – CAX62  
 Highway/Road Impr, Add Lane(s) with out HOV – CAX63  
 Interchange –New – CAX70  
 Highway/Road Improvements-Lane Additions with Non-  
 motorized and/or TCM Scope/Facilities – CAXT2  
 Interchange – New with Ramp Meters/HOV Bypass – CAX71  
 Interchange – New w/non-motorized/TCM facility – CAXT7  
 Interchange – Modify/Rep. (Lane Add) w/TCM facility – CAXT3  
 New Bridge – CAX65  
 New Bridge w/non-motorized/TCM facility – CAXT4  
 New Connections/Cross Traffic Improvements – CAX66  
 New Connect/Cross Traffic Impr w/non-motor/TCM – CAXT5  
 New Highway with no HOV Lanes – CAX67  
 New Highway with HOV Lanes – CAX68  
 New Highway with non-motorized/TCM facilities – CAXT6  
 New HOV Lane(s) – CAX69  
 New Overcross or Undercross – CAX72  
 New Overcross or Undercross w/non-motor./TCM – CAXT8  
 New Toll Bridge Facilities – CAX73  
 New Toll Bridge with non-motorized/TCM facilities - CAXT9  
 Overcross or Undercross Improv. (Lane Additions) – CAX75  
 Over/Undercross Impr. w/non-mot/TCM (Lane Add) – CAXT0  
 Restriping for "Mix" Flow Lanes – CAX59  
 Slow Vehicle Passing Lanes/Truck Climbing Lanes – CAX74

**REGIONALLY SIGNIFICANT – GOODS MOVEMENT**

**CAPACITY INCREASING HIGHWAY & ROAD PROJECTS**

Adding a Lane through a Bottleneck – CAY76  
 Bridge Restoration/Replacement –Lane Additions – CAY60  
 Bridge Restoration/Repl. –Ln Add w/non-Motor/TCM – CAYT1  
 Grade Separation – Capacity Enhancing – CAY61  
 Highway/Road Impr, Add Lane(s) with HOV – CAY62  
 Highway/Road Impr, Add Lane(s) with out HOV – CAY63  
 Interchange –New – CAY70  
 Highway/Road Improvements-Lane Additions with Non-  
 motorized and/or TCM Scope/Facilities – CAYT2  
 Interchange – New with Ramp Meters/HOV Bypass – CAY71  
 Interchange – New w/non-motorized/TCM facility – CAYT7  
 Interchange – Modify/Rep. (Lane Add) w/TCM facility – CAYT3  
 New Bridge – CAY65  
 New Bridge w/non-motorized/TCM facility – CAYT4  
 New Connections/Cross Traffic Improvements – CAY66  
 New Connect/Cross Traffic Impr w/non-motor/TCM – CAYT5  
 New Highway with no HOV Lanes – CAY67  
 New Highway with HOV Lanes – CAY68  
 New Highway with non-motorized/TCM facilities – CAYT6  
 New HOV Lane(s) – CAY69  
 New Overcross or Undercross – CAY72  
 New Overcross or Undercross w/non-motor./TCM – CAYT8  
 New Toll Bridge Facilities – CAY73  
 New Toll Bridge with non-motorized/TCM facilities - CAYT9  
 Overcross or Undercross Improv. (Lane Additions) – CAY75  
 Over/Undercross Impr. w/non-mot/TCM (Lane Add) – CAYT0  
 Restriping for "Mix" Flow Lanes – CAY59  
 Slow Vehicle Passing Lanes/Truck Climbing Lanes – CAY74



**MASS TRANSPORTATION & RAIL PROJECTS**

Codes that Apply Across Bus and Rail Modes

- Administrative Equipment - New – TRN06
- Administrative Equip - Rehab/Upgrade – TRR06
- Fare Equipment/Ticket Vending Machines – TRN08
- Maintenance Equipment - New – TRN07
- Maintenance Equipment - Upgrade – TRR07
- Maintenance/Storage Facility - New – NCN86
- Maintenance/Storage Facility - Upgrade/Rehab – NCR86
- Passenger Stations/Facilities - New – TRNH6
- Passenger Stations/Facilities - Rehabilitation/Improv – TRRH6
- Power, Signals, Communications – TRN09
- Track Extension – TRN92
- Track Replacement/Rehabilitation – TRR15
- Track Structures - New – TRN14
- Track Structures - Rehab/Reconstruction – TRR14
- Real Time Rail or Transit Notification System – ITS01

Bus Transit / Paratransit

- Bus - Capital Lease – BUO01
- Bus Operations/Operating Assistance – BUO00
- Bus Service Equipment/Operating Equipment – BUN07
- Buses - Expansion Alternative Fuel – BUN94
- Buses - Expansion Gas/Diesel – BUN93
- Buses - Rehabilitation/Improvements Alternative Fuel – BUR05
- Buses - Rehabilitation/Improvements Gas/Diesel – BUR04
- Buses - Replacement Alternative Fuel – BUR17
- Buses - Replacement Gas/Diesel – BURGS16
- Paratransit Operations/Operating Assistance – PAO00
- Paratransit Service Equipment/Operating Equipment – PAN07
- Paratransit Veh - Expansion Alternative Fuel – PAN94
- Paratransit Veh - Expansion Gas/Diesel – PAN93
- Paratransit Veh - Rehabilitation/Improv Alt Fuel – PAR05
- Paratransit Veh - Rehabilitation/Improv Gas/Diesel – PAR04
- Paratransit Veh - Replacement Alternative Fuel – PAR17
- Paratransit Veh - Replacement Gas/Diesel – PAR16

Commuter Rail / Light Rail

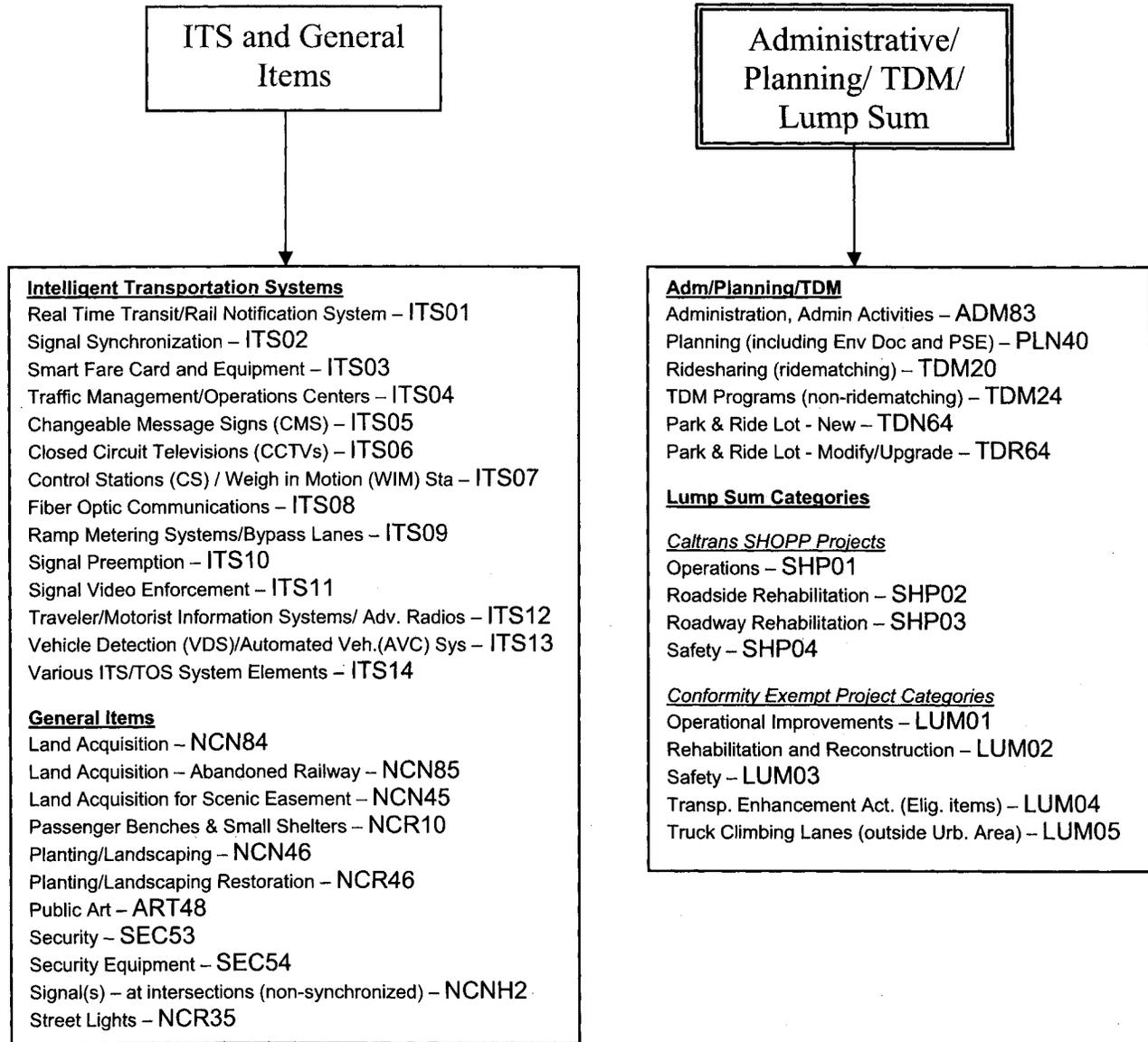
- Commuter Rail Cars/Locom - Expansion Alt Fuel – CON94
- Commuter Rail Cars/Locom - Expansion Gas/Diesel – CON93
- Commuter Rail Cars/Locom - Reh/Improv Alter Fuel – COR05
- Commuter Rail Cars/Locom - Reh/Improv Gas/Diesel – COR04
- Commuter Rail Cars/Locom - Replace Alt Fuel – COR17
- Commuter Rail Cars/Locom - Replace Gas/Diesel – COR16
- Commuter Rail Operations/Operating Assistance – COO00
- Commuter Rail Service Equipment/Operating Equip – CON07
- Light Rail Cars/Locomotives - Expansion Alt Fuel – LRN94
- Light Rail Cars/Locomotives - Expansion Gas/Diesel – LRN93
- Light Rail Cars/Locomotives - Reh/Impr Alt Fuel – LRR05
- Light Rail Cars/Locomotives - Reh/Impr Gas/Diesel – LRR04
- Light Rail Cars/Locomotives - Replace Alt Fuel – LRR17
- Light Rail Cars/Locomotives - Replace Gas/Diesel – LRR16
- Light Rail Extension – LRN92
- Light Rail Operations/Operating Assistance – LR000
- Light Rail Service Equip/Operating Equipment – LRN07

**Vehicles: Admin, Maintenance, Service, Sec.**

- Gas/Diesel - New – VEN02
- Alternative Fuel - New – VEN03
- Gas/Diesel - Upgrade/Rehab – VER02
- Alternative Fuel - Upgrade/Rehab – VER03

**Rail (Intercity & Heavy Rail) / Ferry Service**

- Rail Cars/Locomotives - Expansion Alternative Fuel – RAN94
- Rail Cars/Locomotives - Expansion Gas/Diesel – RAN93
- Rail Cars/Locomotives - Rehab/Improv Alt. Fuel – RAR05
- Rail Cars/Locomotives - Rehab/Improv Gas/Diesel – RAR04
- Rail Cars/Locomotives - Replace Alternative Fuel – RAR17
- Rail Cars/Locomotives - Replace Gas/Diesel – RAR16
- Rail Extension – RAN92
- Rail Operations/Operating Assistance – RAO00
- Rail Service Equipment/Operating Equipment – RAN07
- Ferry Service Operations/Operating Assistance – FEO00
- Ferry Service - Service Equip/Operating Equip – FEN07
- Ferry Service Vessels - Expansion Alt Fuel – FEN94
- Ferry Service Vessels - Expansion Gas/Diesel – FEN93
- Ferry Service Vessels - Rehab/Improve Alt Fuel – FER05
- Ferry Service Vessels - Rehab/Improve Gas/Diesel – FER04
- Ferry Service Vessels - Replacement Alt Fuel – FER17
- Ferry Service Vessels - Replacement Gas/Diesel – FERG16



**2. Change Reason Codes**

Change Reason codes help identify whether a project is new or the purpose for the amendment. The Change Reason codes listed below match the codes available in the new RTIP Database.

CHG_REASON CODES	DESCRIPTION
06STIPAUG	2006 STIP AUGMENTATION
AC CONV	AC CONVERSION
AC INC #1	AC INCREMENTAL CONVERSION #1
AC INC #2	AC INCREMENTAL CONVERSION #2
AC INC #3	AC INCREMENTAL CONVERSION #3

AWARD	AWARDED PROJECT
C/O 2002	2002 FTIP CARRYOVER
C/O 2004	2004 FTIP CARRYOVER
CMIA	CORRIDOR MOBILITY IMPROVEMENT ACCOUNT
COMB	COMBINED PROJECT
COMB SCH<	COMBINED PROJECT & SCHEDULE ADVANCE
COMB SCH>	COMBINED PROJECT & SCHEDULE DELAY
COMP	COMPLETED PROJECT
COST SCH<	COST CHANGE AND SCHEDULE ADVANCE
COST SCH>	COST CHANGE AND SCHEDULE DELAY
COST<	COST DECREASE
COST>	COST INCREASE
DEL	DELETED PROJECT
DEL 3090	DELETED AB 3090
DEL COMB	DELETED COMBINED PROJECT
DEL NEW ID	DELETED NEW IDENTIFICATION
DESC CHG	DESCRIPTION CHANGE
ENGR CHG	ENGINEERING CHANGE
FTA	FUND TRANSFER AGREEMENT
FUND CHG	FUND SOURCE CHANGE
LEAD CHG	LEAD AGENCY CHANGE
LIMIT CHG	LIMIT CHANGE
MINOR CHG	MINOR CHANGE
NEW COMB	NEW COMBINED PROJECT
NEW PAY	NEW PAYBACK PROJECT
NEW PRJ	NEW PROJECT
NEW PRJ ID	NEW PROJECT ID
NEW SPLIT	NEW SPLIT PROJECT
ON HOLD	HOLD STIP PROJECT
PRJ ALLOT	PROJECT ALLOTMENT
PRJ ALLOT2	PROJECT ALLOTMENT #2
PRJ ALLOT3	PROJECT ALLOTMENT #3
PRO AMEND	PROPOSED AMENDMENT
PRO VOTE	PROPOSED VOTE
R/W CHG	RIGHT OF WAY CHANGE
RE PGM	RE PROGRAMMED
SCH<	SCHEDULE ADVANCED
SCH>	SCHEDULE DELAY
SCOPE CHG	SCOPE CHANGE
SPLIT	SPLIT PROJECT
SPLIT SCH<	SPLIT PROJECT WITH SCHEDULE ADVANCE
SPLIT SCH>	SPLIT PROJECT WITH SCHEDULE DELAY
TCM - HOLD	TIMELY IMP ISSUE
TEAM	RESERVED FOR CMSD DEVELOPMENT TEAM
UN VOTE	UN VOTED PROJECT
VOTE	VOTED PROJECT

VOTE COMB	VOTED COMBINE PROJECT
VOTE EXT	VOTED EXTENSION
VOTE PAY	VOTED PAYBACK PROJECT
VOTE REV	VOTED REVISION
VOTE SCH<	VOTED PROJECT ADVANCED
VOTE SCH>	VOTED PROJECT DELAYED
VOTE SPLIT	VOTED SPLIT PROJECT

**3. Element Codes**

Element codes help to identify the project phase when the project is programmed or amended in the RTIP. For Federal Transit Administration funded transit projects, use the "FTA TEAM Milestones Translation Table" to translate between FTA TEAM Milestones and the RTIP database Element codes. The codes below match the codes available in the new RTIP Database.

ELEMENT CODES	DESCRIPTION
1	NO PROJECT ACTIVITY
2	ENVIRONMENTAL DOCUMENT / PRE-DESIGN PHASE (PAED)
3	ENGINEERING / PLANS, SPECIFICATIONS AND ESTIMATES (PS&E)
4	RIGHT-OF-WAY ACQUISITION
5	BID/ADVERTISE PHASE
6	CONTRACT AWARD
7	CONSTRUCTION / PROJECT IMPLEMENTATION BEGINS
8	CONSTRUCTION / IMPLEMENTATION COMPLETE, PROJECT OPEN FOR USE
9	FIRST VEHICLE DELIVERED
10	ALL VEHICLES DELIVERED
11	CONTRACT COMPLETE

FTA TEAM MILESTONE TRANSLATION TABLE	
TEAM MILESTONES	EQUIVALENT ELEMENT CODES
<b>Construction</b>	
RFP/IFB OUT TO BID	5
CONTRACT AWARD	6
CONSTRUCTION BEGINS	7
CONSTRUCTION COMPLETE	8
CONTRACT COMPLETE	11
<b>Acquisition</b>	
RFP/IFB OUT TO BID	5
CONTRACT AWARD	6
FIRST VEHICLE DELIVERED	9
ALL VEHICLES DELIVERED	10
CONTRACT COMPLETE	11

**4. Environmental Codes**

Environmental Codes identify the proposed environmental document or the actual environmental document type obtained for the project. Environmental codes are listed below.

ENVIRONMENT CODE	DESCRIPTION
CE	CATEGORICALLY EXEMPT
DCE	DRAFT CATEGORICALLY EXEMPT
DEIR	DRAFT ENVIRONMENTAL IMPACT REPORT
DEIS	DRAFT ENVIRONMENTAL IMPACT STATEMENT
DND	DRAFT NEGATIVE DECLARATION
FEIR	FINAL ENVIRONMENTAL IMPACT REPORT
FEIS	FINAL ENVIRONMENTAL IMPACT STATEMENT
FONSI	FINDING OF NO SIGNIFICANT IMPACT
ND	NEGATIVE DECLARATION
SE	STATUTORY EXEMPT
UN	UNKNOWN ENVIRONMENTAL STATUS

**5. Conformity Category**

The Conformity Category identifies projects that are exempt from conformity analysis, TCMs and non-exempt projects. Conformity category codes are listed below.

CONFORMITY CATEGORY
TCM
EXEMPT
NON-FEDERAL/NON-REGIONAL
NON-EXEMPT
COMMITTED TCM

**6. Fund Codes**

Fund Codes identify the specific type of funds programmed for each project. It is very important that Fund Codes be entered correctly as this can delay the obligation of funds. Fund codes listed below match the codes available in the new RTIP Database.

FUND CODES	DESCRIPTION
1112	RECREATIONAL TRAILS
2006EAR	FFY 2006 APPROPRIATIONS EARMARKS
5207	INTELLIGENT TRANS SYS
5307	FTA 5307 UZA FORMULAR
5307-OP	FTA 5307-OPERATING
5308	CLEAN FUEL FORMULA

5309a	FTA 5309(a) GUIDEWY
5309b	FTA 5309(b) NEW RAIL
5309c	FTA 5309(c) BUS
5310	FTA 5310 ELD & DISABI
5311	FTA 5311 NON-UZA
5311 PR	FTA 5311 NON UZA - PRIOR OBL
5313	STATE PLNG & RESEARCH
5316	FTA 5316 JOB ACCESS PROGRAM
5317	FTA 5317 NEW FREEDOM PROGRAM
5394	ROGAN HR5394
AB2766	STATE AB2766
ADCONST	LOCAL - ADVANCED CONSTRUCTION
AGENCY	AGENCY
AIR	AIR BOARD
AMTRAK	AMTRAK
BENEFIT	BENEFIT ASSESS DIST
BIA	BU OF INDIAN AFFAIRS
BONDL	BONDS - LOCAL
BR-LOCS	BRIDGE LOCAL SEISMIC
CBIP	FHWA CORRIDORS & BOARDERS PROGRAM
CITY	CITY FUNDS
CMAQ	CMAQ
CMAQ-AC	CMAQ-ADVANCE CONSTRUCTION
CMIA	CORRIDOR MOBILITY PROGRAM
CMOYER	CARL MOYER FUNDS
CO	COUNTY
DBR	BRIDGE DISCRETIONARY - REPLACEMENT/REHABILITATION
DEMISTE	DEMO - ISTE A
DEMO	DEMO-PRE ISTE A
DEMOACE	DEMO - SAFETEA LU ACE
DEMOSTL	DEMO-SAFETEA-LU
DEMOT21	DEMO - TEA 21
DEV FEE	DEVELOPER FEES
DOC	DEPT COMMERCE
DOD	DEFENSE FUNDS
DS-NG-G	GARVEE DEBT SERVICE
DS-NH-G	GARVEE DEBT SERVICE PAYMENT
EDA	EDA GRANT
ER-LOC	EMERGENCY RELIEF - LOCAL
ER-S	EMERGENCY RELIEF - STATE
ERVUMF	EASTERN RIV TUMF
FARE	FARE REVENUE
FEE	FEE
FLH	FOREST HWY
GEN	GENERAL FUNDS
GRV-NH	NATIONAL HIGHWAY SYSTEM (GARVEE)
GRV-NH1	GARVEE-NAT'L HWY IIP
GRV-NHR	GARVEE- NAT'L HWYRIP

GRV-STI	GARVEE-STP IIP
GRV-STP	SURFACE TRANS PROG - GARVEE
GRV-STR	GARVEE-STP RIP
HBRR-L	BRIDGE - LOCAL
HBRR-S	HBRR - STATE
HPP-ACC	ADVANCED CONSTRUCTION CONVERSION
HRRRP	HIGH RISK RURAL ROAD (HRRR_PROGRAM)
HUD	HOUSING & URBAN DEV
I	INTERSTATE
IBRC	INNOVATIVE BRIDGE RESEARCH & CONSTRUCTION PROGRAM
IM	INTERSTATE MAINTENANC
IM -EAR	INTERSTATE MAINTENANC - EARMARK
IM-4818	INTERSTATE MAINT. HR4818
IM-IIP	INTERSTATE MAINTENANCE - IIP
IM-RIP	INTERSTATE MAINTENANCE - RIP
IM-SHOP	INTERSTATE MAINTENANC-SHOPP
IS	INTERSTATE SUBSTITUT
LBSRA	LOCAL BRIDGE SEISMIC RETROFIT ACCOUNT
LOCA-AC	LOCAL - ADVANCED CONSTRUCTION
LOC-AC	LOCAL ADVANCE CONSTRUCTION
LTF	LOCAL TRANS FUNDS
MELLO	MELLO ROOS
NCIIP	NAT'L CORRIDOR INFRASTRUCTURE IMP PROGRAM
NH	NATIONAL HWY SYSTEM
NH-GIIP	NAT'L HWY - GRANDFATHER IIP
NH-GRIP	NAT'L HWY-GRANDFATHER RIP
NH-IIP	NATIONAL HWY SYSTEM - IIP
NH-RIP	NATIONAL HWY SYSTEM - RIP
NH-SHOP	NATIONAL HWY SYSTEM-SHOPP
NSBP	SCENIC BYWAYS DISCRET
ORA-BCK	ORANGE M - TURNBACK
ORA-FWY	ORANGE M - FREEWAY
ORAFWY2	ORANGE M2 - FREEWAY
ORA-GMA	ORANGE M - GMA
ORA-IIP	ORANGE M - IIP
ORA-PAH	ORANGE M - MPAH
ORA-RIP	ORANGE M - REG I/C
ORA-SIP	ORANGE M - SIGNALS
ORA-SSP	ORANGE M - SMARTST
ORA-TDM	ORANGE M - TDM
ORA-TRN	ORANGE M - TRANSIT
P116	PROP 116
PC10	PROP "C10" FUNDS
PC20	PROP "C20" FUNDS
PC25	PROP "C25" FUNDS
PC40	PROP C"40" FUNDS
PC5	PROP "C5" FUNDS
PLH	PUBLIC LAND HWYS

PNRS	PROJECTS OF NATIONAL & REGIONAL SIGNIFICANCE
PORT	PORT FUNDS
PROPA	PROP "A" FUNDS
PROPALR	PROP "A" LOCAL RETURN
PTA	PUBLIC TRANSIT ACCT
PTA-IIP	PUBLIC TRANSIT ACCT - IIP
PTA-PRI	PUBLIC TRANSIT ACCT - PRIOR STIP
PTA-RIP	PUBLIC TRANSIT ACCOUNT - RIP
P-TAX	PROPERTY TAX
PVT	PRIVATE FUNDS
RED	REDEVELOPMENT FUNDS
RSTP-AC	RSTP - ADVANCE CONSTRUCTION
SC3090	STATE CASH (AB 3090)
SEC112	SECTION 112
SEC115	SECTION 115
SEC117	SECTION 117
SEC330	SECTION 330
SHOPPAC	SHOPP - ADVANCE CONSTRUCTION
SLP	STATE LOCAL PARTNER
S-PARK	STATE PARK FUNDS
STA	STATE TRANSIT ASSIST
STA-BLA	STATE BIKE LANE ACT.
STAL-S	STATE LEGIS - STATE
STA-PUC	STATE PUC
STCASGI	STATE CASH - GRANDFATHERED IIP
ST-CASH	STATE CASH
STCASH3	STATE CASH - AB 3090
STCASHg	STATE CASH - GRNDFTHR RIP
STCASHI	STATE CASH - IIP
STCASHP	STATECASH - PRIOR STIP
STCASHR	STATE CASH - RIP
STCASHS	STATE CASH- SHOPP
STIPPRI	STIP PRIOR
STP	SURFACE TRANS PROG
STP4818	SURFACE TRANS PROG - HR4818
STPE	STP ENHANCE - PRIOR STIP
STPE-I	STP ENHANCE-IIP TEA
STPE-L	STP ENHANCE-LOCAL TEA
STPE-P	STP-ENTRANCEMENT PRIOR STIP
STPE-PR	STP ENHANCE-PRIOR RIP TEA
STPE-R	STP ENHANCE-RIP TEA
STPE-S	STP ENHANCE-SHOPP TEA
STPE-SH	STP ENHANCE-SHOPP TEA
STP-GI	STP-GRANDFATHER IIP
STPG-L	STP HAZARD SAFETY
STP-GR	STP-GRANDFATHER RIP
STP-IIP	SURFACE TRANS PROG - IIP
STPIIP3	SURFACE TRANS PROG-IIP AB 3090

STPL	STP LOCAL
STPL-R	STP LOCAL - REGIONAL
STP-RIP	SURFACE TRANS PROG - RIP
STPRIP3	SURFACE TRANS PROG-RIP AB3090
STPR-L	STP RAILROAD LOCAL
STPR-S	STP RAILROAD
STPSHOP	SURFACE TRANS PROG-SHOPP
ST-SPR	PARTNERSHIP PLANNING GRANT
TCP	TRADE CORRIDOR PROGRAM
TCRF	TRAFFIC CONGESTION RELIEF
TCSPPP	TRANS & COMM & SYS PRESRV PILOT PROG
TDA	TDA
TDA3	TDA ARTICLE #3
TDA4	TDA ARTICLE #4
TDA4.5	TDA ARTICLE #4.5
TDA4/8	TDA ARTICLE #4 & #8
TDA8	TDA ARTICLE #8
TPD	TRANS PLNG AND DEV
TRA FEE	TRAFFIC IMPACT FEES
UNIV	STATE UNIVERSITY
WRVTUMF	WESTERN RIV TUMF
XORA	MEASURE M
XRIV	RIV CO SALES TAX
XSBD	SBD CO MEASURE I

**B. RTP MODELED PROJECTS**

*The project list below will be updated to be consistent with the 2007 RTP when available.*

**RTIP STATUS OF 2004 RTP - PLAN\* PROJECTS**

*(MODELED FOR 2015 OR EARLIER IN 2004 RTP)*

Route/Program	From	To	Description	Model Year**	2004 RTIP PROJECT ID#
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**IMPERIAL COUNTY**

SR-78	at Proposed SDSU Campus in Brawley		Access improvements	2015	
SR-98	SR-111	Dogwood Rd/SR-98	Corridor improvements - widening and/or realignment	2015	
SR-111	South of SR-98	Port of Entry	Improvements	2015	
SR-111	SR-98	I-8	Upgrade to 4-lane freeway with interchange(s) at several locations	2015	
SR-111	SR-78 (Brawley)	SR-115 (Calipatria)	Upgrade to 4-lane conventional	2015	
SR-115	I-8	Evan Hewes Hwy	Construct 4-lane extension	2015	
Dogwood Rd Corridor / I-8 Overpass	SR-98	I-8	Corridor improvements - widen to 6 lanes from McCabe to I-8; I-8 improvement to 6 lanes	2015	

**LOS ANGELES COUNTY**

**HOV**

SR-14	Ave. P-8	Ave. L	Add 1 HOV lane each dir	2015	
I-710	I-10	Huntington Dr	Construct 1 HOV lane each dir	2015	

**MIXED FLOW**

I-710	I-10	Huntington Dr	Construct 3 MF lanes each dir	2015	
Gerald Desmond Bridge replacement			Replacement of existing bridge connecting Terminal Island to I-710	2010	

**TRANSIT**

Crenshaw Corridor			Transit Corridor (technology TBD)	2010	LA0D198 (ENG ONLY)
Gold Line Extension	Pasadena	Claremont	Light Rail	2015	
Metro Center Connector	Blue Line/Exposition Line	Gold Line	Downtown Light Rail Connector	2015	
Red Line Extension	Western Ave	Fairfax Ave	Subway	2015	

**ORANGE COUNTY**

**TOLL**

SR-91/SR-241			Add direct toll-to-toll or HOV connection from north/south SR-241 to SR-91 toll lanes to/from the east	2015	
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**MIXED FLOW**

SR-57 NB	Orangethorpe	Lambert	MF or Aux Capacity	2010	ORA120332 (PARTIAL)
SR-57 NB	at SR-91		Add 4th through lane	2010	ORA120332 (PARTIAL)
SR-91 EB/WB	SR-55	Riverside County Line	Add 1 MF lane each direction	2010	ORA120337 (PARTIAL, ENG ONLY)
SR-91 EB/WB	Truck scales	Imperial	Add storage lane at truck weigh in motion station	2010	

**AUXILIARY & INTERCHANGE/RAMPS**

SR-55	17th / 4th / I-5 area		Add southbound auxiliary lane from SR-22 to I-5 to address lane drop/merge issues	2010	
SR-55 SB	Dyer	MacArthur	Auxiliary lane	2010	
SR-91 WB	SR-71	SR-241	Add auxiliary lane	2010	
SR-91 EB	SR-241	SR-71	Add auxiliary lane EB which drops at Green River, another extends to SR-71	2010	ORA120336
SR-91 WB	NB SR-55	WB SR-91 at Tustin	Add auxiliary lane	2010	ORA120334
SR-91 WB	SR-57	I-5 (WB Only)	Add auxiliary lane	2010	ORA120335 (ENG ONLY)
I-405 NB	SR-133	Sand Canyon	Widen NB I-405 SR-133 to Sand Canyon, add aux lane	2005	
I-405 SB	Irvine Center Drive	Irvine Center Drive	Add 2nd auxiliary lane	2010	
I-405 NB	Jeffrey	Culver	Add auxiliary lane	2010	
I-5 NB/SB	La Paz Road		Re-construct interchange to increase storage capacity of ramps	2010	ORA000122

I-5 NB/SB	Avery Parkway		Avery parkway ramp relocation, reconfiguration, upgrades	2010	ORA55063
I-5 NB/SB	Jamboree Road		Provide two lanes off and widen terminal section of off-ramp, modify NB ramp	2010	ORA120359
I-5 NB/SB	I-5/SR-74 Separation		Rebuild interchange including widening of SR-74 overcrossing	2010	ORA120326 (ENG ONLY)
SR-91	Fairmont Drive		Add intermediate access to 91 Express Lanes at Fairmont Drive to/from the east	2010	
SR-91	Lakeview Interchange		Construct barrier-separated on-ramp (2 lanes) from SB Lakeview to WB SR-91	2010	

**TRANSIT**

Bus Rapid Transit	Countywide		Add Bus Rapid Transit in mixed traffic with signal priority on the following lines: Harbor ('07), Westminster ('09), Katella ('13), Beach ('11), La Palma ('15)	2010 to 2015	ORA020114 (???)
Track La Mirada Basta	La Mirada		DT Junction to La Mirada Triple Track	2005	

**TRUCK CLIMBING**

SR-57 NB	Lambert	Tonner Canyon Road	Truck Climbing Lane	2010	
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**RIVERSIDE COUNTY**

**HOV**

I-215	SR-60/SR-91/I-215 Jct	San Bernardino County Line	Add 1 HOV lane each direction (EA 467200)	2015	
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**MIXED FLOW**

I-215	SR-60/SR-91/I-215 Jct	San Bernardino County Line	Add 1 MF lane each direction (EA 467200)	2015	
SR-79	Ramona Expwy	Domenigoni Parkway	Realign highway (construct 4 lanes)	2015	RIV62024 (ENG & ROW)
SR-91	Pierce Street	Orange County Line	Add 1 MF lane each direction	2015	
CETAP - Cajalco/Ramona	Hemet	Corona/Lake Elsinore	Cajalco/Ramona expressway (3 lanes each dir) from Sanderson Ave to I-15	2010	RIV031218 (ENG ONLY)

**AUXILIARY & INTERCHANGE/RAMPS**

I-10	Calimesa @ County Line Rd (R4.0)	500 meters e/o Sandlwood Dr I/C (R4.3)	Replace Bridge, Ramps, Construct Auxiliary Lanes, and Realign Calimesa Rd (EA 0A710K)	2015	
SR-60	0.4 mi e/o I-15/SR-60 IC	0.2 mi e/o Main St	Add auxiliary lanes both directions	2010	
SR-91 WB	SR-71	Orange County Line	Add auxiliary lane	2010	
SR-91 EB	Orange County Line	SR-71	Add auxiliary lane EB which drops at Green River, another extends to SR-71	2010	
I-10	at Ave 50		Construct new interchange	2010	
I-10	at Calimesa Blvd/Sandalwood Dr	btwn 7th St & Sandalwood Dr	Reconstruct interchange/ramps	2010	
I-10	McNaughton Pkwy (approx. 3.38 mi e/o Dillon Rd)		Construct interchange	2010	RIV030901

I-10	at Portola Ave	btwn Dinah Shore & Varner	Construct new IC (4 lanes) and ramps incl. bridge over UPRR & Varner realignment	2010	RIV031209
I-10	at Monterey Ave		Reconfigure IC, add 1 NB lane, construct new WB entry loop ramp from Monterey & WB entry ramp from Varner, realign/relocate WB exit ramp	2005	RIV031208
I-15	at 6th St	btwn Hamner Ave & Sierra Ave	Reconstruct interchange/ramps	2010	
I-15	at Hidden Valley Pkwy	btwn Hamner Ave & Beyond NB Exit Ramp	Reconstruct interchange/ramps	2010	
I-215	at SR-74/4th St	btwn G St & San Jacinto Ave	Reconstruct interchange/ramps	2010	
I-215	at Cactus Ave	btwn W. Frontage Rd & Elsworth St	Reconstruct interchange/ramps	2010	
I-215	at Ethanac Rd	btwn Barnett Rd & Trumble Rd	Reconstruct interchange/ramps	2015	
I-215	at Nuevo Rd	btwn A St & E. Frontage Rd	Reconstruct interchange/ramps	2015	
I-215/SR-60	at Central Ave	btwn Springs Blvd & Watkins Dr	Reconstruct interchange/ramps	2010	
SR-60	at Etiwanda Ave	btwn San Sevaine Wy & Iberia St	Widen ramps 1 to 2 lanes. 0.1 mi.	2015	
SR-60	at Heacock St	btwn Hemlock Ave & Sunnymead Blvd	Reconstruct interchange/ramps	2015	
SR-86 S	at Ave 50		Construct interchange	2010	
SR-86 S	at Ave 52	btwn La Hernandez and Polk	Construct new interchange	2015	
SR-86 S	at Airport Blvd/Ave 56	btwn Orange & Fillmore	Construct new interchange (Spread-Diamond)	2010	
SR-86 S	at SR-195 (Avenue 66) R10.63/R11.43		Near Mecca, construct new interchange	2010	
SR-86 S	Tyler St w/o SR-86S	Tyler St e/o SR-86S	Construct new interchange	2015	
SR-91	at 14th St	btwn Olivewood Ave & Commerce St	Reconstruct interchange/ramps	2010	
SR-91	at Serfas Club Dr	btwn Frontage Rd & Wardlow Rd	Reconstruct interchange/ramps	2015	
SR-91	at University Ave	btwn Lemon St & Vine St	Reconstruct interchange/ramps	2010	

**TRANSIT**

Metrolink Commuter Rail			Metrolink Construct New Station At 3360 Van Buren Blvd In Riverside (Parking 550 Spaces)	2015	
Bus Rapid Transit	Corona	Moreno Valley	Bus Rapid Transit (BRT): 65 Intersections Retrofitted for Signal Priority for Transit and Automated Travel Information at 15 Bus Stops	2010	RIV041021, RIV041028
Bus Rapid Transit	Coachella Valley		Rapid Bus/BRT	2010	

**TRUCK CLIMBING**

I-10	San Bernardino County Line (R0.0)	Banning City Limits (12.9)	Add eastbound truck climbing lane	2015	
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**SAN BERNARDINO COUNTY****HOV**

I-215	Riverside County Line	I-10	Add 1 HOV lane each direction	2015	
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**MIXED FLOW**

I-10 WB	Yucaipa Bl	Ford St	Add 1 MF lane westbound	2015	200434
I-215	Riverside County Line	I-10	Add 1 MF lane each direction	2015	
I-215	I-10	SR-30	Add 1 MF lane each direction (restriping)	2010	200444
SR-18	0.8 mi west of Orchard Dr (PM 79.9)	2.1 mi west of Orchard Dr (PM 81.2)	Construct Passing Lanes (PM 79.9/81.2) and Turn Lanes (PM 73.76/84.33)	2010	
SR-83 (Euclid)	Merril Av	Kimball Av	Widen from 2 to 4 lanes each dir	2010	

**AUXILIARY & INTERCHANGE/RAMPS**

I-10 and I-215	On I-10 from 0.1 km w/o I-215 (PM 23.6) to 0.9km e/o SR-38 (PM 31.4)	On I-215 from Riverside County Line (PM 0.0) to Jct I-10/I-215 (PM 4.03)	Install Fiber Optic Communications (FOC) backbone system, Changeable message signs (CMS), Ramp metering stations (RMS), modify existing communication hub, CCTV, VDS, TOS Cabinets; widen on-ramps on I-10 and I-215; add aux lanes on I-10 (various locations)	2010	38420 (FOR I-10 PORTION)
US-395	NB from 0.84mi s/o Desert Flower Rd to 2.84mi n/o Purple Sage St, and from 4mi n/o Shadow Mountain Ave to 6.07mi n/o Shadow Mountain Ave	SB from 2.72mi n/o Purple Sage St to 0.95mi s/o Desert Flower Rd, and from 5.95mi n/o Shadow Mountain Ave to 3.88mi n/o Shadow Mountain Ave	Add Passing Lanes in both directions and adjust vertical and horizontal alignments	2015	
I-10	Waterman Av (PM 25.5)	Tippecanoe Ave (PM 26.27)	Add eastbound auxiliary lane (500m) and widen eastbound Tippecanoe off-ramp from 1 to 2 lanes	2005	200445
I-10	0.1 km e/o I-15 (PM 9.9)	0.4 km e/o I-215 (PM R24.5)	Install RMS, CCTV ESU; widen entrance ramps from 1 to 2 lanes at: EB & WB at Cherry Ave, Citrus Ave, Cedar Ave, Riverside Ave and Mt Vernon Ave; WB at Rancho Ave; EB at 9th St	2010	1830, 20020812, SBD31808, SBD45000 (PARTIAL)
I-10	0.8 km e/o Etiwanda Ave OC (PM 11.6)	1.5 km w/o Riverside Ave OC (PM 19.1)	In Fontana widen exit ramps from 1 to 2 lanes at Cherry Ave, Citrus Ave, & Cedar Ave IC to accommodate proposed aux lanes at Cherry Ave IC E/B aux lane PM 11.99/12.85, W/B Aux lane PM 13.38/13.68; Citrus Ave IC E/B aux lane only PM 14.58/14.88; Cedar Ave IC E/B aux lane PM 17.36/17.83, W/B aux lane PM 18.94/19.41	2010	1830, 20020812, SBD45000 (PARTIAL)
I-10	Beech Av		Interchange	2015	SBD031269
I-10	Live Oak Canyon		Interchange	2010	43320
I-15	Duncan Canyon Rd		New Interchange	2015	
I-15	Foothill Blvd (SR-66)		Add 400m deceleration lane on NB I-15 and widen NB off-ramp from 1 to 2 lanes	2005	200428
I-15	Oak Hill Rd		Replace overcrossing	2010	
I-15	Stoddard Wells Rd		Interchange	2010	35556
I-215	Barton Road		Widen over-crossing 2-4 lanes	2010	SBD31850

SR-60	Grove Av		Interchange/Ramps	2005	
I-10 and SR-60	Haven Av		Interchange Improvements	2015	

**TRANSIT**

San Bernardino-Redlands Extension	4th St/Mt. Vernon	Grove/Central	Extend rail service to Redlands (10 miles); rail technology TBD; 15-min. freq. daily	2015	
Gold Line Extension	Claremont in Los Angeles County	Montclair in San Bernardino County	Light Rail extension (1.5 miles)	2015	

**TRUCK CLIMBING**

I-15	Devore	Summit	Truck Climbing Lane	2010	
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**VENTURA COUNTY**

**MIXED FLOW**

SR-118	SR-232	Moorpark	Expressway	2015	
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**AUXILIARY & INTERCHANGE/RAMPS**

US-101	La Conchita	Mussel Shoals	Interchange Improvement	2005	VEN991101
US-101	At Del Norte Blvd		Interchange improvement and 4 lane overcrossing with left turn pocket	2010	

\* The 2004 RTP comprises three tiers of projects: Baseline, Tier 2, and Plan. Baseline and Tier 2 projects have already been programmed. This listing addresses only Plan projects, from the third tier.

\*\* Model Year indicates the earliest year for which the project was modeled for emissions analysis & conformity in the 2004 RTP. It may differ from the actual project completion year. Modeling for the RTP was conducted in 5-year increments: 2005, 2010, 2015, etc.

**C. AIR BASINS, NON-ATTAINMENT AREAS, AND AIR DISTRICTS IN THE SCAG REGION**

Within the SCAG region there are four air basins designated as non-attainment areas, which are administered by five air districts.

The four basins and non-attainment areas are as follows:

i. The South Coast Air Basin (SCAB):

The urbanized portions of the Los Angeles, Riverside, and San Bernardino counties as well as the entire County of Orange.

- The entire basin is a non-attainment area for the following pollutants: 8-hour Ozone; PM<sub>10</sub>; PM<sub>2.5</sub>; and CO, and maintenance for NOx

ii. The Ventura County portion of the South Central Coast Air Basin (SCCAB):

- The entire county is a non-attainment area for 8-hour Ozone.

iii. The Mojave Desert Air Basin (MDAB):

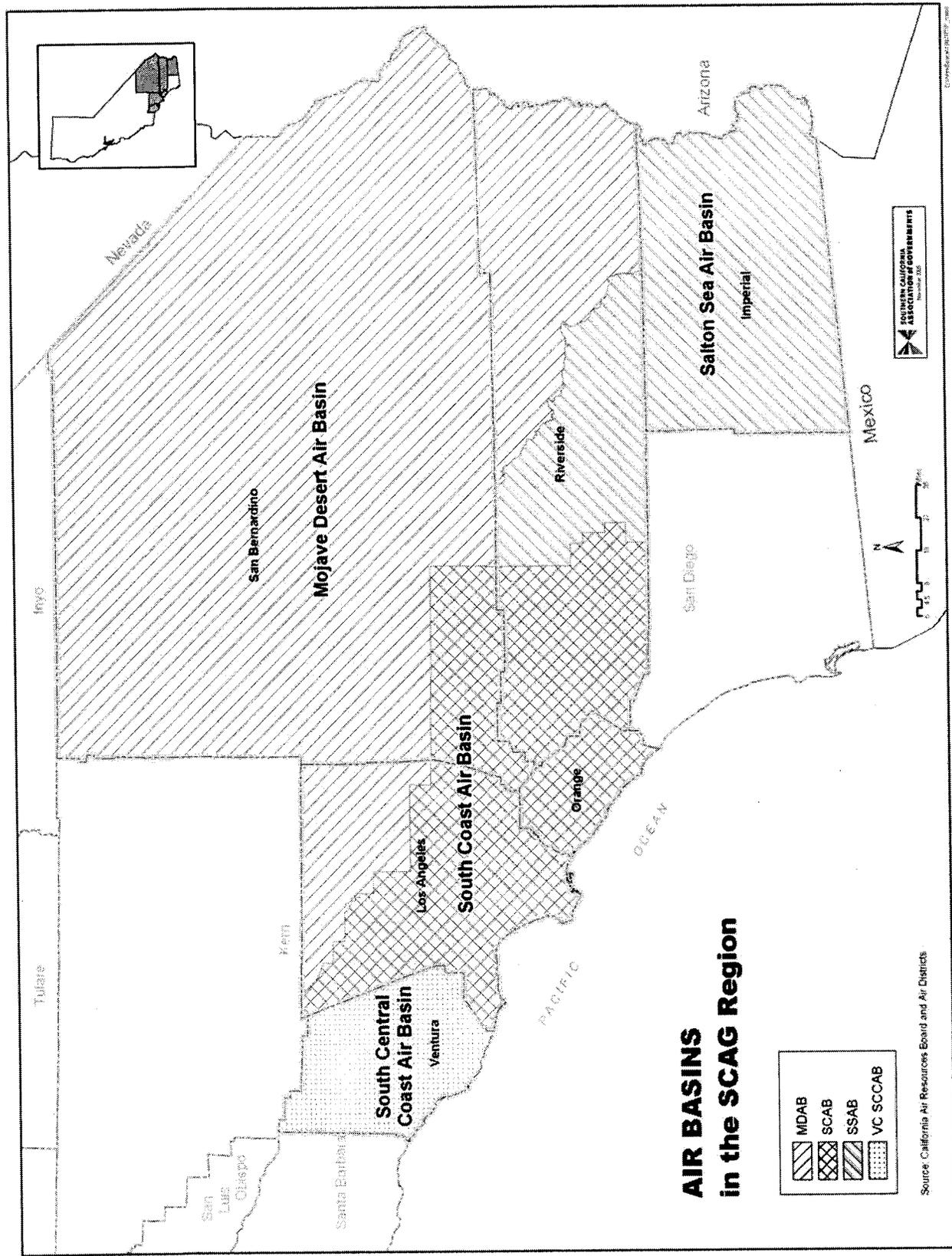
The desert portions of the Los Angeles, Riverside, and San Bernardino counties. A small portion of this air basin is in Kern County that is outside of the SCAG region.

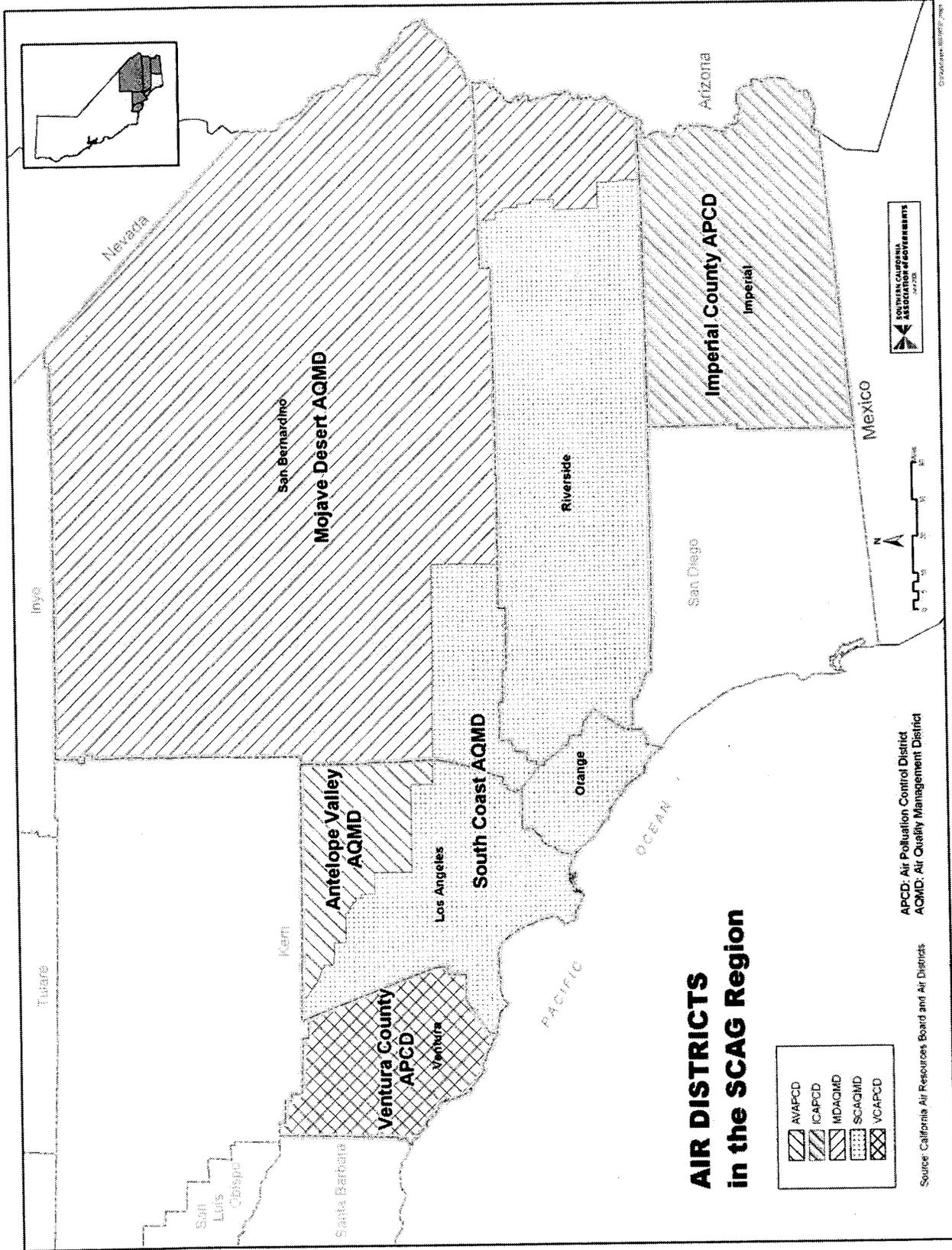
- Antelope Valley Portion of MDAB - The entire desert portion of Los Angeles County (known as Antelope Valley) is a non-attainment area for 8-hour Ozone.
- San Bernardino County Portion of MDAB
  - With the exception of the northern and eastern parts of the County the rest is a non-attainment area for 8-hour Ozone.

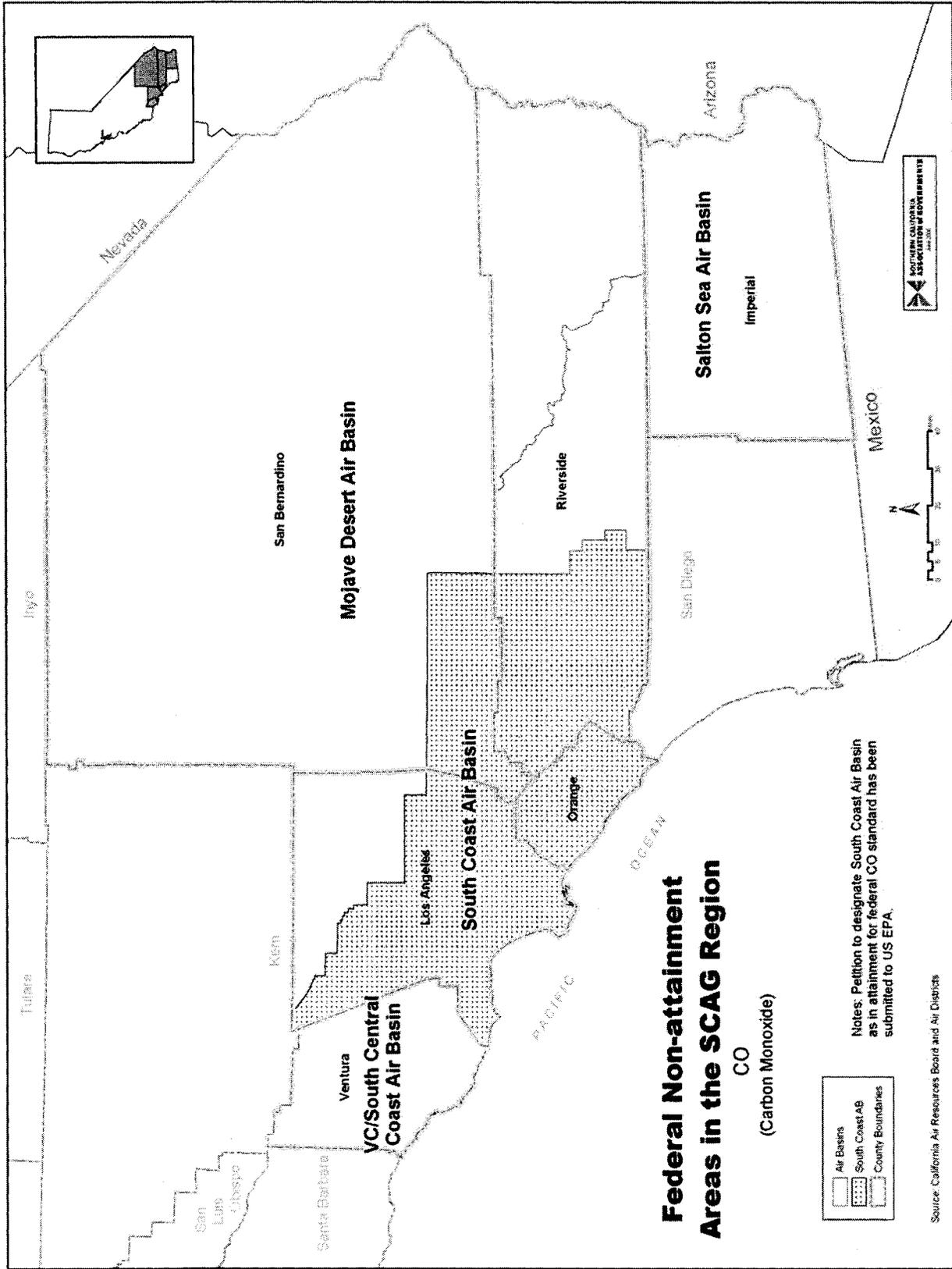
- Searles Valley (situated in the NW part of the County) is non-attainment for PM<sub>10</sub>.
  - San Bernardino County (excluding the Searles Valley area) within the MDAB is a non-attainment area for PM<sub>10</sub>.
- iv. The Salton Sea Air Basin (SSAB):  
 All of Imperial County and the central portion of Riverside County.
- Imperial County and the Riverside County Portion of SSAB – The Coachella Valley area and Imperial County are non-attainment areas for 8-hour Ozone and PM<sub>10</sub>.

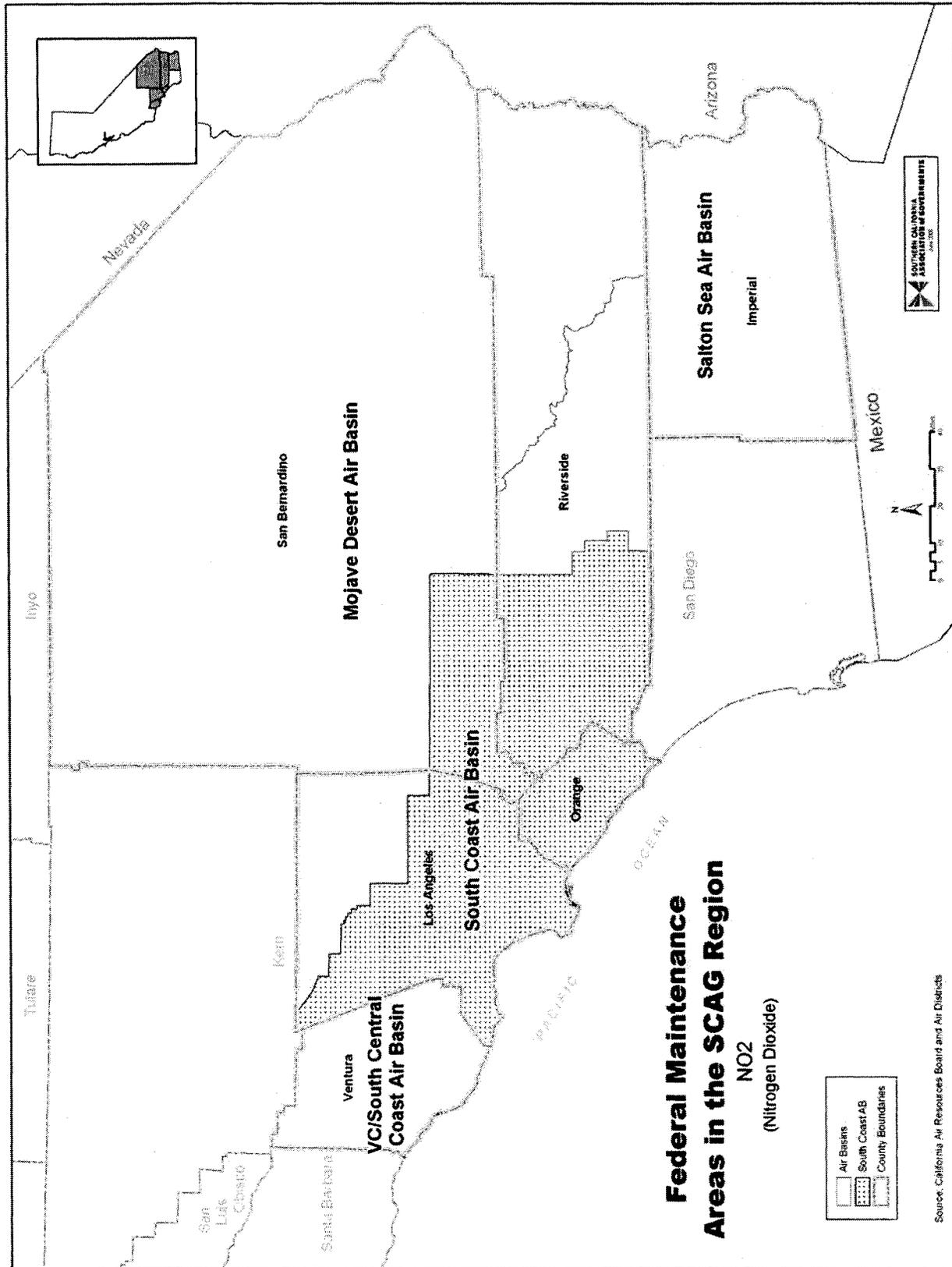
The five air districts and the areas they administer are as follows:

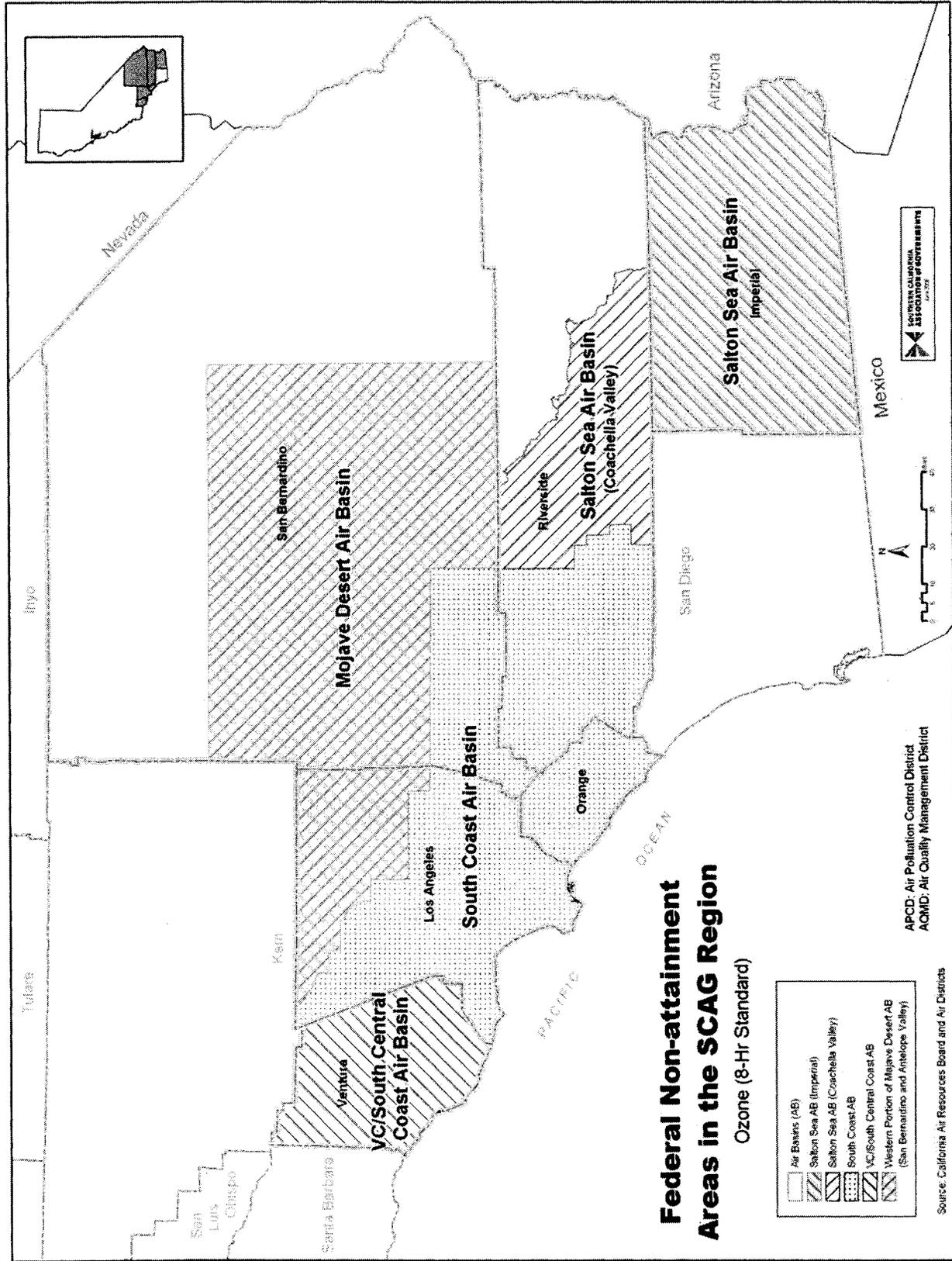
Air District	Jurisdiction
i. South Coast Air Quality Management District (SCAQMD).	The SCAB, the Riverside County portion of the SSAB (Coachella Valley), and the Riverside County portion of the MDAB (excluding Palo Verde Valley).
ii. Ventura County Air Pollution Control District (VCAPCD).	Ventura County portion of the SCCAB.
iii. Mojave Desert Air Quality Management District (MDAQMD).	Portions of the MDAB situated in San Bernardino County and eastern Riverside County. The Riverside County portion is known as the Palo Verde Valley Area.
iv. Antelope Valley Air Quality Management District (Antelope AQMD).	Los Angeles County portion of the MDAB.
v. Imperial County Air Pollution Control District (ICAPCD).	Imperial County portion of the SSAB.

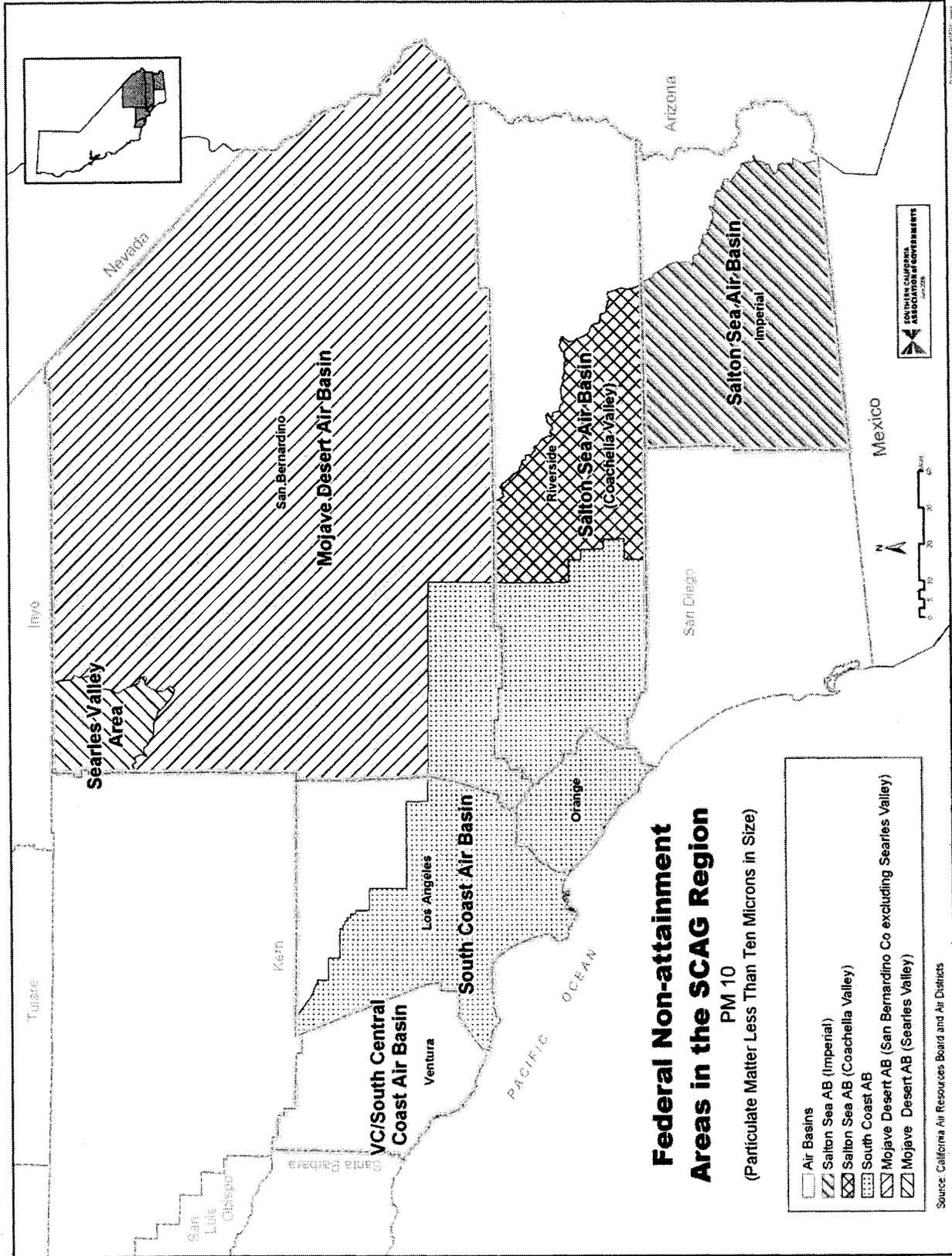


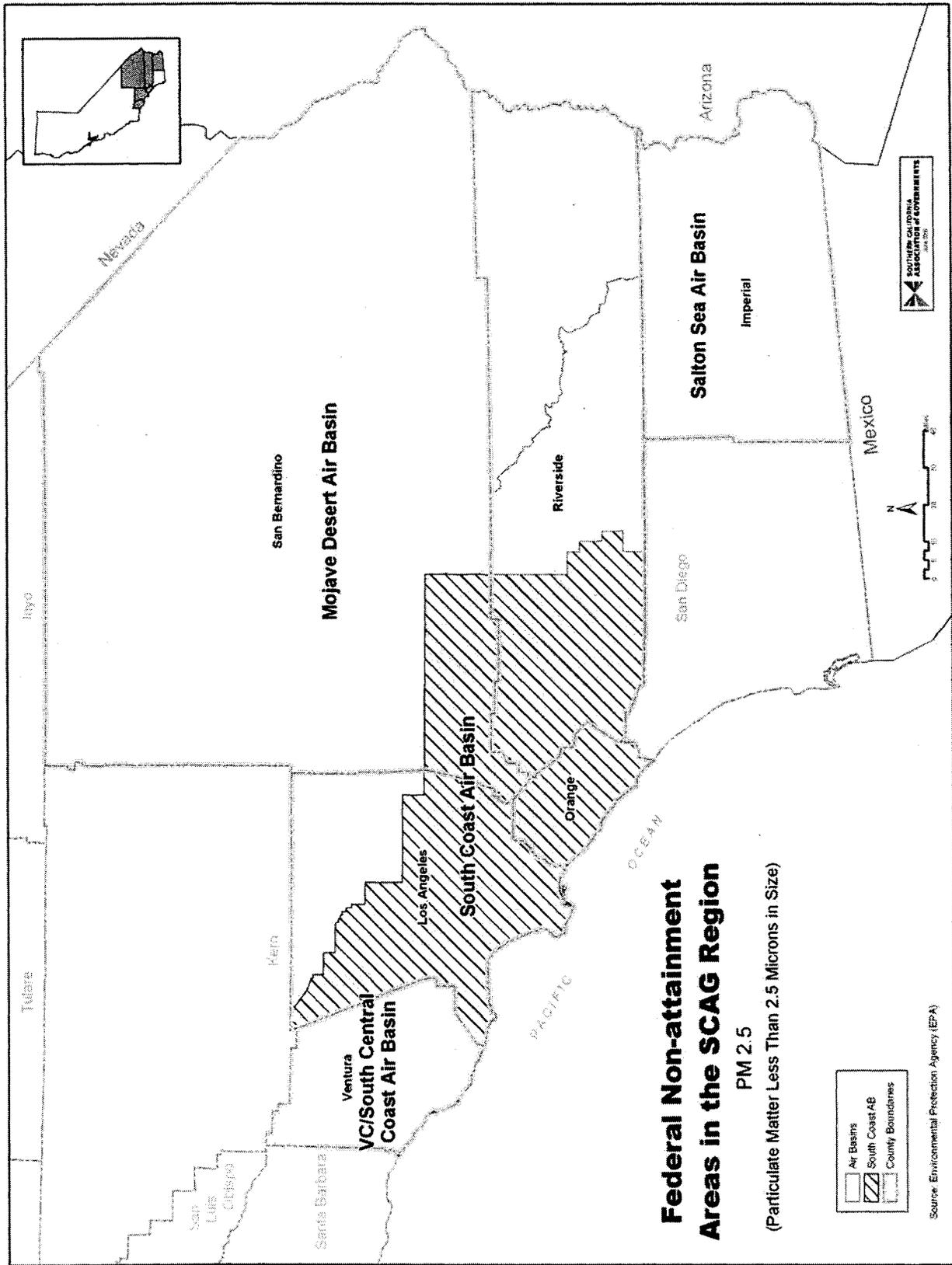














*Resolving Regional Challenges*

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# REPORT

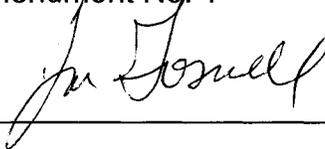
**DATE:** August 30, 2007

**TO:** Transportation and Communications Committee  
Executive Committee

**FROM:** Cheryl Collier, Communications Supervisor, 213.236.1942, [collier@scaq.ca.gov](mailto:collier@scaq.ca.gov)  
Justine Block, Deputy Legal Counsel, 213.236.1920, [block@scaq.ca.gov](mailto:block@scaq.ca.gov)

**SUBJECT:** Public Participation Plan Amendment No. 1

**EXECUTIVE DIRECTOR'S APPROVAL:**



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**RECOMMENDED ACTION:**

Recommend to approve and adopt Public Participation Plan Amendment No. 1.

**BACKGROUND:**

SCAG's Public Participation Plan serves as a guide for SCAG's public involvement process as well as the continuing, comprehensive and coordinated planning process among the stakeholders to ensure the ongoing opportunity for broad-based participation in the development and review of regional transportation plans and programs.

As a metropolitan planning organization (MPO), SCAG is responsible for preparing and utilizing a Plan which is developed in consultation with all interested parties and provides reasonable opportunities for interested parties to comment on the content of SCAG's Regional Transportation Plan (RTP) and the Transportation Improvement Program (RTIP) (also known as the Federal Transportation Improvement Program), pursuant to the "Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users" (SAFETEA-LU), Pub. L. No. 109-59, Title VI, Section 6001(a), 119 Stat. 1839 (Aug. 10, 2005).

SCAG made significant efforts to reach out to interested parties, encourage feedback, and involve interested parties in the development of the Plan's strategies and procedures and will continue these efforts in future updates to the Plan.

In March, the Regional Council adopted the Public Participation Plan. It was anticipated that future amendments may be needed as SCAG staff continued to work with FHWA and FTA on addressing the Department of Transportation's Statewide Transportation Planning; Metropolitan Transportation Planning; Final Rule published in the Federal Register on February 14, 2007.

The Public Participation Plan Amendment No. 1 includes Appendix "A" a new addition to the adopted Public Participation Plan that provides more explicit details as to SCAG's strategies, procedures and techniques for public participation on the RTP, RTIP and Overall Work Program (OWP).

# REPORT

As part of our continuing effort to engage interested parties in the development of our public participation activities, SCAG conducted an email survey of 3,600 individuals within SCAG's contact databases which asked several questions to help SCAG determine how to improve our public participation and outreach efforts. Comments from the 376 surveys received were considered in the development of strategies and procedures in the draft Public Participation Plan Amendment No 1. SCAG's Transportation and Communication Committee (TCC), at their July 12 meeting, approved the release of the Public Participation Plan Amendment No. 1 for a 45-day public comment and review period. Comments received thus far during the 45-day public comment period were reviewed and considered in the development of the final Public Participation Plan Amendment No. 1. At the time that this report was prepared, there have been no comments received from the Federal agencies on this Amendment, however, there is a meeting scheduled on August 21 with FHWA staff to discuss their comments. The close of the public comment period is 5:00 pm on August 28. Staff will report on any substantive comments received, if any, at the August 30 TCC meeting that would require a formal response or change to the Plan.

## FISCAL IMPACT:

This item has no fiscal impact on SCAG.

Reviewed by:

  
Division Manager

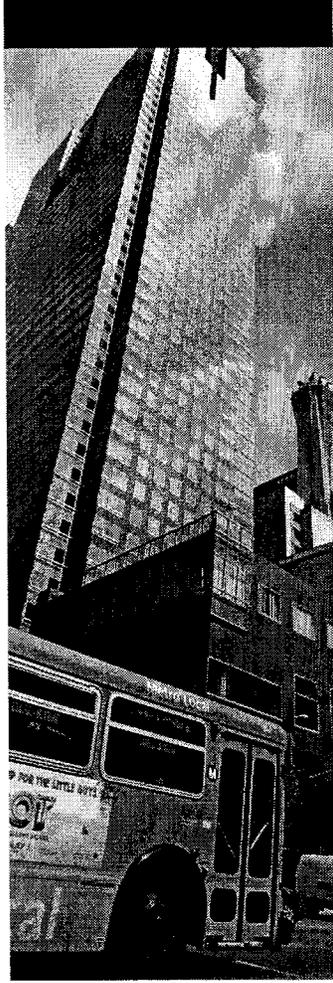
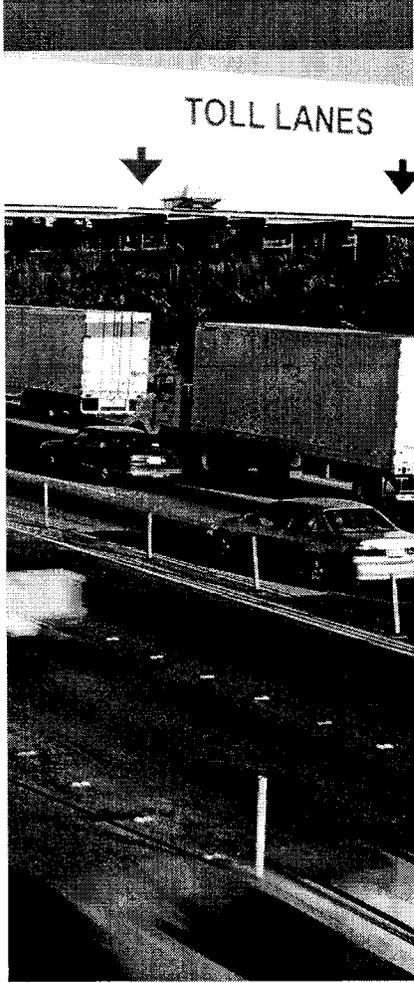
Reviewed by:

  
Department Director

Reviewed by:

  
Chief Financial Officer

Southern California Association of Governments  
PUBLIC PARTICIPATION PLAN



Amendment No. 1  
August 2007

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# Public Participation Plan

## Executive Summary

This Public Participation Plan (“Plan”) serves as a guide for SCAG’s public involvement process as well as the continuing, comprehensive and coordinated planning process among the stakeholders to ensure the ongoing opportunity for broad-based participation in the development and review of regional plans and programs.

As a metropolitan planning organization (MPO), SCAG is responsible for preparing and utilizing a Plan which is developed in consultation with all interested parties and provides reasonable opportunities for interested parties to comment on the content of SCAG’s Regional Transportation Plan (RTP) and the Transportation Improvement Program (RTIP). Detailed strategies, procedures, and techniques for carrying out the participation process for the RTP, RTIP, and Overall Work Program (OWP), are described in the Plan.

To ensure compliance with federal and state requirements, SCAG intends to outreach to and seek participation from the following participants in the development of regional plans and programs: citizens, affected public agencies, representatives of transportation agency employees, freight shippers, providers of freight transportation services, private providers of transportation, representatives of users of public transit, representatives of users of pedestrian walkways and bicycle transportation facilities, representatives of the disabled, Tribal Governments, transit operators, governmental agencies and non-profit organizations and other interested parties such as the subregions, ethnic and minority groups, older and retired persons, special interest non-profit agencies, environmental groups, educational institutions, women’s organizations, and the private sector.

SCAG made significant efforts to reach out to interested parties, encourage feedback, and involve interested parties in the development of the Plan’s strategies and procedures and will continue these efforts in future updates to the Plan.

# Public Participation Plan

## Amendment No. 1

**“Never doubt that a small group of thoughtful, concerned citizens can change the world. Indeed, it is the only thing that ever has.” *Margaret Mead***

### Purpose of SCAG’s Public Participation Plan

The awareness and involvement of interested persons in governmental processes are critical to successful regional transportation planning and programming. When the public is engaged in the process, their feedback helps assure projects address community needs. Likewise, the public gains a better understanding of the tradeoffs and constraints associated with transportation planning. This Public Participation Plan (“Plan”) serves as a guide for SCAG’s public involvement process as well as the continuing, comprehensive and coordinated planning process among the stakeholders to ensure the ongoing opportunity for broad-based participation in the development and review of regional plans and programs.

### Introduction

Since its inception, the Southern California Association of Governments (SCAG) has engaged in a public involvement process in developing its regional transportation plans and programs. As a result of changes in the metropolitan planning law in 2005, SCAG will broaden its current participation activities to engage a more extensive group of stakeholders in its planning and programming processes.

As a metropolitan planning organization (MPO), SCAG is responsible for preparing and utilizing a Plan which is developed in consultation with all interested parties and provides reasonable opportunities for interested parties to comment on the content of SCAG’s Regional Transportation Plan (RTP) and the Transportation Improvement Program (RTIP) (also known as the Federal Transportation Improvement Program), pursuant to the “Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users” (SAFETEA-LU), Pub. L. No. 109-59, Title VI, Section 6001(a), 119 Stat. 1839 (Aug. 10, 2005).

The participation procedures incorporated into this Plan are intended to afford interested parties a specific opportunity to comment on the Plan prior to its approval. The Plan contains an expanded list of Interested Parties, including governmental agencies and nonprofit organizations that receive Federal assistance from a source other than the Department of Transportation (DOT) to provide non-emergency transportation services and recipients of assistance under 23 U.S.C. 204.

In addition to developing and carrying out a Plan, SCAG is required to consult with State, local, and Tribal Governments in development of its RTPs and RTIPs. SCAG is specifically required to consult with agencies and officials responsible for other planning activities within the region that are affected by SCAG's RTP and RTIP (including, as appropriate, State & local agencies responsible for land use management, natural resources, environmental protection, conservation, and historic preservation).

As part of developing other plans and programs for which SCAG is responsible, SCAG carries out additional participation activities, including but not limited to: collaboration with transportation partners in development of the SCAG Overall Work Program, pursuant to 23 C.F.R. 450.314 and State guidance; scoping meetings and public review of the Draft Program EIR (PEIR) for the RTP, as required by applicable California Environmental Quality Act (CEQA) Guidelines, 14 C.C.R. Ch. 3, Art. 7; and, public participation in the development of a methodology for the Regional Housing Needs Allocation Plan, pursuant to Govt. Code Section 65584.04(c).

This Plan is intended to guide the participation process and to coordinate the process with SCAG's consultation activities and other responsibilities. Detailed strategies, procedures, and techniques for carrying out the participation process for the RTP, RTIP, and Overall Work Program (OWP), are described in "Appendix A," of this Plan, and incorporated herein by this reference.

#### Public Participation Plan Requirements

SCAG's Public Participation Plan must comply with the following requirements provided under 23 U.S.C. 134, subsections (i)(5), and (j)(1)(B) which are summarized as follows:

1. SCAG shall provide citizens, affected public agencies, representatives of public transportation employees, freight shippers, providers of freight transportation services, private providers of transportation, representatives of users of public transportation, representatives of users of pedestrian walkways and bicycle transportation facilities, representatives of the disabled,

and other interested parties with a reasonable opportunity to comment on the RTP.

2. The participation plan shall be developed in consultation with all interested parties, and shall provide that all interested parties have reasonable opportunities to comment on the contents of the transportation plan.
3. In carrying out the participation process, SCAG must, to the maximum extent practicable--
  - (i) hold any public meetings at convenient and accessible locations and times;
  - (ii) employ visualization techniques to describe plans; and
  - (iii) make public information available in electronically accessible format and means, such as the World Wide Web, as appropriate, to afford reasonable opportunity for consideration of public information under paragraph 1 above.
4. The RTP shall be published or otherwise made readily available by the metropolitan planning organization for public review, including (to the maximum extent practicable) in electronically accessible formats and means, such as the World Wide Web, approved by the metropolitan planning organization and submitted for information purposes to the Governor at such times and in such manner as the Secretary shall establish.
5. In developing the RTIP and before approving the RTIP, SCAG, in cooperation with the State and any affected public transportation operator, shall provide an opportunity for participation by interested parties in the development of the program, in accordance with the same requirements described above.

The Public Participation Plan further incorporates the requirements of the applicable regulations, 23 CFR 450.316(a) (See 72 FR 7273; February 14, 2007), as follows:

(a) The MPO shall develop and use a documented participation plan that defines a process for providing citizens, affected public agencies, representatives of public transportation employees, freight shippers, providers of freight transportation services, private providers of transportation, representatives of users of public transportation, representatives of users of pedestrian walkways and bicycle transportation facilities, representatives of the disabled, and other interested parties with reasonable opportunities to be involved in the metropolitan transportation planning process.

(1) The participation plan shall be developed by the MPO in consultation with all interested parties and shall, at a minimum, describe explicit procedures, strategies, and desired outcomes for:

(i) Providing adequate public notice of public participation activities and time for public review and comment at key decision points, including but not limited to a reasonable opportunity to comment on the proposed metropolitan transportation plan and the TIP;

(ii) Providing timely notice and reasonable access to information about transportation issues and processes;

(iii) Employing visualization techniques to describe metropolitan transportation plans and TIPs;

(iv) Making public information (technical information and meeting notices) available in electronically accessible formats and means, such as the World Wide Web;

(v) Holding any public meetings at convenient and accessible locations and times;

(vi) Demonstrating explicit consideration and response to public input received during the development of the metropolitan transportation plan and the TIP;

(vii) Seeking out and considering the needs of those traditionally underserved by existing transportation systems, such as low-income and minority households, who may face challenges accessing employment and other services;

(viii) Providing an additional opportunity for public comment, if the final metropolitan transportation plan or TIP differs significantly from the version that was made available for public comment by the MPO and raises new material issues which interested parties could not reasonably have foreseen from the public involvement efforts;

(ix) Coordinating with the statewide transportation planning public involvement and consultation processes under subpart B of this part; and

(x) Periodically reviewing the effectiveness of the procedures and strategies contained in the participation plan to ensure a full and open participation process.

(2) When significant written and oral comments are received on the draft metropolitan transportation plan and TIP (including the financial plans) as a result of the participation process in this section or the interagency consultation process required under the EPA transportation conformity

regulations (40 CFR part 93), a summary, analysis, and report on the disposition of comments shall be made as part of the final metropolitan transportation plan and TIP.

(3) A minimum public comment period of 45 calendar days shall be provided before the initial or revised participation plan is adopted by the MPO. Copies of the approved participation plan shall be provided to the FHWA and the FTA for informational purposes and shall be posted on the World Wide Web, to the maximum extent practicable.

### Consultation Requirements

SCAG must consult, as appropriate, with State and local agencies responsible for land use management, natural resources, environmental protection, conservation, and historic preservation concerning the development of a long-range transportation plan. The consultation shall involve, as appropriate:

- 1) Comparison of transportation plans with State conservation plans or maps, if available; or
- 2) Comparison of transportation plans to inventories of natural or historic resources, if available.

See 23 U.S.C Section 134(i)(4).

Furthermore, under the metropolitan planning process, RTPs and TIPs must be developed with due consideration of other related activities within the region, and the process must provide for the design and delivery of transportation services within the region that are provided by:

- 1) Recipients of assistance under Chapter 53 of Title 49 U.S.C.
- 2) Governmental agencies and nonprofit organizations (including representatives of the agencies and organizations) that receive Federal assistance from a source other than the Department of Transportation to provide non-emergency transportation services; and
- 3) Recipients of assistance under 23 U.S.C Section 204.

See 49 U.S.C Section 5303.

Consultation requirements are accomplished primarily through our policy committees and task force structure. Policy committees are primarily made up of local elected officials. There are several issue-specific as well as mode-specific task forces that are on-going as well as some that are created for a specific purpose and specific time frame. All of these task forces forward their recommendations to policy committees. Examples of these task forces include:

Transportation Finance Task Force, Aviation Task Force, Goods Movement Task Force, Regional Transit Task Force, and the Plans and Programs Technical Advisory Committee. Membership on these task forces and working groups includes elected officials as well as stakeholder agency representatives. The stakeholders have a direct pipeline to SCAG's planning processes through these task forces. SCAG proposes to expand the membership of some of these task forces to ensure inclusion of the broader stakeholders and interest groups identified in SAFETEA-LU.

In addition, SCAG conducts several workshops prior to releasing the Draft RTP involving stakeholders to ensure that their input on major issues is addressed in the plan.

SCAG also utilizes the subregional council of governments (COG) structure to "get the word out" and solicit input on the content as well as the planning and programming process from the local stakeholders.

SCAG mails out a Notice of Draft RTP and RTIP Availability to the stakeholders at the local, state and federal level to solicit their comment and input to the final RTP and RTIP. Comments as well as responses are fully documented and reflected in the final RTP.

SCAG will continue to engage Tribal Governments in the RTP and RTIP processes through Tribal Government representation on SCAG's governing board and policy committees, and through the Tribal Governments Relations Task Force.

#### Bottom-Up Planning and Interagency Consultation

An expanded 70-member Regional Council and the fostering of 14 subregional organizations were initiated by the former Executive Committee in 1992. These forums, coupled with three policy committees and 20 standing committees and technical advisory committees, and the "AB 1246 process" (required under Public Utilities Code Section 130000 et seq.) facilitate SCAG's ability to provide a framework for bottom-up planning and more frequent and ongoing participation by interested parties at all stages of the process.

In addressing the requirements of the AB 1246 process, the multi-county designated transportation planning agency convenes at least two meetings annually of representatives from each of the five commissions, the agency, and the Department of Transportation for the following purposes:

- (a) To review and discuss the near-term transportation improvement programs prior to adoption by the commissions.

- citizens
- affected public agencies
- representatives of transportation agency employees
- freight shippers
- providers of freight transportation services
- private providers of transportation
- representatives of users of public transit
- representatives of users of pedestrian walkways and bicycle transportation facilities
- representatives of the disabled
- Tribal Governments
- transit operators
- governmental agencies and non-profit organizations that receive Federal assistance from a source other than the Department of Transportation (DOT) to provide non-emergency transportation services and recipients of assistance under section 204 of Title 23 U.S.C .
- and other interested parties (e.g. subregions, ethnic and minority groups, older and retired persons, special interest non-profit agencies, environmental groups, educational institutions, women's organizations, private sector)

The following goals and procedures are designed to encourage participation and provide opportunities to comment on the development and approval of SCAG's RTPs, RTIPs, the Regional Comprehensive Plan, (In addition to this Plan, SCAG adheres to the public process required by CEQA for our PEIR and related environmental review documents.) and other products prepared by SCAG that statutorily require public participation or for which the Regional Council determines is necessary.

### Public Participation Plan Goals

The five primary goals of SCAG's Public Participation Plan include:

- Goal 1: Implement an open and ongoing participation process that ensures citizen, agency and interested party participation in, and input into, regional transportation planning and programming.
- Goal 2: Provide full public access, information and input to key decisions in the regional transportation planning process.
- Goal 3: Disseminate clear, concise and up-to-date information to citizens, affected agencies and interested parties.
- Goal 4: Provide timely responses to issues, concerns, and comments raised by the public regarding the development and implementation of regional transportation plans, programs, and projects. Ensure

- (b) To review and discuss the regional transportation plan prior to adoption by the agency pursuant to Chapter 2.5 (commencing with Section 65080) of Title 7 of the Government Code.
- (c) To consider progress in the development of a regionwide and unified public transit system.
- (d) To review and discuss any other matter of mutual concern.

The Regional Transportation Agencies Coalition is currently fulfilling the function of the AB 1246 process.

SCAG has a memorandum of understanding (MOU) with the South Coast Air Quality Management District (SCAQMD) on transportation and air quality conformity consultation procedures for the South Coast Air Basin and for the Riverside County portions of the Salton Sea Air Basin and the Mojave Desert Air Basin. Parties to the MOU include: SCAQMD, Los Angeles County Metropolitan Transportation Authority, Orange County Transportation Authority, Riverside County Transportation Commission, San Bernardino Associated Governments, California Department of Transportation (Caltrans), California Air Resource Board, and the Federal Highway Administration.

Likewise, SCAG has an MOU for transportation and air quality conformity consultation procedures with the Ventura County Air Pollution Control District (VCAPCD) for the Ventura County portion of the South Central Coast Air Basin (SCCAB). Parties to the MOU include: VCAPCD, Ventura County Transportation Commission, Caltrans, California Air Resources Board, Federal Highway Administration and the Federal Transit Administration.

To support interagency coordination and fulfill the interagency consultation requirements of the Federal Transportation Conformity Rule, SCAG participates in the Transportation Conformity Working Group (TCWG). The group meets on a monthly basis to address and resolve regional issues pertaining to transportation conformity for the RTP, RTIP, RTP and TIP amendments and the region's air quality management plans.

Participants in the Southern California TCWG include representatives from federal, state, regional and sub-regional agencies such as the United States Environmental Protection Agency (both national and regional representatives), Federal Highway Administration, Federal Transit Administration, California Air Resources Board, California Department of Transportation, Air Quality Management Districts, SCAG, and County Transportation Commissions.

### Interested Parties

To ensure compliance with SAFETEA-LU requirements and other federal and state mandates, SCAG intends to target the following participants in the region:

- that the comments received are considered and incorporated into the deliberations regarding proposed plans and programs.
- Goal 5: Enhance the participation process including reaching out to those communities that have been underrepresented and/or underserved.

#### Public Participation Plan Procedures in Obtaining Goals

- Goal 1: Implement an open and ongoing participation process that ensures citizen, agency and interested party participation in, and input into, regional transportation planning and programming.
- SCAG's participation program will include public outreach and communications for all major plans and programs. This includes establishing procedures and responsibilities for (1) informing, involving and incorporating public opinion into the planning process, (2) consultative involvement of designated agencies (i.e., federal, state and local agencies, county transportation commissions and air quality management/pollution control districts) on technical data and modeling used in developing regional plans and determining transportation improvement program and regional transportation improvement program conformity, (3) designating lead staff persons who are knowledgeable about the entire planning process to be responsible for the participation program, and (4) providing adequate funds and staff resources to implement the participation program.
  - Stress the requirement to encourage, assess and provide for public participation to staff, consultants, stakeholder organizations and others as well as stress the importance of an inclusionary process and dialogue and encourage staff to regard citizens, subregional organizations and agencies as working partners.
  - Interact and seek input from a broad spectrum of interested stakeholders through various task forces and working groups that meet on a regular, on-going basis to review, discuss, and provide feedback on various SCAG initiatives, plans and programs.
  - Integrate the outreach effort of the subregional organizations and transportation and air quality agencies into the SCAG process.

- Encourage proponents and opponents to participate in the regional planning process and acknowledge the value of their input.
- Update and maintain the contact databases and audience categories within the Communication and Management System (CMS). Expand current list categories to include the additional list of parties outlined in SAFETEA-LU. These contact databases should be reviewed and updated at least twice per year and on an on-going basis as individual changes occur.
- Provide outreach to citizens, groups, agencies and subregional organizations and inform them of how their involvement has affected the plan.
- Assemble, organize and equip a participation and outreach team of transportation planners, environmental planners, analysts and other technical staff, public affairs staff, management staff, and elected officials to conduct presentations, hold briefings, workshops and hearings during the year to diverse groups and organizations throughout the region.
- Conduct hands-on, interactive workshops such as the Compass workshops, to encourage community involvement and participation and obtain feedback from local residents, regional stakeholders and local governments (planners, demographers, and elected officials).
- Provide outreach assistance, including to under-represented areas, using Member Relations Officers who are geographically focused and knowledgeable on the issues of the subregion.
- Train staff in effective communication and public relations skills by providing clear, consistent and concise primary messages for media and public involvement and interaction.
- Complete target group and media mailing lists for targeted audiences and determine the best methods for distributing information: speaker's bureau, fact sheets, brochures, flyers, white papers, plan summaries, newsletters, PowerPoint presentations, press releases, public service announcements, press advisories, press conferences, telephone and personal interviews.

- Develop memoranda of understanding or agreements with appropriate agencies, as needed.
- Participate in regular monthly meetings with the CEOs of the county transportation commissions.

Goal 2: Provide full public access, information and input to key decisions in the regional transportation planning process.

- Utilize SCAG's website to provide information, announce draft and final plan releases, encourage feedback and comments from the public, make draft and final plans and corresponding documents available, provide contact information, educate about SCAG and SCAG initiatives, inform of upcoming events and meetings, post meeting agendas and minutes and provide publications. Ensure that the information available is easy-to-read and accessible and that the web site is compliant with the 1990 Americans with Disabilities Act.
- Post public notices of the draft product in at least one major newspaper in each of the six member counties and include community newspapers and ethnic press.
- Follow up on public notices to increase participation. Assign staff to look out for non-participating public interests.
- Conduct at least one public hearing for the draft RTP, TIP and EIR and other major plans as needed. Announce public hearings in printed materials, on SCAG's website, and in local newspapers. Provide translation services at these hearings, if needed.
- Develop procedures for public hearings. Include the time to be allotted to each speaker and how the order of appearance is determined. A written explanation of adopted procedures should be distributed to participants both prior to and at the hearing. Make arrangements for the submission of written statements in addition to verbal comments.
- Hold monthly meetings with the subregional coordinators (representatives of the 14 subregions) to review upcoming Regional Council and Policy Committee agendas and conduct other coordinating activities.

- Keep interested parties informed with progress reports during the product development, review and adoption phases.

Goal 3: Disseminate clear, concise and up-to-date information to citizens, affected agencies and interested parties.

- SCAG, together with its subregional partners and other stakeholder organizations, will notify interested parties through traditional meeting announcements, newspapers, public service announcements, press releases, special mailers, publications and agendas of committees, meetings, workshops, briefings, website postings, email communications and other opportunities to participate, as appropriate.
- Make electronically accessible to the public, all draft and final plans, fact sheets, publications such as *Your Guide to SCAG*, the *Benefits of Membership*, *Member Handbook* and the *Legislative Reference Guide*, the Overall Work Program, the eVision newsletter, key PowerPoint presentations, meeting agendas and minutes, data and other planning-related information, and a calendar of upcoming events on SCAG's website at [www.scag.ca.gov](http://www.scag.ca.gov). Encourage public involvement on the web site. Ensure that the information provided is up-to-date, accessible and easy-to-understand.
- Provide complete and easy-to-understand information, including summaries and one-page fact sheets on major plans and initiatives at the beginning of and throughout the planning process and define the issues and alternatives in a concise, straightforward and consistent manner.
- Update annually and disseminate SCAG's citizen guide "Your Guide to SCAG" which succinctly informs the public about SCAG and the regional planning process, highlights major SCAG initiatives, cites the importance of public involvement, invites participation, and identifies key contacts.
- Provide updated information about SCAG's activities, plans, actions, upcoming events, legislative efforts, and subregional activities in the eVision electronic newsletter which is disseminated to local elected officials, legislators, subregions, commissions, air districts, other interested parties and members of the public at least eight times per year. The eVision newsletter is accessible through SCAG's website. In addition, archival copies are readily available on the site.

- Maintain and update media mailing lists that include metropolitan and local community newspapers, radio, television and cable outlets, trade journals, wire services, ethnic and foreign-language media, government and legal publications and special interest press directed at older Americans, the disabled, Native Americans and students.
- Implement the media outreach strategies contained in the agency's overall Communications Strategy. This includes press releases, media advisories, calendar advisories, media interviews on television and radio talk shows and public affairs programs, public notices, op-ed articles in local newspapers, editorial board meetings, and development of consistent media messages on major SCAG initiatives, and outreach to ethnic and foreign language press.
- Develop printed materials, fact sheets, brochures, summaries, fliers, PowerPoint presentations, relating to SCAG and SCAG's initiatives and other publications for general population distribution in concise, understandable, non-technical language.
- Maintain an updated calendar of events on SCAG's web site, accessible 24 hours a day, 7 days a week.
- Translate the most significant web site information and printed materials into other languages when needed and contingent upon resource and budget availability. Include the ethnic press in media advisories, press releases, press conference notifications, calendar advisories and other media communications. Maintain and update ethnic press contacts in the media contact database.
- Disseminate the *Challenges Facing Southern California* brochure at meetings, conferences, through mailings, and in SCAG's lobby area which highlights SCAG's major initiatives, invites participation within the community, solicits feedback and encourages citizens to "Get Informed and Get Involved."
- Make presentations on various SCAG initiatives throughout the region to citizens, community groups, environmental groups, business organizations, minorities, faith-based organizations, subregions, other stakeholders, and other interested parties. Staff throughout the organization, along with Regional Council members, will conduct the presentations. Determine the

appropriate staff and agency representatives to speak on policy, technical and media issues. Staff will proactively encourage presentations be included on various meeting agendas.

- Prepare technical and non-technical PowerPoint presentations for workshop, conference, hearings and other meeting use to showcase SCAG and SCAG's initiatives and simplify the regional planning process. Ensure that the presentations are easy-to-understand, interesting, and invites participation and involvement. Utilize graphics and animation to make the presentations more interesting and inviting. Tailor presentations to the audience by including subregional statistics and addressing primary areas of audience concern. Enhancements to the presentations should be based on community input and speaker feedback. Maintain a library of all PowerPoint presentations created. Post relevant PowerPoint presentations on SCAG's web site for public access.
- Utilize visualization techniques whenever possible such as maps, videos, PowerPoint presentations with graphics and animation, flowcharts, computer simulation, interactive GIS systems, photorealistic visualizations, video fly-throughs, illustrative drawings, simulated photos, sketches, and photo manipulation scenario planning tools to better and more easily communicate technical planning issues and strategies.
- Design and display a modular exhibit for "on-the-road" presentations and exhibit tables at conferences, workshops, meetings and other public events. The exhibit will be visually appealing and will graphically showcase SCAG's major planning initiatives to diverse audiences. This exhibit will increase the public's awareness of the work of SCAG and the importance of public involvement.
- Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences and to mitigate traffic congestion and air quality.

Goal 4: Provide timely responses to issues, concerns, and comments raised by the public regarding the development and implementation of regional transportation plans, programs, and projects. Ensure that the comments received are considered and incorporated into the deliberations regarding proposed plans and programs.

- SCAG will review and consider all public comments in the regional transportation planning process. Comments will be recorded, tracked and maintained through the Communication Management Software System (CMS), SCAG's contact database system. The system will provide a list of all comments received, the name of the commenter, the comment date, the topic, the comment message, and SCAG's response to the comment. All comments received will be responded to in a timely manner.
- Evaluate public comments received throughout the planning process and assess whether, and to what extent, modifications were made in the draft documents as a result of the comments received.

Goal 5: Enhance the participation process including seeking out and considering the needs of traditionally underrepresented and/or underserved persons. Ensure that minority and low-income persons have meaningful access to the public outreach and involvement activities.

- Coordinate with individuals, institutions or organizations to reach out to members in the affected minority and/or low income communities.
- Choose an event site and time convenient for participants. All events should be fully accessible to all citizens, including disabled, low-income and minority communities. Encourage the participation of elected officials at events and hearings.
- Provide assistance, if requested 14 days prior to the event, to people with disabilities, including individuals who are blind, have low-vision or are hearing impaired.
- Provide language assistance, if requested 14 days prior to the event, to Limited English Proficient Persons.
- Evaluate public participation efforts at the end of each phase of the planning process so that necessary modifications can be made for subsequent phases. Provide recommended strategies to enhance the outreach program and better serve the underrepresented segments of the region.

- Annually update the agency's overall Communications Strategy and seek Regional Council approval of the plan and recommended strategies.
- Develop and adopt a plan for providing language assistance for persons with limited English proficiency (LEP Plan).
- Maintain an outreach calendar of presentations, workshops and hearings which will enable staff to map presentations to determine geographically where we've been, the type of audience and the topic thus enhancing our ability to strengthen outreach to underrepresented areas. The goal is to average at least 15 presentations per month.
- Utilize SCAG's existing online survey programs to conduct outreach on public opinions of community interests to obtain feedback on regional issues.
- Consider budgeting for surveys of demonstration project participants (such as Compass Blueprint) to provide better, more efficient services.
- Assess how effective the agency's communication strategies have been in impacting public policy. Consider conducting surveys of members, partners and stakeholders early in the planning process and again later to determine the effect of the communication effort.

**“The better the citizenry as a whole are educated, the wider and more sensible public participation, debate and social mobility will be.”** *John Ralston Saul*

## **Appendix “A”**

### **Strategies, Procedures and Techniques for Public Participation Related to the Regional Transportation Plan (RTP), Regional Transportation Improvement Program (RTIP) and Overall Work Program (OWP)**

SCAG’s recently adopted Public Participation Plan (“Plan”) serves as a guide for SCAG’s public involvement process as well as the continuing, comprehensive and coordinated planning process among the stakeholders to ensure the ongoing opportunity for broad-based participation in the development and review of regional plans and programs. For purposes of the Plan, “public” is intended to mean “Interested Parties” including citizens, affected public agencies, and other interested parties as identified on page 7 of the Plan.

This Appendix “A” to the adopted Public Participation Plan is intended to provide more explicit details as to SCAG’s strategies, procedures and techniques for public participation on the RTP, RTIP and OWP, as further described in Sections 2, 3 and 4 of this Appendix “A,” respectively. The interrelated goals identified in the Plan suggest that a coordinated approach to public outreach is best in seeking to spread a consistent message and increase public awareness of SCAG’s planning efforts. In each of our planning efforts, we need to communicate with the public who SCAG is and what we do, the challenges facing the region and the time constraints of the various planning activities. SCAG also seeks the public’s feedback, active participation and input in developing our plans.

#### **SECTION 1. DEVELOPMENT OF STRATEGIES, PROCEDURES AND TECHNIQUES**

SCAG staff consulted with a range of interested parties as required by SAFETEA-LU in developing the public participation strategies, procedures and techniques noted herein. SCAG has made significant efforts to reach out to interested parties, encourage feedback, and involve interested parties in the development of the Plan’s strategies and procedures and will continue these efforts in future updates to the Plan. Specifically, SCAG solicited comments and feedback from the county transportation commissions, the subregions, transit operators, federal and state resource agencies, Tribal Governments, representatives of the disabled, representatives of pedestrian walkways and bicycle transportation facilities, environmental groups, and other interested parties through mailings, email correspondence, workshops, presentations, meetings, telephone communications and website postings encouraging individuals to get involved with developing the Public Participation Plan. SCAG

also conducted a survey which asked several questions to help SCAG determine how to improve public participation. This survey was emailed to 3,600 individuals within SCAG's contact database system with valid email addresses of potential interested parties. SCAG engaged in interagency review by sending letters to over 200 affected agencies and organizations to seek input on the proposed strategies, procedures and techniques. Finally, SCAG continues to solicit feedback through an online Public Participation Form and a Public Participation Survey found on SCAG's website.

## SECTION 2. REGIONAL TRANSPORTATION PLAN

### RTP

Federal and state laws require SCAG to prepare a long-range Regional Transportation Plan, or RTP. The purpose of the RTP is to combine transportation policies and projects to: address mobility and congestion throughout Southern California, coordinate a balanced regional transportation system, identify adequate funding for transportation projects, and meet federal air quality requirements.

A complete update of an existing RTP is required every four years, and SCAG is currently undertaking the development of the 2008 RTP to provide Southern California with a comprehensive vision for its transportation future to the year 2035. In terms of strategies, procedures and techniques for public participation regarding the 2008 RTP, the tasks are broken down into three phases: pre-Draft RTP, post-Draft RTP and post-Final RTP, as noted below. SCAG intends to update this section of Appendix "A" prior to commencing each RTP update to reflect appropriate changes.

#### A. Phase 1: Pre-Draft RTP (April-October 2007)

##### Establish Regular "All Hands" Outreach Coordination Team Meetings: (April-October 2007).

While outreach activities have been ongoing since the adopted 2004 RTP, the single most important element to fostering and maintaining a fully-integrated agency outreach effort is to schedule and hold regular coordination meetings with the principal staff in all planning areas and consultants associated with each of the various outreach efforts. Key staff has already been identified. An initial coordination session was conducted on April 24, 2007.

- ◆ Outreach coordination meetings will provide important opportunities (1) to brief all members of the outreach coordination team on overall outreach goals and strategies; (2) to inform the team of upcoming outreach forums and other key milestones; and (3) to identify strategies and specific work tasks that can either be shared or can accommodate multiple outreach objectives.
- ◆ Schedule outreach coordination meetings on a bi-weekly basis. Initially, the focus will be on establishing unified outreach goals and formalizing team member roles. Subsequent sessions will be directed at identifying new opportunities for public presentations and proactively securing speaking engagements. Review progress and ensure implementation of the Public Participation Plan strategies.

Update Existing Presentation Materials: (January-October 2007).

Many of the needed PowerPoint presentations have already been prepared and are currently in use. SCAG has developed PowerPoint presentations on all major SCAG initiatives and they are easily accessible by all staff. These presentations will continue to be updated as new information becomes available. Communications staff will continue to work closely with Planning staff to ensure a consistent look and message for all of SCAG's communications.

- ◆ Provide clear, consistent and concise primary messages for media and public involvement and interaction.
- ◆ Update technical and non-technical PowerPoint presentations as new information becomes available.
- ◆ Tailor specific presentations to meet the needs and interests of the target audiences.
- ◆ Maintain a library of all PowerPoint presentations developed.
- ◆ Review and update all existing one-page Fact Sheets.
- ◆ Review and update brochures, fliers and other publications relating to SCAG and SCAG's initiatives for general population distribution in concise, understandable, non-technical language.
- ◆ Review and update public feedback forms, both paper and web-based.
- ◆ Review and enhance web interface to encourage public education and feedback on the related planning efforts.
- ◆ Include articles on plans and programs in SCAG's eVision newsletter, produced eight times each year as new information becomes available.

Create New Presentation Materials: (July-October 2007).

Develop new materials to simplify the RTP and cater to subregional audiences. Traditionally, interested parties raise questions about proposed projects in their specific community. Materials that visually highlight the most prominent features of the Plan and are most relevant to audiences will most likely be read and recalled.

- ◆ Create an introductory, fold-out brochure which visually showcases regional projects of significance. Highlights of the plan will be summarized and created to "pop" to peak interest and enhance readability.
- ◆ Create 14 subregional maps that visually depict proposed projects of "subregional" significance.
- ◆ Produce the RTP on a CD to ease handling and ensure more efficient use of resources.
- ◆ Prepare press releases, calendar advisories, notices of public hearings (in one major newspaper in each of the six counties), and reach out to the ethnic press by providing notices in English, Spanish and Chinese.

- ◆ Utilize visualization techniques whenever possible such as maps, videos, PowerPoint presentations with graphics and animation, flowcharts, computer simulation, interactive GIS systems, photorealistic visualizations, video fly-throughs, illustrative drawings, simulated photos, sketches, and photo manipulation scenario planning tools to better and more easily communicate technical planning issues and strategies.
- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

Enhance Website Capabilities: (June-October 2007).

- ◆ Create new web pages dedicated to the RTP, enhance navigation, and ensure information is up-to-date. Link to stakeholder web pages.
- ◆ Translate key RTP communications in English and Spanish on the web pages.
- ◆ Utilize SCAG's web site to provide information, announce draft and final plan releases, encourage feedback and comments from the public, make draft and final plans and corresponding documents available, provide contact information, educate about SCAG and SCAG initiatives, inform of upcoming events and meetings, post meeting agendas and minutes and provide access to major SCAG publications including Your Guide to SCAG, the Benefits of Membership, Member Handbook, the Legislative Reference Guide, the eVision newsletter, key PowerPoint presentations, data and other planning-related information.
- ◆ Ensure that the information available is timely, easy-to-understand and accessible and that the website is compliant with the 1990 Americans with Disabilities Act.

Update Contact Databases and Advisory Groups: (January-October 2007).

- ◆ Review and update mailing lists for outreach efforts.
- ◆ Expand contact databases to include all Interested Parties identified in the Plan.
- ◆ Work with subregional coordinators and SCAG task force and committee members to expand current list categories to include all Interested Parties.
- ◆ Convene an Environmental Justice Advisory Group to meet as needed. This group would include representatives of community-based organizations, non-profits, and Tribal Governments from all parts of the SCAG region.
- ◆ Update media mailing lists that include metropolitan and local community newspapers, radio, television and cable outlets, trade journals, wire services, ethnic and foreign-language media, government and legal publications and special interest press directed at older audiences, the disabled, Native Americans and students.

Coordinate Outreach Efforts with other Stakeholder Organizations: (January-October 2007).

- ◆ Support interagency coordination by continuing to participate in the monthly Transportation Conformity Working Group.
- ◆ Mail Notice of Draft RTP availability to the stakeholders at the local, state and federal level to solicit their comment and input to the final RTP. Ensure that the public comment period is at least 30 days for the plan.
- ◆ Participate in regular monthly meetings with the CEOs of the county transportation commissions.
- ◆ Integrate the outreach effort of the subregional organizations and transportation and air quality agencies into the SCAG process.
- ◆ Together with subregional partners and other stakeholder organizations, notify interested parties through traditional meeting announcements, newspapers, public service announcements, press releases, special mailers, publications and agendas of committees, meetings, workshops, briefings, web site postings, email communications and other opportunities to participate, as appropriate.
- ◆ Hold monthly meetings with the subregional coordinators to review upcoming Regional Council and Policy Committee agendas and conduct other coordinating activities.
- ◆ Expand the membership of some of SCAG's various committees, task forces and working groups to ensure inclusion of the broader stakeholders and interest groups identified in the Plan.
- ◆ Keep interested parties informed with monthly progress reports during the plan development phase.
- ◆ Expand the membership of some of SCAG's various committees, task forces and working groups to ensure inclusion of the broader stakeholders and interest groups identified in the Plan.

Train Presenters: (May-June 2007).

- ◆ Brief staff members, SCAG elected officials and consultants on all materials available and how to present SCAG's messages to various types of audiences.
- ◆ Develop talking points on all PowerPoint presentations to ensure consistent message delivery.

Create an Outreach Schedule: (January-July 2007).

- ◆ Proactively contact groups to schedule speakers from the pool of available speakers, as appropriate, to meet the interests of the particular group.
- ◆ Continue the practice of attempting to get on other groups' agendas rather than creating meetings from scratch.

- ◆ Conduct presentations, hold briefings, workshops, hearings to diverse groups and organizations throughout the region.
- ◆ Hold any public meetings at convenient and accessible locations and times.

Maintain a Log of Outreach Efforts: (January-October 2007).

- ◆ Maintain a log of all agency-wide outreach presentations within CMS, SCAG's contact database system. Such a log already exists and will be augmented as needed to ensure sufficient documentation.

Conduct Public Hearings: (September-October 2007).

- ◆ Draft RTP Update is released for 30-day public review.
- ◆ Draft RTP Update is reviewed by SCAG's Transportation and Communications Committee as part of a public meeting.
- ◆ Announce public hearings in printed materials, on SCAG's website, and in local newspapers.
- ◆ Conduct at least three public hearings on the draft RTP. Schedule at least one public hearing in Los Angeles County, one in the Inland Empire and one in Orange County to ensure regional representation.
- ◆ Develop procedures for public hearings. Include the time to be allotted to each speaker and how the order of appearance is determined. A written explanation of adopted procedures should be distributed to participants both prior to and at the hearing. Make arrangements for the submission of written statements in addition to verbal comments.
- ◆ Provide translation services at these public hearings, if needed.

Reach Out to Traditionally Underrepresented and/or Underserved Audiences: (April-October 2007).

- ◆ Work with Member Relations staff and Subregional Coordinators to aid in identifying underrepresented segments of the region.
- ◆ Coordinate with individuals, institutions or organizations to reach out to members in the affected minority and/or low income communities.
- ◆ Provide assistance, if requested 14 days prior to the event, to people with disabilities, including individuals who are blind, have low-vision or are hearing impaired.
- ◆ Provide language assistance, if requested 14 days prior to the event, to Limited English Proficient Persons.
- ◆ Develop and adopt a plan for providing language assistance for persons with limited English proficiency (LEP Plan).
- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

Consider and Incorporate Comments Received into the Deliberations Regarding Proposed Plans and Programs: (January-October 2007).

- ◆ Review and consider all public comments in the regional transportation planning process.
- ◆ Record, track and maintain a log of comments and SCAG's response to the comments within the Communication Management Software System (CMS), SCAG's contact database system.
- ◆ Respond to all comments received in a timely manner.
- ◆ Evaluate public comments received throughout the planning process and assess whether, and to what extent, modifications were made in the draft documents as a result of the comments received.

Evaluate Public Participation Activities: (October 2007).

- ◆ Evaluate public participation efforts at the end of phase 1 so that necessary modifications can be made for subsequent phases.
- ◆ Provide recommendations to enhance the outreach program and better serve the underrepresented segments of the region.

B. Phase 2: Post- Draft RTP (October-December 2007)

Continue On-going "All Hands" Outreach Coordination Team Meetings: (October-December 2007).

- ◆ Schedule outreach coordination meetings on a bi-weekly basis to identify new opportunities for public presentations and proactively securing speaking engagements and to ensure implementation of the Public Participation Plan strategies.

Update Existing Presentation Materials: (October-December 2007).

- ◆ Revise existing materials as needed to reflect changes in data, information, strategies, and in response to comments received.

Create New Presentation Materials: (October-December 2007).

- ◆ Develop new materials, as needed, to simplify the RTP, cater to subregional audiences and reach ethnic segments of the region.
- ◆ Continue to utilize visualization techniques whenever possible such as maps, videos, PowerPoint presentations with graphics and animation, flowcharts, computer simulation, interactive GIS systems, photorealistic visualizations, video fly-throughs, illustrative drawings, simulated photos, sketches, and photo manipulation scenario planning tools to better and more easily communicate technical planning issues and strategies.

- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

#### Enhance Website Capabilities: (October-December 2007).

- ◆ Continue to utilize SCAG's web site to provide information, announce draft and final plan releases, encourage feedback and comments from the public, make draft and final plans and corresponding documents available, provide contact information, educate about SCAG and SCAG initiatives, inform of upcoming events and meetings, post meeting agendas and minutes and provide access to major SCAG publications including Your Guide to SCAG, the Benefits of Membership, Member Handbook, the Legislative Reference Guide, the eVision newsletters, key PowerPoint presentations, data and other planning-related information.
- ◆ Ensure that the information available is timely, easy-to-understand and accessible and that the website is compliant with the 1990 Americans with Disabilities Act.

#### Update Contact Databases and Advisory Groups: (October-December 2007).

- ◆ Review and update mailing lists for outreach efforts.
- ◆ Expand contact databases to include all Interested Parties identified in the Plan.
- ◆ Work with subregional coordinators and SCAG task force and committee members to expand current list categories to include all Interested Parties.
- ◆ Update media mailing lists that include metropolitan and local community newspapers, radio, television and cable outlets, trade journals, wire services, ethnic and foreign-language media, government and legal publications and special interest press directed at older audiences, the disabled, Native Americans and students.

#### Coordinate Outreach Efforts with other Stakeholder Organizations: (October-December 2007).

- ◆ Support interagency coordination by continuing to participate in the monthly Transportation Conformity Working Group.
- ◆ Participate in regular monthly meetings with the CEOs of the county transportation commissions.
- ◆ Integrate the outreach effort of the subregional organizations and transportation and air quality agencies into the SCAG process.
- ◆ Together with subregional partners and other stakeholder organizations, notify interested parties through traditional meeting announcements, newspapers, public service announcements, press releases, special mailers, publications and agendas of committees, meetings, workshops, briefings,

website postings, email communications and other opportunities to participate, as appropriate.

- ◆ Hold monthly meetings with the subregional coordinators to review upcoming Regional Council and Policy Committee agendas and conduct other coordinating activities.
- ◆ Keep interested parties informed with monthly progress reports during the post-draft plan development phase.

Develop an Outreach Schedule: (October-December 2007).

- ◆ Proactively contact groups to schedule speakers from the pool of available speakers, as appropriate, to meet the interests of the particular group.
- ◆ Continue the practice of attempting to get on other groups' agendas rather than creating meetings from scratch.
- ◆ Conduct presentations, hold briefings, workshops, hearings to diverse groups and organizations throughout the region.
- ◆ Hold any public meetings at convenient and accessible locations and times.

Maintain a Log of Outreach Efforts: (October-December 2007).

- ◆ Maintain a log of all agency-wide outreach presentations within CMS, SCAG's contact database system.

Reach Out to Traditionally Underrepresented and/or Underserved Audiences: (October-December 2007)

- ◆ Work with Member Relations staff and Subregional Coordinators to aid in identifying underrepresented segments of the region.
- ◆ Coordinate with individuals, institutions or organizations to reach out to members in the affected minority and/or low income communities.
- ◆ Engage Tribal Government in the RTP processes through Tribal Government representation on SCAG's governing board and policy committees and through the Tribal Government Relations Task Force.
- ◆ Provide assistance, if requested 14 days prior to the event, to people with disabilities, including individuals who are blind, have low-vision or are hearing impaired.
- ◆ Provide language assistance, if requested 14 days prior to the event, to Limited English Proficient Persons.
- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

Consider and Incorporate Comments Received into the Deliberations Regarding Proposed Plans and Programs: (October-December 2007).

- ◆ Review and consider all public comments in the regional transportation planning process.
- ◆ Record, track and maintain a log of comments and SCAG's response to the comments within the Communication Management Software System (CMS), SCAG's contact database system.
- ◆ Respond to all significant comments received in a timely manner.
- ◆ Evaluate public comments received throughout the planning process and assess whether, and to what extent, modifications were made in the draft documents as a result of the comments received.
- ◆ Provide additional opportunity for public comment on the revised plan if the final plan differs significantly from the draft plan that was previously made public.
- ◆ Provide a summary, analysis and report on the disposition of comments as part of the final plan.
- ◆ Prepare Final RTP Update for adoption by Regional Council at a public meeting.

Evaluate Public Participation Activities: (December 2007).

- ◆ Evaluate public participation efforts at the end of phase 2 so that necessary modifications can be made for subsequent phases.
- ◆ Provide recommendations to enhance the outreach program and better serve the underrepresented segments of the region.
- ◆ Assess how effective the agency's communication strategies have been in impacting public policy. Conduct a survey of members, partners, stakeholders immediately after the release of the draft plan and again later after the adoption of the plan to determine the impact of the public participation effort.

C. Phase 3: Post- Final RTP (February-December 2008)

Update Existing Presentation and Printed Materials: (February-December 2008).

- ◆ Provide clear, consistent and concise primary messages for media and public involvement and interaction.
- ◆ Update technical and non-technical PowerPoint presentations as new information becomes available.
- ◆ Tailor specific presentations to meet the needs and interests of the target audiences.
- ◆ Maintain a library of all PowerPoint presentations developed.
- ◆ Review and update all existing one-page Fact Sheets.

- ◆ Review and update brochures, fliers and other publications relating to SCAG and SCAG's initiatives for general population distribution in concise, understandable, non-technical language.
- ◆ Review and update public feedback forms, both paper and web-based.
- ◆ Review and enhance web interface to encourage public education and feedback.
- ◆ Include articles on plans and programs in SCAG's eVision newsletter, produced eight times each year.

Create New Presentation Materials: (February-December 2008).

- ◆ Create a final brochure which visually showcases regional projects of significance. Highlights of the plan will be summarized and created to "pop" to peak interest and enhance readability.
- ◆ Revise 14 subregional maps that visually depict proposed projects of "subregional" significance.
- ◆ Produce the RTP on a CD to ease handling and ensure more efficient use of resources.
- ◆ Prepare press releases and reach out to the ethnic press by providing notices in English, Spanish and Chinese.
- ◆ Utilize visualization techniques whenever possible such as maps, videos, PowerPoint presentations with graphics and animation, flowcharts, computer simulation, interactive GIS systems, photorealistic visualizations, video fly-throughs, illustrative drawings, simulated photos, sketches, and photo manipulation scenario planning tools to better and more easily communicate technical planning issues and strategies.
- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

Enhance Website Capabilities: (February-December 2008).

- ◆ Maintain web pages dedicated to the RTP and ensure information is up-to-date.
- ◆ Translate key RTP communications in English and Spanish on the web pages.
- ◆ Utilize SCAG's website to provide information, announce draft and final plan releases, encourage feedback and comments from the public, make draft and final plans and corresponding documents available, provide contact information, educate about SCAG and SCAG initiatives, inform of upcoming events and meetings, post meeting agendas and minutes and provide access to major SCAG publications including Your Guide to SCAG, the Benefits of Membership, Member Handbook, the Legislative Reference Guide, the eVision newsletters, key PowerPoint presentations, data and other planning-related information.

- ◆ Ensure that the information available is timely, easy-to-understand and accessible and that the website is compliant with the 1990 Americans with Disabilities Act.

Update Contact Databases and Advisory Groups: (February-December 2008).

- ◆ Review and update mailing lists for outreach efforts.
- ◆ Expand contact databases to include all Interested Parties identified in the Plan.
- ◆ Work with subregional coordinators and SCAG task force and committee members to expand current list categories to include all Interested Parties.

Coordinate Outreach Efforts with other Stakeholder Organizations: (February-December 2008).

- ◆ Support interagency coordination by continuing to participate in the monthly Transportation Conformity Working Group.
- ◆ Participate in regular monthly meetings with the CEOs of the county transportation commissions.
- ◆ Together with subregional partners and other stakeholder organizations, notify interested parties through traditional meeting announcements, newspapers, public service announcements, press releases, special mailers, publications and agendas of committees, meetings, workshops, briefings, website postings, email communications and other opportunities to participate, as appropriate.
- ◆ Hold monthly meetings with the subregional coordinators to review upcoming Regional Council and Policy Committee agendas and conduct other coordinating activities.
- ◆ Expand the membership of some of SCAG's various committees, task forces and working groups to ensure inclusion of the broader stakeholders and interest groups identified in the Plan.

Create an Outreach Schedule: (February-December 2008).

- ◆ Even after the Plan has been adopted, continue to proactively contact groups to schedule speakers from the pool of available speakers, as appropriate, to meet the interests of the particular group.
- ◆ Continue the practice of attempting to get on other groups' agendas rather than creating meetings from scratch.
- ◆ Conduct presentations, hold briefings, workshops, hearings to diverse groups and organizations throughout the region.
- ◆ Hold any public meetings at convenient and accessible locations and times.

Maintain a Log of Outreach Efforts: (February-December 2008).

- ◆ Maintain a log of all agency-wide outreach presentations within CMS, SCAG's contact database system.

Reach Out to Traditionally Underrepresented and/or Underserved Audiences: (February-December 2008).

- ◆ Work with Member Relations staff and Subregional Coordinators to aid in identifying underrepresented segments of the region.
- ◆ Coordinate with individuals, institutions or organizations to reach out to members in the affected minority and/or low income communities.
- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

Evaluate Public Participation Activities: (February-December 2008).

- ◆ Continue to monitor outreach presentations and assess whether outreach efforts are being conducted throughout the region, including the outlying areas of the region.

RTP Amendments

An amendment is a major revision to a long-range RTP, including adding or deleting a project, major changes in project/project phase costs, initiation dates, and/or design concepts and scope. A RTP Amendment requires public review and comment, demonstration that the project can be completed based on expected funding, and a determination that the change conforms to air quality requirements.

SCAG's strategies, procedures and techniques for public participation regarding RTP Amendments include, but are not limited to, the release of the proposed RTP amendment for a 30-day public review, posting of the proposed RTP amendment on SCAG's website, presentation of the proposed RTP amendment before certain SCAG committees, review of the proposed RTP amendment by SCAG's Transportation and Communications Committee at a public meeting, and adoption of the proposed RTP amendment by SCAG's Regional Council as part of the public meeting.

## SECTION 3. REGIONAL TRANSPORTATION IMPROVEMENT PROGRAM

SCAG's Regional Transportation Improvement Program, or RTIP, is a capital listing of all transportation projects proposed over a six-year period. The listing identifies specific funding sources and funding amounts for each project. The proposed transportation projects are funded through a variety of federal, state and local sources. Projects consist of improvements such as, highway improvements, transit, rail, bus, high occupancy vehicle lanes, signal synchronization, intersection improvements, and freeway ramps to name a few. The RTIP must include all transportation projects that are federal funded, as well as all regionally significant transportation projects for which federal approval (Federal Highway Administration or Federal Transit Administration) is required, regardless of funding source. The projects are submitted to SCAG by the five County Transportation Commissions and the Imperial Valley Association of Governments (IVAG). SCAG analyzes the projects to ensure that they are consistent with state and federal requirements. Federal law requires the RTIP be consistent with the RTP.

The following outlines SCAG's strategies, procedures and techniques for public participation on the RTIP. SCAG intends to update this section of the Appendix if needed prior to commencing each RTIP cycle to reflect appropriate changes.

### A. RTIP Public Participation Process in the SCAG Region

At the outset, it should be noted that SCAG has a Memorandum of Understanding (MOU) with transit operators and each of the County Transportation Commissions (CTCs) within the SCAG Region. These MOUs specify the role of the CTCs with respect to approval of transportation projects utilizing federal, state highway, and transit funds within their respective jurisdiction. They are also responsible for transportation programming and short range planning in their respective county. As a result, the County Transportation Commissions transmit their approved County TIP to SCAG. As such the public participation process and coordination is a tiered process within the SCAG region. This tiered process initiates the public participation process at the CTC's county TIP development stage which occurs long before the development of the SCAG RTIP.

There are several opportunities for the public to review and comment on projects and programs during the development of each county TIP and approval of the SCAG RTIP. These public participation opportunities are described below.

#### i. Project Identification

Public participation begins at the local agency level starting with identifying projects and associated work scopes based on local and regional transportation

needs. Newly identified projects are commonly placed on funding needs lists, funding plans or capital improvement program plans and programs that identify projects to be funded. These lists, plans and programs are adopted by local agency boards (mostly elected officials) in meetings open to the general public. Stakeholders, interest groups and the general public have the opportunity to review and comment on these projects and local plans prior to local agency board approvals.

## ii. Project Funding

The general public, interested parties and stakeholders have an opportunity to review and comment on projects and programs during the allocation of funds by local agencies including cities, counties, special districts, and county transportation commissions (CTCs) and the Imperial Valley Associated Governments (IVAG).

The process of assigning specific funding sources to projects normally occurs in meetings open to the general public by public policy boards. For example, the CTCs and IVAG in the SCAG region conduct “call for projects” when funding under their control (federal, state and/or local) is available for programming. Local agencies apply and compete for available funding based on adopted eligibility guidelines consistent with federal, state and local county requirements. Candidate projects usually have gone through an initial public review process described in Section A.i above and are included in a local agency capital improvement needs programs or plans. The CTCs and IVAG work through their respective committee review process to develop a list of projects recommended for funding and adoption by each respective policy board. CTCs/IVAG review committees are comprised of local agency staff (stakeholders and interested parties), and in some cases include public elected officials. Review committee meetings are publicly noticed. The recommended project lists approved by the committees are forwarded to the respective policy boards for approval. Projects proposed for funding are made available for review by the general public, stakeholders and interested parties in advance of adoption by the CTCs/IVAG policy boards. All allocation of funds by the policy boards occur in publicly noticed meetings open to the general public.

The allocation of public funds to projects by other entities go through public review processes that are consistent with the federal, state and/or local laws that govern the allocation of the funds.

## iii. County TIP Development

The CTCs and IVAG develop their respective TIPs based on RTIP Guidelines written by SCAG in consultation with the CTCs/IVAG and Federal Highway Administration staff, and approved by SCAG’s Regional Council. All projects

programmed in County TIPs have been previously approved for funding by the entity responsible for allocating the project funds such as described in Section A.i above. When submitting County TIPs to SCAG, each CTC and IVAG is required to adopt a financial resolution which certifies that it has the resources to fund the projects in the TIP and affirms its commitment to implement all projects. The financial resolution is approved by each policy board in publicly noticed meetings open to the general public.

#### iv. SCAG RTIP Development

SCAG develops the RTIP for the six-county region based on the County TIPs prepared and submitted by the CTCs and IVAG described above in Section iii. The Draft SCAG RTIP is noted for a 30-day public review, and a public hearing is held at the SCAG office. Notices of the public hearings are placed in the major newspapers throughout the SCAG region. SCAG conducts additional public outreach efforts through the placement of public notices in minority newspapers such as, but not limited to, the Los Angeles Sentinel, La Opinion, El Chicano Newspaper, the Chinese Daily News, and the Korea Times. The Draft SCAG RTIP documents are made available for review and comment by stakeholders, interested parties and the general public through the SCAG internet website at <http://www.scag.ca.gov/rtip> and at public libraries throughout the six-county region prior to the public hearing.

In addition to the public hearing held at the SCAG office, SCAG committees and working groups also review and discuss draft RTIPs. These SCAG groups include the Regional Transportation Agencies' Coalition (RTAC), the Transportation and Communications Committee (TCC), the Transportation Conformity Working Group (TCWG), the Energy and Environment Committee (EEC) and the Chief Executive Officers' Committee. The SCAG Regional Council takes final action when they review and adopt the RTIP as part of a public meeting.

#### v. SCAG RTIP Updates

The RTIP is amended several times a year. This process is similar to developing the formal RTIP. Proposed amendments to the adopted RTIP are submitted by the CTCs and IVAG to SCAG. After SCAG has completed its analyses of the proposed change(s) to the RTIP ensuring consistency with the various programming rules and regulations, SCAG electronically posts the proposed change(s) for public review and comment on the SCAG website at <http://www.scag.ca.gov/rtip>. In addition to posting the amendment information on the web, a notice is sent to the Transportation Conformity Working Group as part of the RTIP amendment public review process.

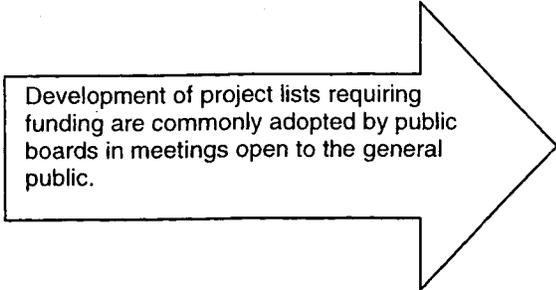
#### B. Schematic of the Public Participation Process

The following schematic helps to illustrate when stakeholders, interested parties and the general public have the opportunity to review and comment during the RTIP programming development process described above in Section A.

## SCAG RTIP Public Participation Process

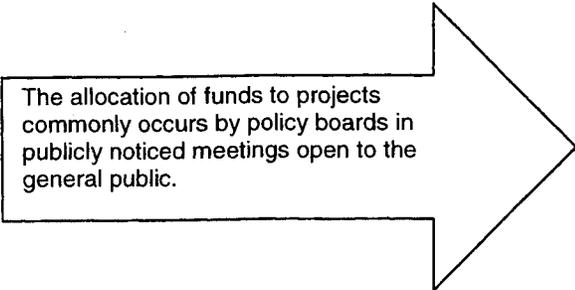
### Public Review & Comment

### TIP Development Process



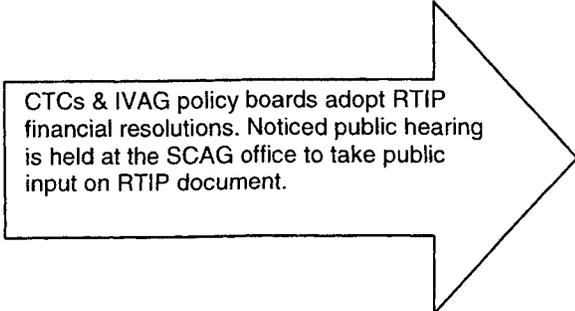
Development of project lists requiring funding are commonly adopted by public boards in meetings open to the general public.

**Project Identification**  
Projects are identified based on needs and placed on capital improvement programs or other lists awaiting funds.



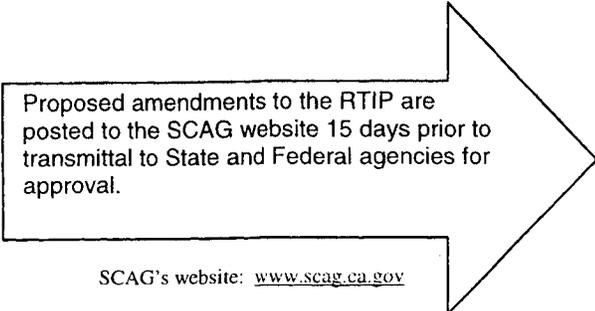
The allocation of funds to projects commonly occurs by policy boards in publicly noticed meetings open to the general public.

**Project Funding**  
Projects receiving state and federal funds and/or approvals and local projects determined regionally significant are identified for programming in County TIPs and the SCAG RTIP



CTCs & IVAG policy boards adopt RTIP financial resolutions. Noticed public hearing is held at the SCAG office to take public input on RTIP document.

**County TIPs & SCAG RTIP Development**  
Projects are first programmed in County TIPs and then submitted to SCAG for inclusion in the SCAG RTIP.



Proposed amendments to the RTIP are posted to the SCAG website 15 days prior to transmittal to State and Federal agencies for approval.

**RTIP Updates**  
SCAG processes amendments to the RTIP based on changes requested by the CTCs and IVAG.

### C. Other RTIP Public Participation strategies, procedures and techniques

#### Enhance Website Capabilities:

- ◆ Utilize SCAG's web site to provide information, announce draft and final program releases, encourage feedback and comments from the public, make draft and final programs and corresponding documents available, provide contact information, inform of upcoming events and meetings, post meeting agendas and minutes
- ◆ Ensure that the information available is timely, easy-to-understand and accessible and that the website is compliant with the 1990 Americans with Disabilities Act.

#### Update Contact Databases and Advisory Groups:

- ◆ Review and update mailing lists for outreach efforts.
- ◆ Expand contact databases to include all Interested Parties identified in the Plan.

#### Coordinate Outreach Efforts with other Stakeholder Organizations:

- ◆ Support interagency coordination by continuing to participate in the monthly Transportation Conformity Working Group.
- ◆ Mail Notice of Draft RTIP availability to the stakeholders at the local, state and federal level to solicit their comment and input to the final RTIP. Ensure that the public comment period is at least 30 days for the program.
- ◆ Participate in regular meetings with the county transportation commissions/IVAG in the coordination of the draft and final RTIP.

#### Conduct Public Hearing:

- ◆ Announce public hearings in printed materials, on SCAG's website, and in local newspapers.
- ◆ Hold any public meetings at convenient and accessible locations and times.
- ◆ Conduct at least two public hearings on the draft RTIP. Schedule at least one public hearing at the SCAG offices in Los Angeles.
- ◆ Where possible make public hearings available via video or teleconference.
- ◆ Explore new opportunities using state-of-the-art communications and information technology for reaching remote audiences.

#### Maintain a Log of Outreach Efforts:

- ◆ Maintain a log of all agency-wide outreach presentations within CMS. Such a log already exists and will be augmented as needed to ensure sufficient documentation.
- ◆ Review and consider all public comments in the regional transportation planning process.
- ◆ Record, track and maintain a log of comments and SCAG's response to the comments within the Communication Management Software System (CMS).
- ◆ Respond to all comments received in a timely manner.

#### D. Annual Listing of Projects

SAFETEA-LU requires the production of this annual listing with the cooperation of Caltrans and the public transportation operators throughout the SCAG region. Additionally, SAFETEA-LU also requires an additional list which identifies all bicycle/pedestrian projects for which Federal funds were obligated in the preceding year. The listing is available on SCAG's website.

The county commissions and IVAG working with the project sponsors within their respective county update project obligations for projects in their county through use of the SCAG RTIP database. SCAG then produces an annual listing of projects utilizing the SCAG RTIP database. In addition, Caltrans produces obligation reports for the MPO's which SCAG also makes available on its website as supplemental information.

#### E. RTIP Amendments

For the RTIP, the Federal Highway Administration (FHWA) California Division has provided definitions of amendments and corresponding conformity requirements. The following summarizes the categories of amendments identified by FHWA for the RTIP and the public participation requirements for each amendment type.

##### Category 1. Administrative

An administrative amendment includes minor changes to project cost, schedule, scope, or funding sources. Please see the Procedures for Federal Statewide Transportation Program (FSTIP) Modifications for a complete definition of administrative amendments.

Category 2. Formal Amendment – Changes that do not impact the existing conformity determination.

The category of formal amendments may include project cost changes that are greater than 20% of the total project cost or \$2 million, whichever is higher. This amendment may also include adding or deleting projects that are exempt from regional emission analyses. These types of amendments typically include transit or safety projects.

**Category 3. Formal Amendment – Relying on the existing Conformity Determination.**

This amendment may include adding a project or a project phase to the program. This amendment category consists of projects that are modeled and are included in the regional emissions analysis.

**Category 4. Formal Amendment – New Conformity Determination.**

This amendment may include adding or deleting projects that are not currently included in the regional emissions analysis nor part of the existing conformity determination. This amendment may involve adding or deleting projects that must be modeled for their air quality impacts: significantly changing the design concept, scope; or schedule of an existing project.

Public Hearing - Public Review & Comment Period Requirement

Amendment Category	Public Hearing Requirement	Public Review Period # of Days
Category 1. Administrative	n/a	n/a
Category 2. Formal - Changes that do not impact the existing conformity determination	No	15
Category 3. Formal - Relying on existing conformity determination	No	15
Category 4. Formal – Requires a new conformity determination	Yes	30

## SECTION 4. OVERALL WORK PROGRAM

Funding for SCAG's metropolitan planning activities are documented in an annual Overall Work Program (OWP) (also known as a Unified Planning Work Program), pursuant to federal requirements, 23 CFR 450.308(b)-(c), and Caltrans guidance.

The OWP is developed each fiscal year, and details the agency's planning and budgetary priorities for the following fiscal year. SCAG's federal and state funding partners (FHWA, FTA and Caltrans) must approve SCAG's OWP each year before it takes effect.

The following describes SCAG's strategies, procedures and techniques with respect to public participation on the OWP.

### Adopt OWP Preparation Schedule and Work Programs Outcomes: (September-October).

- ◆ Regional Council adopts the OWP preparation schedule and work program outcomes for the coming fiscal year.

### Develop Project Ranking and Selection Criteria: (November-February).

- ◆ SCAG develops project ranking and selection criteria and communicates to the subregional coordinators (representing 14 geographic areas within the SCAG region), resulting in the development of a preliminary work program.

### Conduct Multiple Review Sessions: (November-February).

- ◆ SCAG consults with subregional coordinators resulting in the development of a preliminary work program.

### Hold Monthly Meetings with Subregional Coordinators: (February-May).

- ◆ Hold monthly meetings with the subregional coordinators throughout the OWP development stages to keep them apprised of processes, solicit their feedback and address their questions and/or concerns.

### Conduct a Budget Workshop: (February).

- ◆ SCAG staff conducts a Budget Workshop for the Regional Council and members of the public.

Distribute Draft OWP: (March).

- ◆ The Regional Council approves the Comprehensive Budget which includes the draft OWP. The draft OWP is distributed to all Regional Council members and the Regional Council approves the release of the document for a 30-day public comment and review period. The draft OWP is also placed on SCAG's website.

Distribute the Draft OWP for Public Comments: (March).

- ◆ Mail letters to over 300 City Planners, Planning Directors and other Planning representatives within the SCAG region, including subregional coordinators, CTCs and transit operators, and encourage their feedback on the draft OWP. Notify them of the availability of the draft document on SCAG's website.

Review and Consider Comments Received in the Final OWP Deliberations: (April).

- ◆ Review and consider all public comments in the OWP planning process.
- ◆ Record, track and maintain a log of comments and SCAG's response to the comments.

Adopt the Final Comprehensive Budget and Resolution Authorizing the Submittal to Funding Partners: (May).

- ◆ The Regional Council adopts the Final Comprehensive Budget and Resolution authorizing the submittal of the Final OWP to Caltrans and other funding agencies as necessary for approval. Caltrans must submit the recommended Final OWP to FHWA/FTA by June 1 of each year.

# MEMO

**DATE:** August 30, 2007  
**TO:** Transportation and Communications Committee  
**FROM:** Alan Thompson, Senior Planner; [Thompson@scag.ca.gov](mailto:Thompson@scag.ca.gov), (213) 236-1940  
**SUBJECT:** Regional Comprehensive Plan Goals and Outcomes for Transportation and Security & Emergency Preparedness

## BACKGROUND:

The pending update to the Regional Comprehensive Plan (RCP) will lay out a vision for how Southern California can achieve sustainability in nine areas of policy, including Transportation, Land Use and Housing, Water, Air Quality, Solid Waste, Energy, Open Space, Economy, and Security and Emergency Preparedness.

Based on the RCP's Vision and Guiding Principles that were reviewed by the CEHD on July 12, 2007, staff has developed draft recommendations for the Transportation and Security & Emergency Preparedness chapters for the Committee's review and comment. The TCC reviewed initial recommendations for the Security & Emergency Preparedness chapter in October 2006. The RCP Task Force subsequently approved refinements to these recommendations in August 2007.

The Goals represent an idealized vision of a sustainable future for each resource area. The Outcomes are desired outcomes that measure our progress towards sustainability goals and ideally are quantifiable and trackable over time. The Action Plan represents the implementation strategy that identifies recommended roles and responsibilities need to meet the recommended Outcomes and achieve desired Goals.

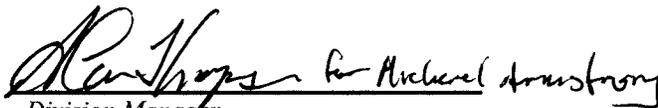
## Attachments:

1. RCP Transportation Chapter – Draft Goals and Outcomes
2. RCP Security and Emergency Preparedness Chapter – Draft Goals and Outcomes

## FISCAL IMPACT:

Activities related to the Regional Comprehensive Plan are contained in the OWP under the work element 08-035.SCGS1.

Reviewed by:

  
Division Manager

Reviewed by:

  
Department Director

Reviewed by:

  
Chief Financial Officer

## **RCP Transportation Chapter**

### **Draft Goals, Outcomes and Action Plan**

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#### **Goals**

- A more efficient transportation system that reduces and manages vehicle activity.
- A cleaner transportation system that minimizes air quality impacts and is energy efficient.

#### **Outcomes**

- Reduce the region's vehicle miles traveled from all vehicles and from carbon-based fueled vehicles to 1990 levels by 2020.
- Reduce the region's use of gasoline and diesel fuel from on-road vehicles to 1990 levels by 2020.
- Accelerate the penetration of vehicles fueled by fuel cells or other non-petroleum based engine technologies.

#### **Action Plan**

##### **Constrained Policies**

- T-1      Ensure that transportation investments are based on SCAG's adopted Regional Performance Indicators**
- T-2      Ensure safety, adequate maintenance, and efficiency of operations on the existing multi-modal transportation system will be RTP priorities and will be balanced against the need for system expansion investments.**
- T-3      Develop a collaborative implementation program that identifies required actions and policies for RTP land use and growth strategies that differ from expected trends.**

- T-4 Support and encourage High Occupancy Vehicle gap closures that significantly increase transit and rideshare usage.**
- T-5 Monitor progress of the RTP, including timely implementation of projects, programs, and strategies.**
- T-6 Address SAFETEA-LU requirements that call for improved consultation with environmental and natural resource stakeholders when considering transportation funding plans, programs, and projects.**

### **Strategic Initiatives**

- T-S1 Use the Compass 2% Blueprint strategy to influence the funding of future transportation planning and investments.**
- T-S2 SCAG shall help coordinate regional, State, and federal consensus on how to address the additional strategic investments and technological breakthroughs necessary to meet mobility and air quality goals.**

## **RCP Security and Emergency Preparedness Chapter**

### **Draft Goals, Outcomes and Action Plan**

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### **Goals**

#### Security

1. Ensure transportation safety, security, and reliability for all people and goods in the region.
2. Prevent, protect, respond to, and recover from major human-caused or natural events in order to minimize the threat and impact to lives, property, the transportation network and the regional economy.

### **Outcomes**

1. Increase per capita funding by 2012 for transportation system maintenance and preservation programs over 2007 levels.
2. Increase per capita funding for Intelligent Transportation Systems projects that enhance or benefit regional transportation security.
3. 100 percent of government agencies and organizations involved in planning, mitigation, response and recovery involved in improving emergency preparedness coordination, collaboration and flexibility.

### **Action Plan**

### **Constrained Policies**

#### **1 SCAG shall help ensure the rapid repair of transportation infrastructure in the event of an emergency**

- 1.1 SCAG, in cooperation with local and state agencies, shall identify critical infrastructure needs necessary for: a) emergency responders to enter the region, b) evacuation of affected facilities, and c) restoration of utilities.
- 1.2 SCAG, in cooperation with CTCs, California and the federal Government, shall develop a transportation recovery plan for the emergency awarding of contracts to rapidly and efficiently repair damaged infrastructure.

**SEP-2 SCAG shall continue to deploy and promote the use of intelligent transportation system technologies that enhance transportation security**

**SEP-2.1 SCAG shall work to expand the use of ITS to improve surveillance, monitoring and distress notification systems and to assist in the rapid evacuation of disaster areas**

**SEP-2.2** SCAG shall incorporate security into the Regional ITS Architecture.

**SEP-3 SCAG shall establish transportation infrastructure practices that promote and enhance security**

**SEP-3.1** SCAG shall work with transportation operators to plan and coordinate transportation projects, as appropriate, with Department of Homeland Security grant projects, to enhance the regional transit security strategy (RTSS).

**SEP-3.2** SCAG should establish transportation infrastructure practices that identify and prioritize the design, retrofit, hardening, and stabilization of critical transportation infrastructure to prevent failure, to minimize loss of life and property, injuries, and avoid long term economic disruption.

**SEP-3.3** SCAG should establish a Transportation Security Working Group (TSWG) with goals of RTP consistency with RTSS, and to find ways SCAG programs can enhance RTSS.

**SEP-3.4** SCAG should establish transportation infrastructure practices that identify and prioritize the design, retrofit, hardening, and stabilization of critical transportation infrastructure to prevent failure, to minimize loss of life and property, injuries, and avoid long term economic disruption.

**SEP-4 SCAG shall establish a forum where policy makers can be educated and regional policy can be developed**

**SEP-4.1** SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety-security policies.

**SEP-5 SCAG will help to enhance the region's ability to deter and respond to acts of terrorism, human-made or natural disasters through regionally cooperative and collaborative strategies.**

**SEP-5.1** SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety-security policies.

**SEP-6 SCAG will help to enhance the region's ability to deter and respond to terrorist incidents, human-made or natural disasters by strengthening relationship and coordination with transportation.**

- SEP-6.1** SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety-security policies.
- SEP-6.2** SCAG shall encourage all SCAG elected officials are educated in NIMS.
- SEP-6.3** SCAG shall work with partner agencies, federal, state and local jurisdictions to improve communications and interoperability and to find opportunities to leverage and effectively utilize transportation and public safety/security resources in support of this effort.

**SEP-7 SCAG will work to enhance emergency preparedness awareness among public agencies and with the public at-large.**

- SEP-7.1** SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety-security policies.

**SEP-8 SCAG shall work to improve the effectiveness of regional plans by maximizing the sharing and coordination of resources that would allow for proper response by public agencies.**

- SEP-8.1** SCAG shall encourage and provide a forum for local jurisdictions to develop mutual aid agreements for essential government services during any incident recovery

**SEP-9 SCAG will help to enhance the capabilities of local and regional organizations, including first responders, through provision and sharing of information.**

- SEP-9.1** SCAG shall work with local agencies to collect regional GeoData in a common format, and provide access to the GeoData for emergency planning, training and response.
- SEP-9.2** SCAG shall establish a forum for cooperation and coordination of these plans and programs among the regional partners including first responders and operations agencies
- SEP-9.3** SCAG shall develop and establish a regional information sharing strategy, linking SCAG and its member jurisdictions for ongoing sharing and provision of information pertaining to the region's transportation system and other critical infrastructure.

**SEP-10 SCAG shall provide the means for collaboration in planning, communication, and information-sharing before, during, or after a regional emergency.**

**SEP-10.1** SCAG shall develop and incorporate strategies and actions pertaining to response and prevention of security incidents and events as part of the ongoing regional planning activities.

**SEP-10.2** SCAG shall offer a regional repository of GIS data for use by local agencies in emergency planning, and response, in a standardized format.